

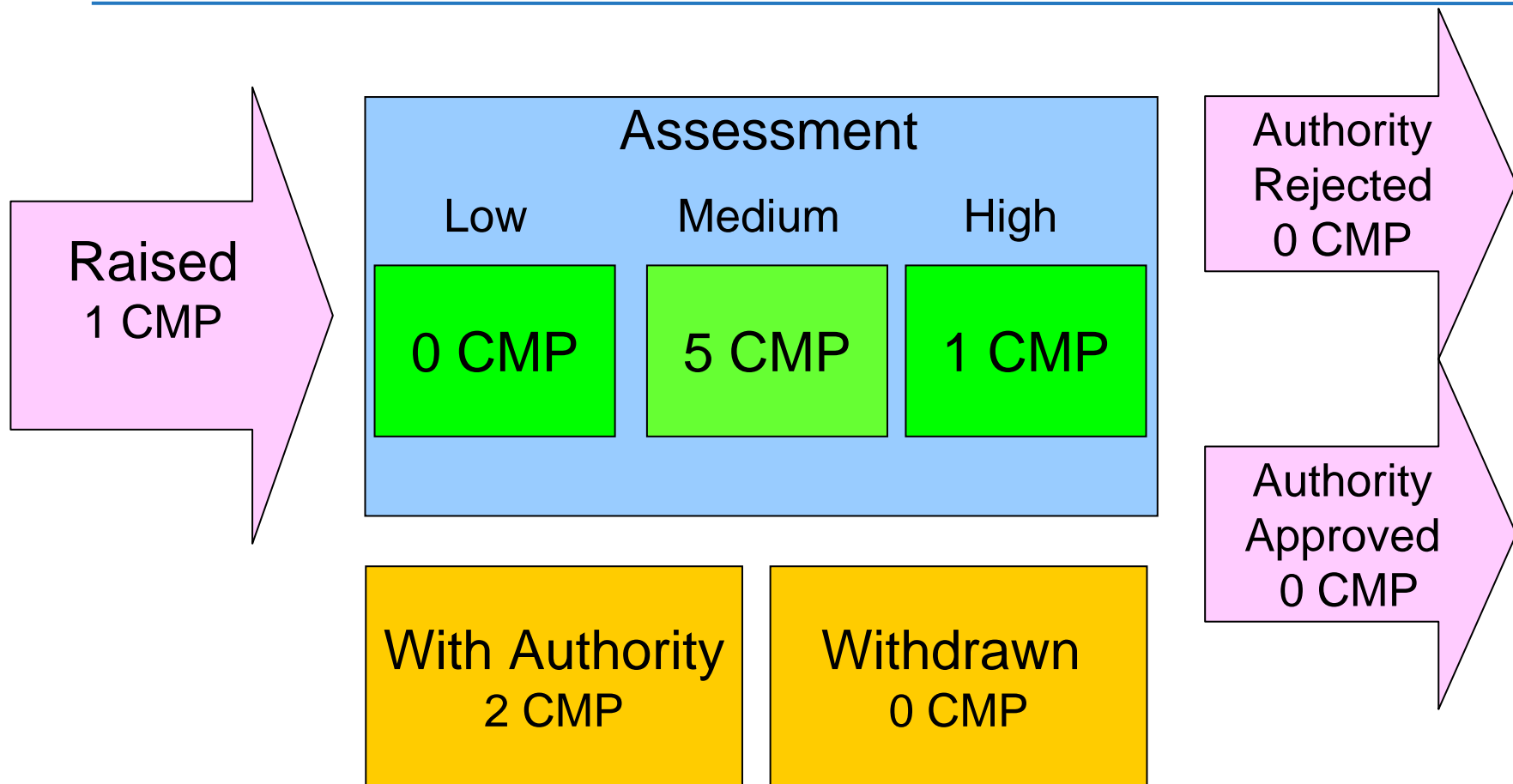
CUSC Key Performance Indicators August 2011



Introduction

- As part of the Code Governance Review Final Proposals, the Code Of Practice was established. This included a Principle for Code Administrators to report on KPIs
- The KPIs cover two measures:
 - Qualitative
 - Quantitative
- The KPIs are reported to the Panel each month, on a retrospective basis
- The KPIs identified in the COP are a minimum requirement and may be expanded

