

CUSC AMENDMENT PANEL RECOMMENDATION VOTE

Please note: This is only provided to assist CUSC Panel Members and CUSC Parties

The Amendment Panel

Obligations of Panel Members

All Panel Members have an obligation to:

- ⇒ Operate in an efficient, economical and exp expeditious manner
- ⇒ Ensure the better facilitation of the Applicable CUSC Objectives
- ⇒ Act impartially

Role of Panel Members

Generally

- ⇒ Oversee the Amendment Proposal process
- ⇒ Review and comment upon Amendment documents produced at each stage of the process
- ⇒ Debate Amendment Proposals and any associated issues
- ⇒ Offer guidance and views to Amendment proposers, Working Groups and Standing Groups etc

Decisions

- ⇒ Determine whether to uphold or overturn the Panel Secretary's decision to reject an Amendment Proposal
- ⇒ Decide upon the route each Amendment Proposal will take i.e. Working Group or direct to wider consultation by National Grid
- ⇒ Determine the overall likely timetable for the Amendment Proposal
- ⇒ Determine whether to establish a Working Group or action an existing Standing Group to consider the Amendment Proposal
- ⇒ Appoint a Working Group Chairman, where appropriate appoint a Standing Group Chairman
- ⇒ Determine and approve Terms of Reference and timescale for Working Groups
- ⇒ Decide whether to amalgamate Amendment Proposals that are inter-related or connected
- ⇒ Determine the Consultation Period for the Amendment Proposal with National Grid

Recommendations

- ⇒ Discuss each Amendment Proposal and any Alternatives tabled at the Amendments Panel and assess against the Applicable CUSC Objectives
- ⇒ Vote as to whether the Amendment Proposal and/or any Alternatives is Supported or Opposed in relation to against the Applicable CUSC Objectives or Abstain from voting
- ⇒ Provide a reason for each Recommendation decision

Individual Panel Members Duties

- ⇒ Act impartially in accordance with the CUSC
- ⇒ Aim to achieve the better facilitation of the Applicable CUSC Objectives
- ⇒ Disclose conflicts of interest
- ⇒ Attend monthly Panel Meetings
- ⇒ Appoint an alternate, if unable to attend Panel Meetings
- ⇒ Participate in Panel debates
- ⇒ Partake in decisions and recommendations
- ⇒ Consider potential impacts upon other Industry Codes
- ⇒ Chair or become involved in Working Groups, as and when required
- ⇒ Attend Urgent Panel Meetings, as and when required

Process for the Recommendation Vote

The Amendment Panel Chairman shall facilitate the Recommendation discussion and Vote. National Grid shall present the Amendment Report to the Panel and provide a brief overview of the Amendment and any Alternatives. Also highlight any specific issues/concerns or comments made during the Consultation process.

The Panel will discuss the Amendment and any Alternatives and will be requested by the Chairman to make a recommendation on each, in relation to whether it better facilitates the Applicable CUSC Objectives.

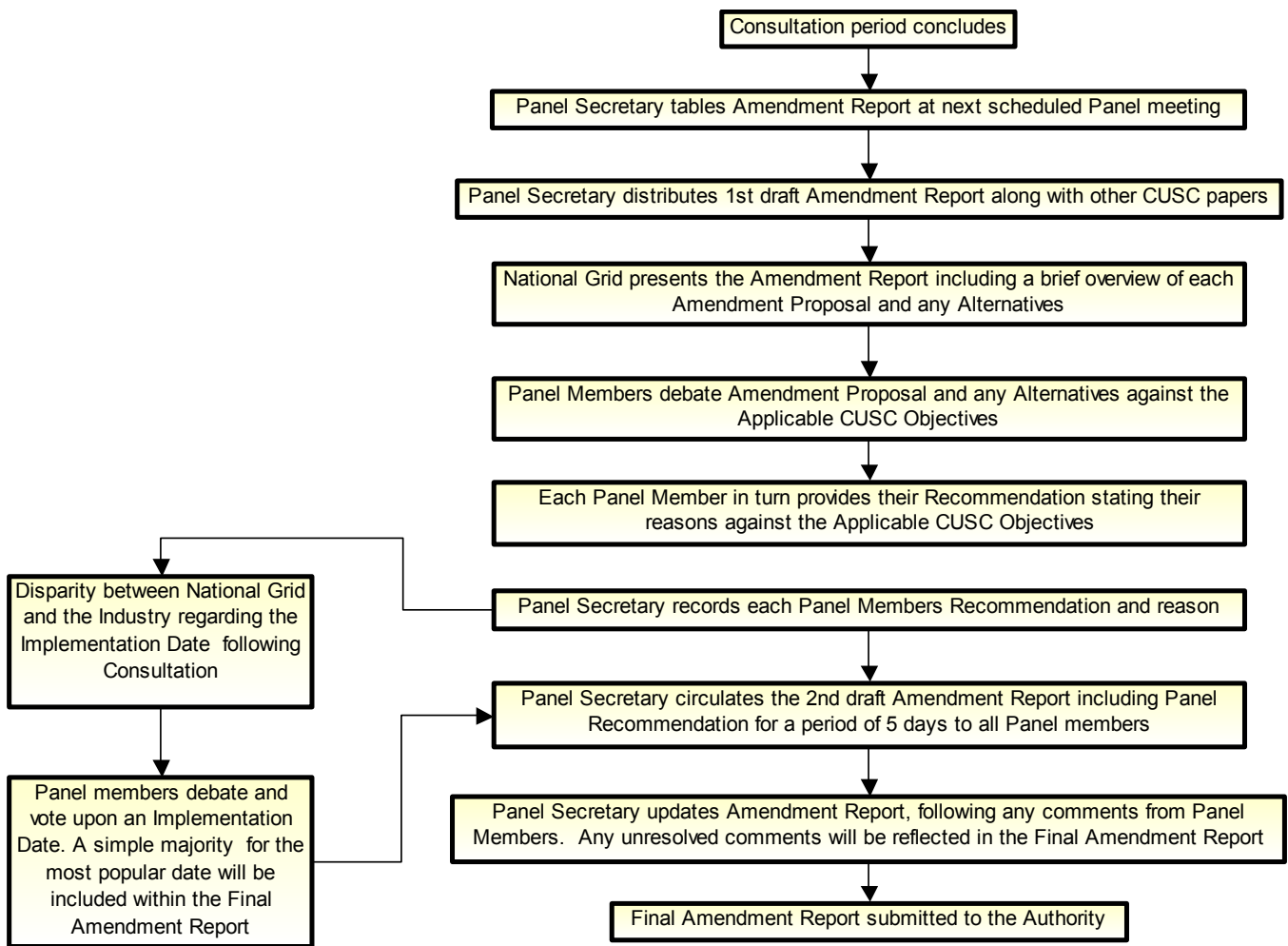
Panel member have the option to support, oppose any Amendment or abstain from voting. However, Panel Members will be required to state a reason for their decision, which will be included within the final Amendment Report submitted to the Authority.

