

Customer Requirement Playback

EMR Portal – 15th December 2022

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Introduction – Reminder of Context



Requirement playback sessions will summarise proposed “Features” and what we intend to deliver prior to each development phase.



We have already used your feedback in setting requirements. There will be more opportunity for further feedback and familiarisation in future.



Your feedback will be incorporated where possible now or logged for future enhancements on our development backlog



We have created basic “mock-ups” to help visualise how the New Portal might deliver your needs. System demonstrations post development will present the actual system functionality.

Slido Access

We will be using Slido for questions and feedback in today's session. Please go to sli.do and enter the event code **#126 1618**.

If you would like to ask any questions ahead of the session please either send them in by email or use [this link](#) to ask them on Slido, the event code will only work on 15/12/2022



Update on actions – Requirement Feedback session, 20/10/2022

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Date	Feature Area	Customer Comment	DB Response
20/10/2022	Outstanding Activities	It would be useful to be able to hide columns from the screen	Feedback passed onto Development team, individual columns can be minimised and developers investigating the ability to save custom views of this page but this is not standard functionality of the platform. We intend to review Outstanding Activities after launch and take feedback on any future changes once it has been used to manage live agreements.
20/10/2022	Outstanding Activities	I think this screen is useful, but might it be more helpful to show the information in a timeline/traffic lights format, so it's clear which information is outstanding and when it's due	As a default the list will be sorted by due date to show the most pressing obligations at the top. We accept the benefit of a traffic light style status but this is not currently possible, do you feel the date ordering and filters by specific obligation/CMU is enough to manage this risk?
20/10/2022	CMU Creation	Sometimes desired CMU ID's are already taken when a CMU is created. To avoid this can we have 10 character CMU IDs that include a 4 character company ID that identifies the company that created the CMU (this would not change in the event of a CMU transfer).	We are keen to receive wider feedback on this proposal, please see discussions on the next slide.

Update on actions – CMU Creation

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Problem Statement

CMU ID's can be unavailable when creating a new CMU. This can interrupt internal naming conventions or cause documents to require re-drafting if they have already been signed before a CMU ID is finalised.

Proposed solutions

1. Continue with existing CMU ID rules (6 digit CMU IDs). This does not address the above problem statement.
2. Ability to reserve CMU IDs for a fixed amount of time (e.g. 5 years) either individually or in bulk. This would not assist where assets are acquired in short notice or after the desired CMU ID has been taken.
3. Extend a CMU ID to include 4 characters that identify the organisation that set up the asset. This code would not change in the event of a CMU transfer and would require changes to the settlements system used by EMRS. We would be looking to retrospectively change existing CMU IDs so that they all follow the same format. It would allow organisations to manage their internal naming conventions without any risk of clashing.



Substantial Completion Milestone

Feature Description

To comply with the SCM requirement under rule 6.7 we will allow submission of the required ITE report and any appendices for each agreement, tracked via the Outstanding Activities page discussed on 20/10/2022. Those CMUs without agreements will also be able to submit SCM to become an Acceptable Transferee, though this will be addressed in a different feature. The submission of the Minimum Completion Requirement will also be managed as a different feature.

Customer Feedback Considered

- Ease of navigation – the current portal makes it too difficult to find different obligations.
- File upload is often slow or simply fails.
- Inability to see what files have been uploaded, especially if using zip files.

High-level Requirements Proposed

- Following CAN release, a prompt to submit SCM will appear for each agreement on a users Outstanding Activities page.
- Clicking this prompt will lead to a page that allows for the ITE report and any supporting material to be uploaded and submitted to the Delivery Body.
- Standard upload and review feature that allows multiple documents to be uploaded, the titles displayed and the ability to view or remove.
- When the review has been completed the user is notified of the outcome and shown any supporting commentary from the Delivery Body.