CUSC Modification Proposals KPIs – August 2011 (see later slides for details)



Assessment difficulty

Points awarded (1 for each)

- Major reform
- Complex area (e.g. Gas Insulated Switchgear, Reactive)
- Workgroup required
- Urgent
- More than one alternative solution likely
- Cross code issue

	Low	Medium	High
Scoring	0	1-3	4+

Modifications in Assessment Phase from date raised to date sent to Authority for decision

- CAP189 – Standard Gas Insulated Switchgear Ownership Boundaries
 - Date raised: 22nd July 2010
 - Date Panel decision to proceed to Workgroup: 30th July 2010
 - Workgroup Report was presented at the 27th May CUSC Modifications Panel meeting and the Panel agreed for CAP189 to proceed to Code Administrator Consultation for three weeks.
 - On the 29th July the CUSC Panel voted unanimously that CAP189 did not better facilitate the Applicable CUSC Objectives and should not be implemented
 - On the 18th August 2011 Ofgem “sent back” the CAP189 Final Modification report for further analysis

Modifications in Assessment Phase from date raised to date sent to Authority for decision

- CAP190 – Two Thirds Majority Voting requirement for CUSC Panel recommendations on Amendments arising from Licence obligations Authority requests or obligations
 - Date raised: 26th August 2010
 - Date Panel decision to proceed to Workgroup: 3rd September 2010
 - CAP190 was put on hold until July 2011 whilst CMP196 is progressed
 - At the 29th July 2011 CUSC Panel, the majority of the Panel agreed that the timetable for CMP190 should not be extended and therefore the Workgroup Report will be finalised for the August Panel meeting
 - At the 26th August 2011 CUSC Panel meeting the members accepted the Workgroup Report and agreed for CAP190 to progress to Code Administrator Consultation for three weeks

Modifications in Assessment Phase from date raised to date sent to Authority for decision

- CMP191 - NETSO Consultation in relation to any potential changes to the CUSC which takes place in forums other than the CUSC Modifications Panel
 - Date raised: 14th February 2011
 - Date Panel decision to proceed to Workgroup: 25th February 2011
 - Workgroup consultation issued 7th June 2011 with a deadline of 28th June 2011
 - At the 29th July Panel meeting the Panel accepted the Workgroup Report and agreed for CMP191 to progress to Code Administrator Consultation for three weeks
 - The Code Administrator Consultation closed on 23rd August 2011 and the CUSC Panel vote will take place on 30 September 2011.

Modifications in Assessment Phase from date raised to date sent to Authority for decision

- CMP192 - Arrangements for Enduring Generation User Commitment
 - Date raised: 17th February 2011
 - Date Panel decision to proceed to Workgroup: 25th February 2011
 - Workgroup Consultation issued 19th July 2011 for four weeks
 - A two week extension was agreed to the timetable to allow for a further two Workgroup meetings to take place
 - The Workgroup Report will be presented to the CUSC Panel on 30th September 2011.

Modifications in Assessment Phase from date raised to date sent to Authority for decision

- CMP195 – Code Governance Review post implementation clarifications
 - Date raised: 17th March 2011
 - Date Panel decision to proceed to Workgroup: 25th March 2011
 - Workgroup consultation issued 10th June 2011 and closed on 1st July 2011
 - At the 29th July Panel meeting the Panel accepted the Workgroup Report and agreed for CMP195 to progress to Code Administrator consultation for three weeks
 - CUSC Panel vote will take place on 30th September

Modifications in Assessment Phase from date raised to date sent to Authority for decision

- CMP196 – Revisions to “recommendations” in the final CUSC Modification Report
 - Date raised: 24th March 2011
 - Date Panel decision to proceed to Workgroup: 25th March 2011
 - Workgroup consultation (1) issued 8th April 2011, a further Workgroup consultation (2) was issued on 18th May 2011 for two weeks
 - The Workgroup Report was presented at the CUSC Panel meeting on 24th June 2011 and the Panel agreed for CMP196 to progress to Code Administrator Consultation
 - On the 29th July the CUSC Modifications Panel voted by majority that CMP196 better met the Applicable CUSC Objectives and should be implemented
 - The final CUSC Modification Report was submitted to the Authority on the 10th August 2011 for decision

