nationalgrid

Stage 03: Report to the Authority

Grid Code

GC0068 Grid Code New & Revised Unit Data & Instructions

This proposal seeks to modify the Grid Code to capture changes to unit data and instructions resulting from functionality introduced with National Grid's new Electricity Balancing System.

The purpose of this document is to assist the Authority in its decision of whether to implement the proposed Grid Code Modification.

Published on: 29 January 2014



National Grid recommends:

National Grid supports the implementation of GC0068 as it better facilitates the Applicable Grid Code Objectives (i), (ii) and (iii)



High Impact:

BM Participants, National Grid



Medium Impact:

None identified



Low Impact:

None identified

What stage is this document at?

01

Workgroup Report

02

Industry Consultation

03

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Any Questions?

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About this document

This document is the Report to the Authority for GC0068 which contains the responses to the Industry Consultation and the National Grid recommendation. The purpose of this document is to assist the Authority in their decision whether to implement the GC0068 proposed changes.

The revisions to the Grid Code proposed by National Grid and sent to the Authority require approval by that body and will, if approved, come into force on such date (or dates) of which Authorised Electricity Operators will be notified by National Grid, in accordance with the Authority's approval.

Document Control

Version	Date	Author	Change Reference
1.0	29 January 2014	National Grid	Final Report to the
			Authority

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1 Executive Summary

- 1.1 National Grid's Balancing Mechanism (BM) System is being replaced with the Electricity Balancing System (EBS). The BM System receives data from market participants, issues instructions and publishes the results to the Balancing Mechanism Reporting Agent (BMRA) and Settlement Administration Agent (SAA).
- 1.2 EBS is currently planned to go-live in the second quarter of 2015. National Grid will support the existing industry interfaces of Electronic Dispatch Logging (EDL) and Electronic Data Transfer (EDT) at EBS go-live and for five years following go-live. From around six months after implementation, National Grid will offer market participants the opportunity to move to the new industry interfaces EDT* and EDL* which will allow new and revised data and instructions proposed by this consultation to be exchanged by electronic means.
- 1.3 This modification proposes changes to the Grid Code to formalise the new industry interfaces that have previously been subject to industry consultation¹. Whilst there may be a cost to BM Participants of moving from existing to new interfaces, there will be the option of lower cost interfaces e.g. via the internet and the changes delivered by EBS will facilitate competition and efficiency. The key changes include:
 - The introduction of formal definitions for the existing terms for data communication technologies: Electronic Data Communication Facilities and Automatic Logging Device. Additionally, new 'child' terms are introduced for each to identify the relevant interface. Automatic Logging Device (EDL) and Electronic Data Communication Facilities (EDL & EDT) refer to those using legacy interfaces. Automatic Logging Device (EDL*) and Electronic Data Communication Facilities (EDT*) refer to those using new interfaces.
 - The removal of provisions for submission of Day Ahead Dynamic Parameters and the consequent transfer of the definitions of Dynamic Parameter from the Appendix of BC1 to the Appendix of BC2
 - The addition of parallel sections detailing the attributes of certain Dynamic Parameters depending on whether the existing interface (EDL) or the new interface (EDT*) is being used, in particular:
 - Up to three Run-Up / Run-Down rates can be submitted at a minimum of 0.2MW/min using the EDL whereas up to ten rates can be submitted at a minimum of 0.02MW/min using EDT*;
 - The Stable Import and Export Limits (SIL and SEL) are submitted as single static MW values using EDL whilst timevarying profiles can be submitted using EDT*.
 - The description of Tap Changes, under Reactive Power, has been revised to include details from the latest Operational Guidance Note for Simultaneous Tap Changes
 - BC2 has been expanded to detail the arrangements for the deviation of a BM Unit from zero that has been operating at zero as a result of Bid-Offer Acceptances

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http://www.nationalgrid.com/NR/rdonlyres/B961884A-EC28-4771-A40F-02F254B00A18/28752/bmrepconsultationv10.pdf; http://www.nationalgrid.com/NR/rdonlyres/D8A635FF-D73D-486C-97A1-6A0F1BA66627/43481/bmrepconsultation2interfacesandBMUmodellingv10.pdf

