

CUSC Amendment Proposal Form	CAP:160
<p>Title of Amendment Proposal: Improvements to the assessment of Amendments</p>	
<p>Description of the Proposed Amendment <i>(mandatory by proposer):</i></p> <p>It is proposed to re-order the governance process for Amendment Proposals to give a more robust and extended Working Group process which would be broadly in line with the BSC. This process would involve:</p> <ol style="list-style-type: none"> 1. An extended working group phase of 4 months, which would include a consultation on the proposed Amendment to gain industry views. We anticipate that the precise time for consultation would be at the discretion of the Working Group Chair but would anticipate that this consultation would occur once the Working Group had 'worked up' the detail of the important aspects of the modification; 2. The results of the Working Group consultation, including consideration of any suggested alternative approaches to the defect would then be considered by the Working Group in its continuing work. 3. Once complete, Working Group would then submit a draft Amendment Report to the CUSC Amendments Panel for consideration. 4. The draft Amendment report would be discussed by the Panel. If the report is accepted by the Panel, the Panel discussion and views would be added to the draft report to form the Amendment Report. 5. Following the Amendment Report Consultation if new a issue arose the Panel could chose to return to the Working Group or instigate a Working Group for further work, subject to time constraints. 6. The Amendment report would be consulted on for a further period before returning to the Panel for final vote. 7. The CUSC Panel would then vote as per the existing process <p>A flow diagram of this process is provided as an attachment to this proposal.</p> <p>Note for Amendment Proposals that do not go to Working Group , the Amendment Proposal would go straight to consultation with the inclusion of any Panel comments and discussion, and return to Panel at step 5 for discussion on the Consultation responses.</p> <p>Under this process, the only stage of the proposed Amendment Process that would allow for alternatives to a CUSC Amendment Proposal is the Working Group stage. The aim of this is to ensure that any alternative options raised by the industry in consultation or by Working Group members can be fully considered by the experts on the Working Group. For the avoidance of doubt, it is proposed to remove consultation alternative amendments, however CUSC Parties have the right to raise a new Amendment Proposal at any time, and this right will remain unchanged. This is to ensure that the Working Group and its Chairman can efficiently manage the number of alternatives taken forward by the working group as part of the proposal.</p> <p>To ensure that all views are included in the process we note that as part of this process:</p> <ul style="list-style-type: none"> • Interested parties can comment, make suggestions and input their views at the working group stage, for consideration by the working group; • There would be a further opportunity to comment on the final report • If a parties' concerns are discounted by the Working Group the party would still have the option to raise a new Amendment Proposal. <p>This process would create a governance process similar to the BSC process and therefore create consistent code governance. This would create a more efficient process as this would allow the CUSC Panel the right to return the Amendment Proposal to Working Group (or instigate a Working Group) for further work. Consequently this ensures all the necessary analysis of Proposals is undertaken prior to submission to the Authority.</p> <p>It is not proposed to limit the number of Working Group Alternatives but to avoid an inefficient number of alternatives we propose that Working Group Alternatives can only be raised either by a majority</p>	

vote of Working Group or at the discretion of the chairman.

At the final Working Group meeting the Working Group would be expected to vote on the individual Working Group Alternatives and the Original as to whether it better met the CUSC objectives compared to the baseline. A vote should also be undertaken as to which of these was the BETTER option. This requirement would be included in each Working Groups terms of Reference.

If implemented, it is proposed that this change in governance be applied to all Amendments, both new and those in progress at the Working Group stage that have not submitted their final Working Group Report to the CUSC Panel.

Description of Issue or Defect that Proposed Amendment seeks to Address (*mandatory by proposer*):

Under Section 8 of CUSC, National Grid is required to ensure an efficient and full assessment is made of all modification proposals. Recent experience has highlighted the difficulties that can be posed by large numbers of alternatives at the CUSC assessment stage and during Ofgem's assessment and providing the same level of Industry analysis and discussion within the CUSC process for consultation alternatives.

This proposal would allow a more efficient and full assessment of Amendment Proposals by the Working Group of industry experts, interested parties, the CUSC Panel and the Authority.

Impact on the CUSC (*this should be given where possible*):

Changes would be required to Section 8 and Section 11

Impact on Core Industry Documentation (*this should be given where possible*):

None

Impact on Computer Systems and Processes used by CUSC Parties (*this should be given where possible*):

None

Details of any Related Modifications to Other Industry Codes (*where known*):

None

Justification for Proposed Amendment with Reference to Applicable CUSC Objectives** (*mandatory by proposer*):

National Grid believes that this proposal will better facilitate CUSC Applicable Objective (a) (***The efficient discharge by the licensee of the obligations imposed upon it under the Act and by the Transmission Licence***) by ensuring that all CUSC Parties have the opportunity to input to the Working Group discussions and that the number of alternative options is efficiently managed and all have the same level of analysis to ensure a robust and efficient process for amendments to the CUSC.