Modifications in Assessment Phase from date raised to date sent to Authority for decision

- CMP197 – Amendment to Qualifying Guarantor
 - Date raised: 26th April 2011
 - Date Panel decision to proceed to Workgroup: 6th May 2011
 - Workgroup consultation issued on 23rd June 2011 and closed on 14th July 2011
 - At the 26th August Panel meeting the Panel accepted the Workgroup Report and agreed for CMP197 to progress to Code Administrator Consultation for three weeks

Modifications in Assessment Phase from date raised to date sent to Authority for decision

- CMP198 – Proposer Ownership of CUSC Modification Proposals
 - Date raised: 19th May 2011
 - Date Panel decision to proceed to Workgroup: 27th May 2011
 - Workgroup consultation was issued on 15th July 2011
 - The Workgroup Report will be submitted to the September CUSC Panel meeting

Modifications in Assessment Phase from date raised to date sent to Authority for decision

- CMP199 – Reactive Despatch Network Restrictions
 - Date raised: 18th August 2011
 - At the 26th August CUSC Panel meeting, the Panel agreed for CMP199 to proceed directly to Code Administrator Consultation.

Modifications with the Authority from date sent to Authority to date decision received

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- CAP189 – Standard Gas Insulated Switchgear Ownership Boundaries
 - Date submitted to Authority for decision: 10th August 2011
 - Authority Send Back decision received on 18th August 2011

 - CMP196 – Revisions to “recommendation” in the final CUSC Modification Report
 - Date submitted to Authority for decision: 10th August 2011

Authority Decisions Received in August 2011

- None

CUSC Modifications Panel KPIs – August 2011

KPI	Measure	Month	Rolling 12 Month	Rolling 12 Month %
Implementation Costs	Number of final CUSC Modification Reports where implementation costs estimates were available for consultation*	0	0	NA
	Average Percentage difference between estimated and actual costs	NA	0	NA
Efficient Administration	Number of CUSC Panel papers issued	7	58	NA
	Number of papers published late**	0	3	5.2%
	Number of final CUSC Modification Reports submitted to Authority in line with original timetable***	0	0	NA
	Number of extensions to timetable requested	1	9	NA

NB. For the purposes of the first year of reporting, the 'Rolling month' figures begin from January 2011.

*Refers to Central Systems only and therefore may often be Not Applicable .

**Target for publication is 5 Working Days before Panel meeting for Panel papers and 1 Working Day after Panel meeting for publication of final minutes

***Original Timetable as described in IWA and agreed by CUSC Panel

CUSC Modifications Panel KPIs – August 2011

KPI	Measure	Month	Rolling 12 Month	Rolling 12 Month %
	Average time between standard proposal raised and submitted for Authority decision*	NA	179.5 WDs	NA
	Average time between Self-governance proposal raised and submitted for CUSC Panel decision	NA	36 WDs	NA
	Average time between Standard proposal submitted for Authority decision and decision published	NA	22 WDs	NA
	Average time between Self Governance decision and implementation	N/A	26 WDs	NA
Efficient Administration	Average time between Authority decision and Implementation	NA	10 WDs	NA
	Number of CUSC Modification Proposal progressed through Self-governance**	0	2	22%

*The CUSC defines Standard CUSC Modification Proposal as ‘neither suitable for inclusion in a Significant Code Review nor meets the Self-Governance criteria.

**The rolling data for this measure could be affected by the Authority changing the route of a Proposal at a later stage, prior to the Panel’s final determination, or by an appeal which may result in the Authority making the final determination instead of the Panel.

CUSC Modifications Panel KPIs – August 2011

KPI	Measure	Month	Rolling 12 Month	Rolling 12 Month %
Effective Communication	Average number of respondents to Workgroup Consultation	13.5	7.5	NA
	Average number of respondents to Industry Consultation*	3.5	4.5	NA
	Glossary and plain English summary provided with reports	100%	100%	
Quality of Assessment	Final decision by Authority which accords with Panel Recommendation against the relevant objectives	0	1	100%
	Final decision by Authority which conflicts with Panel Recommendation owing to wider statutory considerations	0	0	
	Reports 'sent back' by Authority	1	1	50%

* In the CUSC, 'Industry Consultation' is referred to as the 'Code Administrator Consultation' (Section 8)