

# Stage 01: Code Administrator Consultation (Self-Governance)

## Connection and Use of System Code

# CMP257

## ‘Enabling the electronic (email) issue of ‘offers’ to customers

What stage is this  
document at?

01	Code Administrator Consultation
02	Draft CUSC Modification Report
03	Final CUSC Modification Report

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CMP257 seeks to allow for the electronic issue of offers and other formal documents (where agreed) and removes the obligation to provide hard copies of documentation once elected.

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**Published on:** 8th December 2015  
**Length of Consultation:** 20 Working Days  
**Responses by:** 8<sup>th</sup> January 2016

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***National Grid's opinion:***

CMP257 better facilitates Applicable CUSC Objective (a) and therefore should be implemented.

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***Low Impact:***

CUSC Parties

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### Any Questions?

Contact:

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Code Administrator



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## About this document

The purpose of this document is to consult on CMP257 with CUSC Parties and other interested industry members. Representations received in response to this consultation document will be included in the Code Administrator's CUSC Modification Report that will be furnished to the CUSC Panel for their decision. Parties are requested to respond by **5pm** on **8th January 2016** to [cusc.team@nationalgrid.com](mailto:cusc.team@nationalgrid.com) using the Code Administrator Consultation Response Pro-forma which can be found via the following link:  
<http://www2.nationalgrid.com/UK/Industry-information/Electricity-codes/CUSC/Modifications/CMP257/>

Proposer:

**Ali Harper**

National Grid Electricity  
Transmission Plc

[Alison.Harper@nationalgrid.com](mailto:Alison.Harper@nationalgrid.com)

## Document Control

Version	Date	Author	Change Reference
1.0	8th December 2015	Code Administrator	Code Administrator Consultation to Industry

## 1 Summary

- 1.1 This document describes the CMP257 CUSC Modification Proposal and seeks views from Industry members relating to the Proposal.
- 1.2 CMP257 was proposed by National Grid Electricity Transmission and submitted to the CUSC Modifications Panel for their consideration on 27<sup>th</sup> November 2015. A copy of this Proposal is provided in Annex 1. The Panel decided that this Modification should be classed as Self-Governance and should proceed directly to Code Administrator Consultation for 20 Working-Days.
- 1.3 CMP257 seeks to allow for the electronic issue of offers and other formal documents (where agreed) and removes the obligation to provide hard copies of documentation once elected.
- 1.4 This Code Administrator Consultation has been prepared in accordance with the terms of the CUSC. An electronic copy can be found on the National Grid Website, <http://www2.nationalgrid.com/UK/Industry-information/Electricity-codes/CUSC/Modifications/CMP257/>, along with the CUSC Modification Proposal Form.

### National Grid's Initial view

- 1.5 National Grid believes CMP257 should be implemented as it better facilitates Applicable CUSC Objective (a) by improving the efficiency of the application process.

## 2 Defect

- 2.1 Currently the CUSC does not allow for the electronic (email) issue of 'Offers' e.g. Connection Offers or Use of System Offers, or other formal documents. It requires National Grid (amongst the other options under CUSC Section 6.21) to print and post hard copies of these documents to send to customers even if the customers have requested electronic copies of their documents, therefore creating unnecessary administration and time costs.
- 2.2 If the CUSC allowed for electronic issue of these documents and the customer to 'opt out' of receiving hard copies of documentation, this would reduce these unnecessary administration and time costs creating a more efficient process.

### 3 Modification Proposal

- 3.1 CMP257 seeks to make several changes to the CUSC to allow for the electronic issue of 'offers' and other formal documents (where agreed by the customer) and removes the obligation to provide hard copies of documentation once elected.
- 3.2 This modification would provide an additional option for customers to choose the format in which they receive their 'Offers' from National Grid (and other documentation as and where agreed) and would make the process of providing 'Offers' more efficient.

## 4 Proposed Implementation and Transition

- 4.1 It is proposed that CMP257 (if approved by the CUSC Panel) should be implemented one Working day following closure of the fifteen Working Day appeals window (if no successful appeals are received).

