

<b>CUSC Amendment Proposal Form</b>	<b>CAP:120</b>
<p><b>Title of Amendment Proposal:</b> Connection Application Form– Alternative Connection Offers in England &amp; Wales and Scotland</p>	
<p><b>Description of the Proposed Amendment</b> <i>(mandatory by proposer):</i> It is proposed to amend the CUSC Application Form for Bilateral Connection Agreements, (CUSC Exhibit B) to include additional questions to ascertain whether an Applicant has or intends to make an alternative application for connection in England &amp; Wales or Scotland (or vice versa) to enable National Grid to ensure disclosure of information within National Grid is managed in accordance with National Grid Licence Special Condition M.</p> <p>An alternative connection application is when an Applicant applies for multiple connections to the GB transmission system at different locations and at least one location is outside National Grid's Transmission area i.e. Scotland and where the Applicant does not intend to connect at all the locations which they have applied for, but intends to connect at a location which is most beneficial for their project. Please note this does not apply to competing offers between the Scottish TO's, as no advantage can be gained by National Grid and the normal procedures for confidentiality shall apply.</p> <p>The proposer requests and recommends that this Amendment Proposal proceeds straight to Industry Consultation for a period of 2 weeks as we believe that it would be beneficial to make this change in a timely way.</p>	
<p><b>Description of Issue or Defect that Proposed Amendment seeks to Address</b> <i>(mandatory by proposer):</i></p> <p>National Grid under Licence Condition C8 is required to offer terms of Connection to the GB transmission system and co-operate and co-ordinate with the Scottish TO's in accordance with the STC when an application is within Scotland.</p> <p>Special Condition M places a restriction on the use of certain information by National Grid within National Grid. National Grid is required to prevent information concerning alternative applications from being disclosed to employees that are responsible for or are involved in the preparation of such "competing" offers to ensure that National Grid does not share commercially sensitive information internally which could potentially be used by National Grid TO business to obtain some form of advantage over the Scottish TO.</p> <p>However, at present there is no formal means for National Grid to identify within a Bilateral Connection Agreement (BCA) Application Form where an Applicant is making alternative application between England &amp; Wales and Scotland.</p> <p>It is therefore proposed that the CUSC Application Form for a BCA within Exhibit B is amended to request information about alternative applications from the Applicant.</p>	
<p><b>Impact on the CUSC</b> <i>(this should be given where possible):</i></p> <p>CUSC Exhibits B</p> <p>The proposed Legal text to modify the CUSC is detailed in Annex 1 by inserting the coloured underlined text.</p>	
<p><b>Impact on Core Industry Documentation</b> <i>(this should be given where possible):</i></p> <p>None identified</p>	

<p><b>Impact on Computer Systems and Processes used by CUSC Parties</b> (<i>this should be given where possible</i>):</p> <p>Limited impact; if the amendment is approved, Applicants applying for Connection within England &amp; Wales or Scotland will be required to provide additional information in relation to alternative applications.</p>
<p><b>Details of any Related Modifications to Other Industry Codes</b> (<i>where known</i>):</p> <p>N/A</p>
<p><b>Justification for Proposed Amendment with Reference to Applicable CUSC Objectives**</b> (<i>mandatory by proposer</i>):</p> <p>National Grid believes that amending the CUSC Application Form to ensure that National Grid can ascertain whether an application is an alternative applications would better achieve applicable CUSC objective(a) – (<b><i>The efficient discharge by the licensee of the obligations imposed upon it under the Act and by the Transmission Licence</i></b>) by providing National Grid with the ability to determine whether or not it would be necessary to invoke the procedure required to prevent the disclosure of confidential information and to ensure compliance with Licence Special Condition M.</p>