NB: ONLY PANEL MEMBERS AND APPOINTED ALTERNATES CAN PARTICIPATE IN THE RECOMMENDATION DISCUSSION AND VOTE.

Following the Recommendation discussion and Vote, the Panel may be requested to undertake a vote in relation to Implementation Dates, when a disparity occurs between National Grid and the Industry.

Each Amendment and any Alternatives shall be voted upon in turn and a simple majority will determine the Implementation Date that will be included in the final Amendment Report

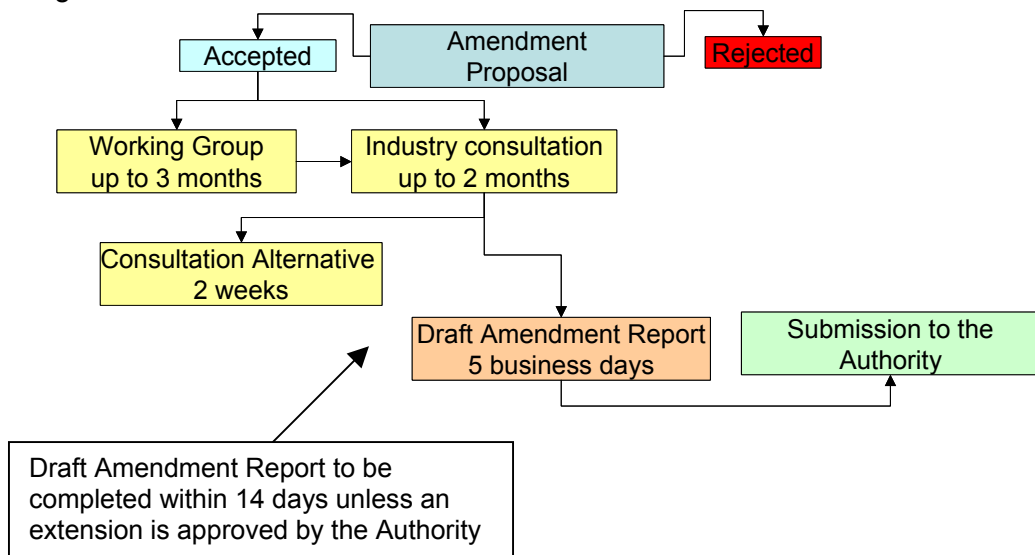
The Recommendation Vote processes is summarised in the flow diagram below:



Amendment Timeline

Pre CAP100/101

Previously, the Amendment Proposal Timetable was as follows; with an overall timescale of 3 months without a Working Group stage and 6 months with a Working Group stage.



Post CAP100/101

Following the implementation of the Amendment Panel Recommendation the Amendment Proposal Timetable is as follows; with a potential extension of timescales by 1 month for the Amendment Report to be tabled at the next scheduled Panel Meeting. Therefore the maximum overall timescale without a Working Group stage is 4 months and 7 months with a Working Group stage.



Examples under the new process

Example 1

- ❖ Consultation closes on the 5th of the month
- ❖ 1st draft Amendment Report is prepared (within 14 days) and is circulated on Panel Paper day on the 19th of the month along with the Panel Agenda and supporting documents for comment
- ❖ Recommendation Vote is undertaken on 27th at the Panel Meeting
- ❖ 2nd draft Amendment Report is prepared the week after the Panel and circulated for comment by Panel Members for 5 days
- ❖ The final Amendment Report is submitted to the Authority, at the latest, on the 10th of the subsequent month
- ❖ Overall Timetable = Without Working Group stage is 3 months. With a Working Group stage is 6 months.

Example 2

- ❖ Consultation closes on the 24th of the month, therefore it is not possible to table the Amendment Report at the next Panel meeting on the 28th
- ❖ 1st Amendment Report draft is prepared (within 14 days) and circulated for 5 days on the 8th of the following month
- ❖ The Amendment Report is tabled at Panel on the 26th and a Recommendation Vote is undertaken
- ❖ 2nd draft Amendment Report is prepared week after the Panel and circulated for 5 days
- ❖ The final Amendment Report is submitted to the Authority, at the latest on the 10th of the subsequent month
- ❖ Overall Timetable = Without Working Group stage is 4 months. With a Working Group stage is 7 months.