My EMR User Management Company Management CMU's & Components nationalgridESO Electricity Market Reform Delivery Body

My EMR / Outstanding Activities Display: Outstanding Activities Completed Activities Download .CSV

Filter Auction: - Display All - Filter Company: - Display All - Filter Submission Status: - Display All - Filter Requirement: Submit SCM Search CMU or Application ID

Due Date ↓	Requirement ↓	Company Name ↓	CMU ID ↓	Application ID ↓	Agreement ID ↓	Submission St.. ↓	Delivery Bo... ↓	Action ↓
XX/XX/XXXX	Submit SCM	Company Name Spread over two lines	123XXX	T-X-20XX-XXXXXX-XXXX	T-X-20XX-XXXXXX-XXXX	Submission Outstand...	First 25 characters...	Update Submission

Displaying requirement

Filter Active

Pop out from icon Begin Flow

- Display All -
- Confirm DSR Components
- Submit Distribution Connection Agreement
- Submit DSR Partial Credit Cover Evidence
- Submit FCM
- Submit Metering Assessment
- Submit SCM

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My EMR / Outstanding Activities / Submit Substantial Commitment Milestone (SCM)

Update now active

Applicant Company	CMU ID	Application ID	Agreement ID			
Company ABC	<123XXX>	<T-X-20XX-XXXXX-XXX>	<T-X-20XX-XXXXX-XXX>	Cancel	Save	Submit For Review

Submit Substantial Commitment Milestone (SCM)

Submit Your Substantial Commitment Milestone (SCM) Documents
Upload the ITE report and any associated appendices to meet the Substantial Completion Milestone as per Rule 6.7.
The following filetypes are accepted .JPG, PDF, PNG, XLS. (Maximum file size is 2Gb)

[Upload Document](#) or Drop File

Successfully Uploaded
<file name goes in here.pdf> [View](#) [Delete](#)

Document uploaded

Change of Address (Location Change)

Feature Description

Under CM Rule 8.3.7 New Build and DSR CMUs can change the address of their site. Where a Change of Address is notified the Capacity Provider must submit documents and make declarations that mirror the current state of the CMU. This has historically been referred to as a 'Location Change'.

Customer Feedback Considered

- Lack of clarity around what is required for a given CMU.
- Ease of navigation – the current portal makes it too difficult to find different obligations.
- File upload is often slow or simply fails.
- Inability to see what files have been uploaded, especially if using zip files.

High-level Requirements Proposed

- Navigation route is still TBC, intention is to have a 'Management Activities' area for all non-date driven obligations.
- Proposed dual display showing what has been submitted already for the selected CMU and option to confirm, amend or upload data and documents. This will aid with clarity on what is required to meet the existing 8.3.7 obligations.
- Standard upload and review feature that allows multiple documents to be uploaded, the titles displayed and the ability to view or remove.
- Ability to save mid-submission and return (for example if you require further information) without the form clearing.
- Following submission progress can be tracked through Outstanding Activities, again including any outcome and comments.

CMU Transfer

Feature Description

Rule 9.2.4(b) and (c) allows Capacity Providers of Generating CMUs to transfer a CMU and all related Capacity Agreements outright to an Acceptable Transferee as described in Rule 9.2.8.

Customer Feedback Considered

- The Transferor does not have the ability to withdraw the Transfer request.
- It is difficult to find the relevant company from the list of companies available.

High-level Requirements Proposed

- DB are requesting confirmation via tick boxes for information that is usually requested offline via email, such as confirmation that Credit Cover will be provided or maintained by the Transferee.
- Once a Transfer has been submitted to the Transferor this activity will be available to approve in Outstanding Activities.
- The Transferor will have the ability to withdraw a transfer request up to the point it is approved by the Transferee.
- The Transferor will have the ability to search for the Acceptable Transferee using the company name or company ID rather than a list of all active companies.
- Relevant Exhibits required by the Transferee pursuant to CM Rule 9.2.8 will need to be uploaded during the accepting of the CMU by the Transferee.