### Impact on the CUSC

5.1 Changes to CUSC Exhibits as follows:

- Exhibit B - Connection Application
- Exhibit C - Connection Offer
- Exhibit D - Use of System Application
- Exhibit E - Use of System Offer
- Exhibit F - Use of System Application
- Exhibit G - Use of System Supply Offer and Confirmation Notice
- Exhibit H - Use of System interconnector Offer and Confirmation Notice
- Exhibit I - Modification Application
- Exhibit J - Modification Offer
- Exhibit Q - BELLA Application
- Exhibit R - BELLA Offer

### Impact on Greenhouse Gas Emissions

5.2 None identified.

### Impact on Core Industry Documents

5.3 None identified.

### Impact on other Industry Documents

5.4 None identified.

### Impact on other Industry Documents

- 6.1 For reference, the Applicable CUSC Objectives, as defined in the Transmission Licence are;
- a) The efficient discharge by the Licensee of the obligations imposed on it by the Act and the Transmission Licence.
  - b) Facilitating effective competition in the generation and supply of electricity, and (so far as consistent therewith) facilitating such competition in the sale, distribution and purchase of electricity.
  - c) Compliance with the Electricity Regulation and any relevant legally binding decision of the European Commission and/or the Agency.

### National Grid's view

- 6.2 National Grid believes CMP257 should be implemented as it better facilitates Applicable CUSC Objective (a) by improving the efficiency of the application process.



## 7 How to Respond

7.1 If you wish to respond to this Code Administrator Consultation, please use the response proforma which can be found under the 'Industry Consultation' under the following link; <http://www2.nationalgrid.com/UK/Industry-information/Electricity-codes/CUSC/Modifications/CMP257/>

7.2 Responses are invited to the following questions;

**Q1:** Do you believe that CMP257 better facilitates the Applicable CUSC Objectives? Please include your reasoning

**Q2:** Do you support the proposed implementation approach?

**Q3:** Do you have any other comments?

### **Specific questions for CMP257**

**Q4:** The proposed legal drafting allows for documents other than Offers (under the Exhibits listed in Section 5.1 of this consultation) to be provided electronically where both parties agree – do you think this flexibility is useful or do you think that the proposed amendment should be limited solely to Offers and the associated documentation?

7.3 Views are invited upon the proposal outlined within this report. Please submit your formal response to [cusc.team@nationalgrid.com](mailto:cusc.team@nationalgrid.com) by **5pm** on **8<sup>th</sup> January 2016**.

7.4 If you wish to submit a confidential response, please note that information provided in response to this consultation will be published on National Grid's website unless the response is clearly marked "Private & Confidential", we will contact you to establish the extent of the confidentiality. A response marked "Private & Confidential" will be disclosed to the Authority in full but, unless agreed otherwise, will not be shared with the CUSC Modifications Panel or the industry and may therefore not influence the debate to the same extent as a non-confidential response.

7.5 Please note an automatic confidentiality disclaimer generated by your IT System will not in itself, mean that your response is treated as if it had been marked "Private and Confidential".



## Connection and Use of System Code (CUSC)

<b>Title of the CUSC Modification Proposal</b>
CUSC amendment to enable the electronic (email) issue of 'offers' to customers.
<b>Submission Date</b>
19 November 2015
<b>Description of the Issue or Defect that the CUSC Modification Proposal seeks to address</b>
In its current form CUSC does not allow for the electronic (email) issue of 'offers' e.g. Connection Offers or Use of System Offers, or other formal documents, as an acceptable form of issue and in practice this results in 'offers' being printed and posted, even where provided to customers electronically in parallel and/or where not requested. Therefore the defect is a more limited choice of 'offer' provision than could be available for customers and in some cases an unnecessary administrative burden on National Grid.
<b>Description of the CUSC Modification Proposal</b>
This proposal aims to make a handful of changes to CUSC (as per the draft legal text below) to allow for the electronic issue of 'offers' and other formal documents (where agreed) and removes the obligation to provide hard copies of documentation once elected. The proposed change would result in an additional option for customers to choose the format by which they receive 'offers' and could save National Grid wasted administration cost and time by removing the obligation to provide a hard copy of documentation where not required by the customer.
<b>Impact on the CUSC</b>
There is minimal impact on CUSC as per the draft legal text proposed below.
<b>Do you believe the CUSC Modification Proposal will have a material impact on Greenhouse Gas Emissions? Yes / No</b>
No
<b>Impact on Core Industry Documentation. Please tick the relevant boxes and provide any supporting information</b>
No other core industry documentation is affected by this proposal.