- Changes to the content and format of the Reactive Power capability and Frequency Response availability fax forms
- 1.4 In parallel with GC0068, the informal consultation 'Consultation on changes to "Data Validation, Consistency and Defaulting Rules" document' proposed changes to the associated document in response to the BM Unit Data changes defined in the Grid Code. The responses to this consultation are provided in Section 6 with those to GC0068. The proposed text changes to the 'Data Validation, Consistency and Defaulting Rules' are provided in Annex 3, as a separate document, to this Report.
- 1.5 Modification P297 has been raised to the Balancing and Settlement Code (BSC) to ensure that the Dynamic Data Set, the Dynamic Parameters as they are defined in the BSC, is revised in line with the changes brought in by EBS.
- 1.6 Some consequential changes have been identified to the Connection and Use of System Code (CUSC) where it details the Grid Code's requirements for Automatic Logging Devices and Electronic Data Communications Facilities. As these amendments are minor and dependent on the Grid Code changes being implemented, it is recommended that a CUSC Modification Proposal is raised once the implementation date of the proposed Grid Code changes has been determined.
- 1.7 National Grid consulted on the proposals in this report and the informal associated consultation (the responses to which are also contained in this document). The consultation period opened on 4 November and closed on 3 December 2013. Five responses were received, all of which were broadly supportive of the proposals, with some comments on specific changes. Further detail on the responses received can be found in Section 6.

National Grid Recommendation

- 1.8 If the proposals are accepted, a phased implementation of the proposed changes is recommended, specifically:
 - Early delivery of the revised fax form changes in BC2 Appendices 3 &
 4, to be implemented 4 months following Authority Decision;
 - The Grid Code changes relating to BMU data and changes to the Data, Validation Consistency and Defaulting Rules to be delivered on or around the date of the go-live of the Electricity Balancing System as those changes are associated with the introduction of the new system
- 1.9 National Grid supports the implementation of GC0068 as it better facilitates the Applicable Grid Code Objectives (i), (ii) and (iii). It does this by:
 - Allowing a greater range of data to be exchanged electronically between BM Participants and National Grid;
 - Providing BM Participants with a greater range of IT options with which to exchange data with National Grid;
 - Improving the modelling of CCGT modules, especially their start-up profiles;
 - Removing obligations on BM Participants to submit data that is no longer used;
 - Detailing the arrangements that apply when a BM Unit deviates from zero following operation at zero as a result of Bid-Offer Acceptances.

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2 Why Change?

Background

- 2.1 National Grid's Balancing Mechanism (BM) System, that receives data from market participants, issues instructions and publishes the results to the Balancing Mechanism Reporting Agent (BMRA) and Settlement Administration Agent (SAA), is being replaced with the Electricity Balancing System (EBS). In October 2008, National Grid launched a consultation² on proposals for a replacement BM system designed to offer improved functionality and resilience. Amendments are now required to the Grid Code, to facilitate the functional enhancements delivered by the EBS.
- 2.2 At the January 2013 Grid Code Review Panel (GCRP) meeting two Issue Papers³ were presented, for Reactive and Frequency Report Fax Form Information (pp13/03) and New and Revised Balancing Code Parameters and Instructions (pp13/04). The GCRP asked the Electricity Balancing System Group (EBSG) to progress solutions to industry consultation. Due to the synergies between these proposed changes (impacting the same sections of the Grid Code, BC1 & BC2), the changes proposed in pp13/03 and pp13/04 are being consolidated into this single report. However it is recommended that the implementation be split, delivering revised fax form changes ahead of the dynamic parameter changes (which will be in line with EBS go-live).
- 2.3 National Grid will support the existing industry interfaces of EDL and EDT at EBS go-live and for five years following go-live. From approximately six months after EBS go-live, National Grid will offer market participants the opportunity to move to the new industry interfaces EDT* and EDL* which will allow a greater range of data and instructions to be exchanged by electronic means. This approach has been agreed with the industry at the EBSG and the associated IT sub-group, and was the subject of an industry consultation⁴ in 2010.

2.4 Key changes comprise:

- Reactive and Frequency Report Fax Form Information -
 - Revisions to the data exchanged to improve clarity and simplicity and to facilitate the electronic exchange of this data;
- New and Revised Balancing Code Parameters -
 - Revisions to the Grid Code to accommodate changes to the definitions of Dynamic Parameters that have been agreed with industry and are supported by the new system;
- "Re-synchronisation" of BM Units that have been bid off -
 - Currently the Grid Code provides no information on the arrangements that should apply when a BM Unit is deviating from zero following being bid off. Since the introduction of NETA in 2001, custom and practice has been established but is undocumented. Clarifications are required for reference in the case of dispute and for the benefit of new entrants;
- Simultaneous Tap Change -



EDL & EDL

Electronic Dispatch
Logging (EDL) connects
National Grid and Control
Points. It allows National
Grid to send BOAs,
Ancillary Service
instructions to Control
Points and Control Points
to send National Grid
Export & Import Limits
and Dynamic Parameters.