CMU Transfer

A Web Page

http://

CMU Transfer

CMU for transfer
CMU-023-2033


Transferor Company Name
BlipGen


Transferee Company Name
BlipGen

Transferee NGridID
NGrid-23-23

Transfer Date
12/11/2022

CMU has a CAN

 Certificate Of Conduct [Download Template](#)
Certificate of Conduct must be attached

 Prequalification Certificate
Prequalification Certificate must be attached

Certificate of Conduct and Prequalification Certificate are required to proceed.
Please upload the relevant documentation

CMU Transfer

A Web Page

http://

CMU Transfer

Are you sure you wish to reject this CMU Transfer?
The Transferor will have to restart the process.

Cancel Confirm

Transferee Company Name
BlipGen
Transferee NGridID

Please confirm you accept this CMU Transfer on the date included.
This will then proceed to the Delivery Body for Review

Cancel Confirm

[Certificate of Conduct Download Template](#)
Certificate of Conduct must be attached

The CMU Transfer has been submitted to the Delivery Body. The Delivery Body will review this in the next 5 working Days.

Ok

Cancel Reject Submit

Security Interest

Feature Description

The EMR Delivery Body (DB) has an obligation under the Capacity Market (CM) Rules to make an amendment to the relevant CM Register to record details of any person who has submitted details of a Security Interest it may have, over the rights of a Capacity Committed CMU under a Capacity Agreement. As part of the CMU Management, the customer will be able to record details of the Security Interest and upload the relevant documents.

Customer Feedback Considered

- Ease of navigation – the current portal makes it too difficult to find different obligations.
- File upload is often slow or simply fails.
- Administrative effort of completing and uploading a Security Interest Template.

High-level Requirements Proposed

- Ability to provide beneficiary contact details into the system instead of using a template.
- This feature will be available to use against a Capacity Market Unit where there has been a CAN released for the relevant auction.
- The Delivery Body will request a deactivation submission to remove SI details from a CMU.
- Navigation route is still TBC, intention is to have a 'Management Activities' area for all non-date driven obligations.
- Document Upload functionality will feature 'drag and drop capability' and will be visible once uploaded. Template will be available to download from the same area.

A Web Page

http://

CMU ID

Test Generator 4 - Existing Generating CMU

Name	Nature of Interest	Status	
National Grid bank	Assignment of the Capacity A	Active	Delete Edit De-Activate View
EMR Delivery Body PLC	Standard Security	Submitted for Review	Delete Edit De-Activate View
NGSO Co	1. Debenture	Rejected	Delete Edit De-Activate View
Santander UK Plc	1. fixed charge over the capac	De-Activated	Delete Edit De-Activate View
SFDC Bank UK	1. Debenture	New	Delete Edit De-Activate View

Add New Security Interest Submit

A Web Page

http://

CMU ID

Test Generator 4 - Ex

Name	Nature of
National Grid bank	Assignm
EMR Delivery Body PLC	Standard
NGSO Co	1. Deben
Santander UK Plc	1. fixed d

Add New Security Interest

Legal name of any person with security interest

Nature of Interest

Contact name for beneficiary

Contact email address for beneficiary

Contact phone number for beneficiary

Attachments

Doc1.docx Doc2.docx Doc3.docx

Slido Q&A

Please go to sli.do and enter the event code **#126 1618**.



In addition to feedback received today, we are keen to hear from you with any additional feedback via Email box.newemr.itteam@nationalgrid.com

If you have any further views on the feature areas explored please contact me at Richard.Griffiths@Nationalgrideso.com

We are happy to continue to engage with you further on the topics we discussed today and look forward to demonstrating the delivery of the requirements in the New Portal in future sessions.

The next User Group session is a Familiarisation session on 19th January,
The next Requirement Feedback session is expected to be on 26th January.

Continuing the Conversation

Appendix - Requirement process

- The steps below summarise the overall requirement validation, confirmation and familiarisation process used.
- Requirement playback sessions consider requirements currently sitting in Stage 1 of the process.
- Our intent is to use Playback to support the initial requirements definition prior to them being passed to our development team/project backlog.

1. Requirement definition

2. Confirm requirement/backlog

3. Show & tell/
Demonstration
and capture
feedback

4. Sandbox/
Testing

5. Implementation/
Customer
readiness