<b>Urgency Recommended: Yes / No</b>
No
<b>Justification for Urgency Recommendation</b>
N/A
<b>Self-Governance Recommended: Yes / No</b>
Yes
<b>Justification for Self-Governance Recommendation</b>
The proposed changes will not have any material effect on CUSC parties. The changes being proposed are administrative. The proposal will give parties more options on how they receive information from National Grid.
<b>Should this CUSC Modification Proposal be considered exempt from any ongoing Significant Code Reviews?</b>
Yes
<b>Impact on Computer Systems and Processes used by CUSC Parties:</b>
N/A
<b>Details of any Related Modification to Other Industry Codes</b>
N/A
<b>Justification for CUSC Modification Proposal with Reference to Applicable CUSC Objectives:</b>
<p>This section is mandatory. You should detail why this Proposal better facilitates the Applicable CUSC Objectives compared to the current baseline. Please note that one or more Objective must be justified.</p> <p><b>Please tick the relevant boxes and provide justification:</b></p> <p><input checked="" type="checkbox"/> (a) the efficient discharge by The Company of the obligations imposed upon it by the Act and the Transmission Licence</p> <p>National Grid has an obligation under the Transmission Licence to process applications and this change will improve the efficiency of that process.</p>

## Additional details

<b>Details of Proposer:</b> (Organisation Name)	National Grid Electricity Transmission plc
<b>Capacity in which the CUSC Modification Proposal is being proposed:</b> (i.e. CUSC Party, BSC Party or "National Consumer Council")	CUSC Party
<b>Details of Proposer's Representative:</b> Name: Organisation: Telephone Number: Email Address:	Ali Harper National Grid Electricity Transmission plc 07920 545 811 <a href="mailto:alison.harper@nationalgrid.com">alison.harper@nationalgrid.com</a>
<b>Details of Representative's Alternate:</b> Name: Organisation: Telephone Number: Email Address:	Michael Oxenham National Grid Electricity Transmission plc 07554 413864 <a href="mailto:michael.oxenham1@nationalgrid.com">michael.oxenham1@nationalgrid.com</a>
<b>Attachments (Yes/No):</b> <b>If Yes, Title and No. of pages of each Attachment:</b>  Yes  'Draft Legal Text - electronic (email) issue of 'offers' to customers v2'  One Page	

## Contact Us

If you have any questions or need any advice on how to fill in this form please contact the Panel Secretary:

E-mail [cusc.team@nationalgrid.com](mailto:cusc.team@nationalgrid.com)

Phone: 01926 653606

For examples of recent CUSC Modifications Proposals that have been raised please visit the National Grid Website at <http://www2.nationalgrid.com/UK/Industry-information/Electricity-codes/CUSC/Modifications/Current/>

## Submitting the Proposal

Once you have completed this form, please return to the Panel Secretary, either by email to [jade.clarke@nationalgrid.com](mailto:jade.clarke@nationalgrid.com) and copied to [cusc.team@nationalgrid.com](mailto:cusc.team@nationalgrid.com), or by post to:

Jade Clarke  
CUSC Modifications Panel Secretary, TNS  
National Grid Electricity Transmission plc  
National Grid House  
Warwick Technology Park  
Gallows Hill  
Warwick  
CV34 6DA

If no more information is required, we will contact you with a Modification Proposal number and the date the Proposal will be considered by the Panel. If, in the opinion of the Panel Secretary, the form fails to provide the information required in the CUSC, the Proposal can be rejected. You will be informed of the rejection and the Panel will discuss the issue at the next meeting. The Panel can reverse the Panel Secretary's decision and if this happens the Panel Secretary will inform you.