Details of Proposer: Organisation's Name:	National Grid
Capacity in which the Amendment is being proposed: (i.e. CUSC Party, BSC Party or "energywatch")	CUSC Party
Details of Proposer's Representative: Name: Organisation: Telephone Number: Email Address:	Beverley Viney National Grid 01926 653547 Beverley.viney@uk.ngrid.com
Details of Representative's Alternate: Name: Organisation: Telephone Number: Email Address:	Emma Carr National Grid 01926 655843 Emma.j.carr@uk.ngrid.com
Attachments (Yes/No): If Yes, Title and No. of pages of each Attachment: Yes CAP160 – flowchart (1 page) Mods Process Flowchart – BSC (1 page)	

Notes:

- Those wishing to propose an Amendment to the CUSC should do so by filling in this "Amendment Proposal Form" that is based on the provisions contained in Section 8.15 of the CUSC. The form seeks to ascertain details about the Amendment Proposal so that the Amendments Panel can determine more clearly whether the proposal should be considered by a Working Group or go straight to wider National Grid Consultation.
- The Panel Secretary will check that the form has been completed, in accordance with the requirements of the CUSC, prior to submitting it to the Panel. If the Panel Secretary accepts the Amendment Proposal form as complete, then he will write back to the Proposer informing him of the reference number for the Amendment Proposal and the date on which the Proposal will be considered by the Panel. If, in the opinion of the Panel Secretary, the form fails to provide the information required in the CUSC, then he may reject the Proposal. The Panel Secretary will inform the Proposer of the rejection and report the matter to the Panel at their next meeting. The Panel can reverse the Panel Secretary's decision and if this happens the Panel Secretary will inform the Proposer.

The completed form should be returned to:

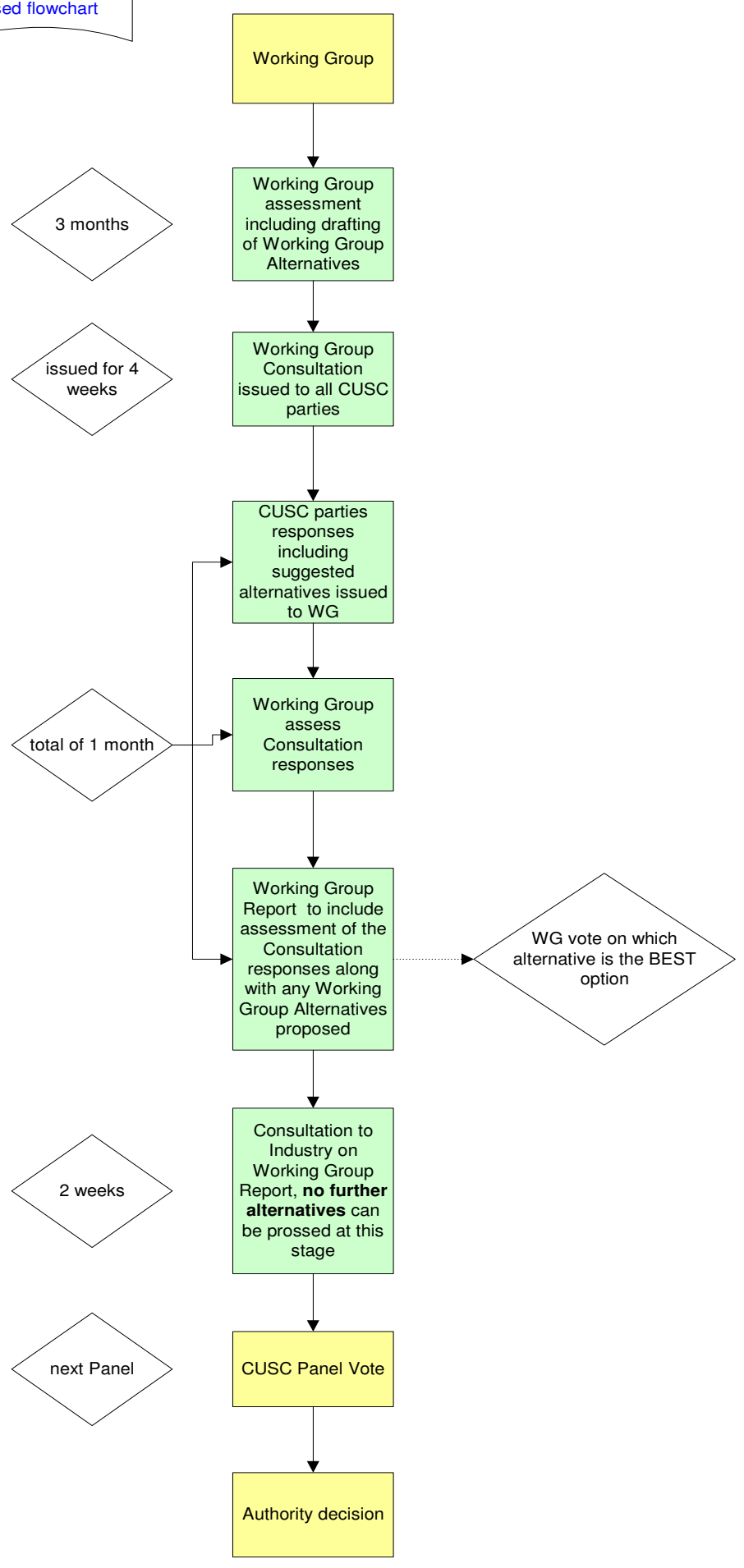
Beverley Viney
Panel Secretary
Commercial Frameworks
National Grid
National Grid House
Warwick Technology Park
Gallows Hill
Warwick
CV34 6DA

Or via e-mail to: Beverley.Viney@uk.ngrid.com

(Participants submitting this form by email will need to send a statement to the effect that the proposer acknowledges that on acceptance of the proposal for consideration by the Amendments Panel, a proposer which is not a CUSC Party shall grant a licence in accordance with Paragraph 8.15.7 of the CUSC. A Proposer that is a CUSC Party shall be deemed to have granted this Licence).

3. Applicable CUSC Objectives** - These are defined within the National Grid Electricity Transmission plc Licence under Section C7F, paragraph 15. Reference should be made to this section when considering a proposed amendment.

CAP160: Improvements to the assessment of Amendments - proposed flowchart



Modifications Process