<p><b>Details of Proposer:</b> Organisation's Name:</p>	National Grid
<p><b>Capacity in which the Amendment is being proposed:</b> (i.e. CUSC Party, BSC Party or "energywatch")</p>	CUSC Party
<p><b>Details of Proposer's Representative:</b> Name: Organisation: Telephone Number: Email Address:</p>	<p>Emma Carr National Grid 01926 655843 <a href="mailto:Emma.j.carr@uk.ngrid.com">Emma.j.carr@uk.ngrid.com</a></p>
<p><b>Details of Representative's Alternate:</b> Name: Organisation: Telephone Number: Email Address:</p>	<p>Paul Mullen National Grid 01926 656329 <a href="mailto:Paul.mullen@uk.ngrid.com">Paul.mullen@uk.ngrid.com</a></p>
<p><b>Attachments (Yes/No):</b> <b>If Yes, Title and No. of pages of each Attachment:</b></p>	<p>Yes – Annex 1</p>

**Notes:**

- Those wishing to propose an Amendment to the CUSC should do so by filling in this "Amendment Proposal Form" that is based on the provisions contained in Section 8.15 of the CUSC. The form seeks to ascertain details about the Amendment Proposal so that the Amendments Panel can determine more clearly whether the proposal should be considered by a Working Group or go straight to wider National Grid Consultation.
- The Panel Secretary will check that the form has been completed, in accordance with the requirements of the CUSC, prior to submitting it to the Panel. If the Panel Secretary accepts the Amendment Proposal form as complete, then he will write back to the Proposer informing him of the reference number for the Amendment Proposal and the date on which the Proposal will be considered by the Panel. If, in the opinion of the Panel Secretary, the form fails to provide the information required in the CUSC, then he may reject the Proposal. The Panel Secretary will inform the Proposer of the rejection and report the matter to the Panel at their next meeting. The Panel can reverse the Panel Secretary's decision and if this happens the Panel Secretary will inform the Proposer.

The completed form should be returned to:

Beverley Viney  
Panel Secretary  
Commercial Frameworks  
National Grid  
National Grid House  
Warwick Technology Park  
Gallows Hill  
Warwick, CV34 6DA  
Or via e-mail to: [beverley.viney@uk.ngrid.com](mailto:beverley.viney@uk.ngrid.com)

(Participants submitting this form by email will need to send a statement to the effect that the proposer acknowledges that on acceptance of the proposal for consideration by the Amendments Panel, a proposer which is not a CUSC Party shall grant a licence in accordance with Paragraph 8.15.7 of the CUSC. A Proposer that is a CUSC Party shall be deemed to have granted this Licence).

3. Applicable CUSC Objectives\*\* - These are defined within the National Grid Company Transmission Licence under Section C7F, paragraph 15. Reference should be made to this section when considering a proposed amendment.

## Annex 1

### Legal Text

For CUSC exhibit B insert the following as paragraph 14 section entitled "PLEASE STUDY THE FOLLOWING NOTES BEFORE COMPLETING AND SIGNING THE APPLICATION FORM" and renumber the following paragraphs accordingly;

"14. Under Special Condition M of the **Transmission Licence** The Company has additional requirements in respect of information on offers where an **Applicant** has applied for connections in Scotland as well as in England and Wales and the **Applicant** doesn't intend to connect at all locations but intends to chose which one to connect at on the basis of the offers it receives. Question 6 in section A is intended to assist The Company in early identification of this situation arising"

For CUSC Exhibit B, insert the following wording at the end of Section A (Details of Applicant);

6 If this is an application for connection to the **GB Transmission System** in England and Wales please complete 6a. If this is an application for connection to the **GB Transmission System** in Scotland please complete 6b.

6a. Have you made any applications for connection to the **GB Transmission System** in Scotland which are being processed prior to offer by The Company or where an Offer has been made that Offer has not yet been accepted by you but remains open for acceptance?

If so, are such applications intended as alternatives to this one i.e. you intend to choose which of this or those other applications to proceed with on the basis of the offer made.

Yes  
list the applications .

not sure  
(The Company will contact you to clarify)

6b. Have you made any applications for connection to the **GB Transmission System** in England and Wales which are being processed prior to offer by The Company or where an Offer has been made that Offer has not yet been accepted by you but remains open for acceptance?

If so, are such applications intended as alternatives to this one i.e. you intend to choose which of this or those other applications to proceed with on the basis of the offer made.

Yes  
list the applications .

not sure  
(The Company will contact you to clarify)