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1<sup>st</sup> December 2015

[www.nationalgrid.com](http://www.nationalgrid.com)

Reference: CMP257 Self-Governance Statement

Dear Abid,

This is the CUSC Modifications Panel's Self-governance Statement to the Authority for CUSC Modification Proposal (CMP) 257. National Grid has prepared this Self-governance Statement on behalf of the CUSC Modifications Panel and submits it to you in accordance with CUSC Section 8.25.1.

On 27<sup>th</sup> November 2015 the CUSC Modifications Panel considered CMP257 and confirmed unanimously that it meets the Self-governance criteria.

As such, CMP257 is unlikely to discriminate between different classes of CUSC Parties and is unlikely to have a material effect on:

- i) Existing or future electricity customers;
- ii) Competition in the generation, distribution, or supply of electricity or any commercial activities connected with the generation, distribution or supply of electricity,
- iii) The operation of the National Electricity Transmission System
- iv) Matters relating to sustainable development, safety or security of supply, or the management of market or network emergencies
- v) The CUSC's governance procedures or the CUSC's modification procedures

The proposed timetable for the progression of CMP257 is as follows:

7th December 2015	Code Administrator consultation issued (20 Working days)
8 <sup>th</sup> January 2016	Deadline for responses
12 <sup>th</sup> January 2016	Draft CUSC Modification Report issued for industry comment
19 <sup>th</sup> January 2016	Deadline for comment
21 <sup>st</sup> January 2016	Draft CUSC Modification Report issued to CUSC Panel
29 <sup>th</sup> January 2016	CUSC Panel determination vote
29 <sup>th</sup> January 2016	Appeals window open
19 <sup>th</sup> February 2016	Appeals window closes
22 <sup>nd</sup> February 2016	Implementation date

The CMP257 form is available at;

<http://www2.nationalgrid.com/UK/Industry-information/Electricity-codes/CUSC/Modifications/CMP257/>

If you require any further information please do not hesitate to contact me.

Yours Sincerely,

Jade Clarke  
CUSC Modifications Panel Secretary.



## Annex 3 – Draft Legal text

1 Edit the following **CUSC** Exhibits as follows:

Exhibit B Connection Application

Exhibit D Use of System Application

Exhibit F Use of System Application

Exhibit I Modification Application

Exhibit Q BELLA Application

Insert the following as a new paragraph in Section A of the Exhibits referenced above with the new paragraph to be numbered as paragraph 4 in Exhibits B and D and I (paragraph 5 in Exhibit F and paragraph 6 in Exhibit Q with the following paragraphs (if any) in section A and any cross references to these renumbered accordingly to reflect the

insertion.

Please confirm whether you agree to us sending the **Offer** in electronic form instead of hard copy and, if so, confirm the address for this as follows.

Yes [ ]

No [ ]

Email address .....

2. Edit the following **CUSC** Exhibits as follows:

Exhibit C Connection Offer

Exhibit E Use of System Offer

Exhibit G Use of System Supply Offer and Confirmation Notice

Exhibit H Use of System interconnector Offer and Confirmation Notice

Exhibit J Modification Offer

Edit paragraph 11 of Exhibit C, paragraph 6 of Exhibits E and G and R, paragraph 4 of Exhibit H and paragraph 8 of Exhibit J by adding after the word “sign” “(and where issued by email, having printed off 2 copies of each)”.

3. Add the following as a new paragraph 6.21.3 in CUSC Section 6 and renumber the existing paragraph 6.21.3 as 6.21.4 to reflect the insertion.

“6.21.3 Where **CUSC** expressly provides for notices or other communication to be by electronic means or a **CUSC Party** otherwise agrees this with another **CUSC Party**, the electronic communication shall be addressed and sent to the address provided for this purpose and shall be deemed to have been received 10 minutes after it has been sent (and where this occurs after 17.00 hours on the day sent, on the day following it being sent).”