Electronic Data Transfer (EDT) connects Trading
Points to National Grid. It
allows market participants
to send Physical
Notifications, Bid-Offer
Data Prices and Export &
Import Limits to National
Grid.

EDT* is the new industry interface that supports data submission from both Trading Points and Control Points including the new and revised Dynamic Parameters and Ancillary Services Operational Data. Data can be submitted computer to computer over private lines or using web-pages over the internet.

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² http://www.nationalgrid.com/NR/rdonlyres/B961884A-EC28-4771-A40F-02F254B00A18/28752/bmrepconsultationv10.pdf

³These propose to revise and simplify the reactive power capability and frequency response availability information submitted by market participants (13/03) and to introduce new and revised Dynamic Parameters and instructions, facilitated by EBS (13/04).

http://www.nationalgrid.com/uk/Electricity/Codes/gridcode/reviewpanelinfo/2013/16th+January/

⁴ http://www.nationalgrid.com/NR/rdonlyres/73CC8BC8-B070-4BF2-A24E-B1A15A43A9F8/44635/Reportonbmrepconsultation2v11.pdf

Update to the Grid Code to reflect current arrangements for Simultaneous Tap Change instructions as these are only presently detailed in a Grid Code associated document.

- 2.5 Alongside the GC0068 consultation, National Grid proposed changes to the Data Validation, Consistency and Defaulting Rules document. The current version of the Data Validation, Consistency and Defaulting Rules document is Issue 8. When changes are made to this document the reference to it in the Grid Code Glossary and Definitions will need to be updated to Issue 9. This update to Issue 9 will only be implemented if the changes proposed to the Data Validation, Consistency and Defaulting Rules document are made. The changes that have been proposed are contained in Annex 3 of this report (attached as a separate document).
- 2.6 BSC modification P297⁵ has been raised in parallel to this modification to ensure that the Dynamic Data Set, the Dynamic Parameters as they are defined in the BSC, is revised in line with the changes brought in by EBS such that the new and revised parameters can be published on the BMRS.
- 2.7 Section 6.8 of the CUSC refers to provisions under the Grid Code for interface facilities required of BSC Trading Parties and BM Participants (referencing Connection Conditions 6.5.8). It is anticipated that some minor consequential amendments will be required to the CUSC to reflect these Grid Code changes. To ensure consistency between Codes, it is recommended that the consequential CUSC changes are postponed until the implementation date of the proposed Grid Code changes has been agreed.

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⁵ http://www.elexon.co.uk/mod-proposal/p297/

3.1 The following changes to the Grid Code are being proposed to reflect the new functionality offered by the EBS in line with industry views expressed in their responses to consultations and Workgroup discussions.

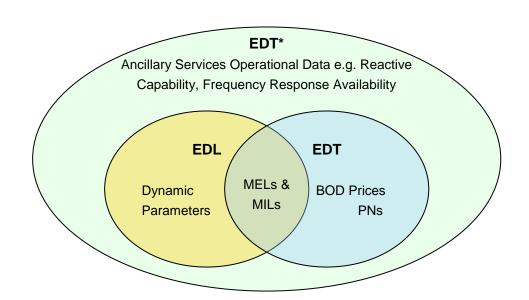
Revised Balancing Code Parameters and Instructions

Glossary and Definitions

- 3.2 **New Definitions Introduced:** For the five year period after EBS go-live, National Grid will support both the existing EDL & EDT and the new EDL* & EDT* industry interfaces. To maintain clarity and distinction between legacy and non-legacy arrangements, it is proposed that new terms are added to the Grid Code Glossary and Definitions.
- 3.3 The terms Automatic Logging Device (ALD) and Electronic Data Communication Facilities (EDCF) are both used in the Grid Code but are currently undefined. Definitions are proposed for these generic terms plus two further interface-specific definitions relating to each term, indicated by a suffix to the term. 'Automatic Logging Device (EDL)' is the existing interface for issuing instructions and 'Electronic Data Communication Facilities (EDL & EDT)' are the existing interfaces for submitting data, whilst 'Automatic Logging Device (EDL*)' is the new interface for issuing instructions and 'Electronic Data Communication Facilities (EDT*)' is the new interface for submitting data. Therefore six new definitions will be introduced: a parent and two child terms each for ALD and EDCF. As a consequence of formally defining these terms there are several instances throughout the Grid Code document of minor changes to capitalise the newly defined terms.

Electronic Data Communication Facilities

The single replacement for all the Electronic Data Communication Facilities, EDT*, allows the submission of all the data types supported by EDL and EDT plus Ancillary Services Operational Data. EDT* allows data submission over the internet as well as by the existing private networks.





Automatic Logging Devices

Proposed to formally take the meaning of computer facilities at a Control Point capable of receiving Bid-Offer Acceptances and other instructions issued by NGET (via EDL or EDL*).



Electronic Data Communication Facilities

Proposed to formally take the meaning of computer facilities at a Trading or Control Point capable of submitting BM Unit and Ancillary Service data to NGET (via EDL & EDT or EDT*).



Abbreviations

MEL Maximum Export Limit

MIL Maximum Import Limit

PN Physical Notification

BOD Bid-Offer Data **BOA** Bid-Offer

Acceptance

AS Ancillary Service

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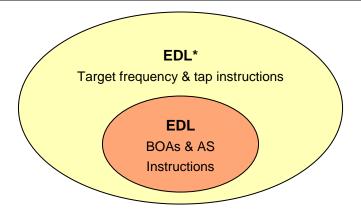
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Automatic Logging Device

The replacement Automatic Logging Device, EDL*, allows the receipt of all the instructions supported by EDL plus additional Ancillary Service ones.



Go to Glossary and Definitions Grid Code Text

Dynamic Parameters

3.4 Removal of References to Day Ahead Dynamic Parameters: Day Ahead Dynamic Parameters are no longer used by National Grid and as their submission represents an overhead to market participants, then it is proposed that they are removed from the Grid Code. However, if market participants' existing IT systems or business processes mean that they must continue to send this data to National Grid, then National Grid's systems will continue to accept this data via the existing industry interface of EDT for five years following the implementation of these changes. It is proposed that the relevant section BC1.4.2(e), is removed from the Grid Code.

Go to BC1.4.2(e)

3.5 **Transfer of Dynamic Parameter details to BC2 Appendices:** As the main use of Dynamic Parameters is post gate closure, particularly with the removal of Day Ahead Dynamic Parameters from the Grid Code, it is proposed that the Dynamic Parameter details, currently in BC1 (Appendix 1.5), be transferred to the Appendix of BC2⁶.

Go to New BC2 Appendix for Dynamic Parameters

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⁶ The new Appendix is added to BC2 (currently 'Appendix X' to be attributed a section number on implementation of the modification). Whilst Appendix X is entirely new, for ease of review, only revisions to existing wording (taken from BC1.A.1.5) have been change-marked in red.

- 3.6 Changes to Dynamic Parameter Attributes: Since there will be a period of five years when both existing and new industry system interfaces are effective, the Grid Code parameters should be detailed such that both sets are applicable. Two parallel sections are proposed, detailing the attributes of the Dynamic Parameters relevant to communication via existing industry interfaces and via new industry interfaces. These are outlined in BC2.A.X.2 and BC2.A.X.3 respectively. In particular, differences between the system interface facilities are:
 - a Control Point can submit up to three Run-Up / Run-Down rates at a minimum of 0.2MW/min using the existing industry interface (EDL), whereas a Control Point or Trading Point can submit up to ten rates at a minimum of 0.02MW/min using the new industry interface (EDT*);
 - under the existing industry interface (EDL), Stable Import and Stable Export Limits (SEL and SIL) are submitted as single static MW values, whereas under the new industry interface (EDT*), time-varying MW profiles can be submitted.

Go to New BC2 Appendix for Dynamic Parameters

3.7 Clarification of Effective Time: In the original text, under BC2.5.3.1, it is stated that a submission of Dynamic Parameters from a BM Participant will take effect from time of receipt by National Grid. This statement was valid whilst the Dynamic Parameters consisted only of static point values. However it will no longer apply to SEL and SIL when submissions of timevarying profiles, that may take effect in the future, are enabled. To avoid confusion this indiscriminate statement has been removed and replaced with similar statements, within the introductions to Dynamic Parameters in BC2.A.X.2 and BC2.A.X.3, explicitly indicating the SEL and SIL as exceptions in the case of new EBS interfaces.

Go to New BC2 Appendix for Dynamic Parameters

BC2 Ancillary Service Instructions

The instructions for Target Frequency have now been classified as Ancillary Service instructions within BC2. This is because Target Frequency is associated with the Ancillary Service of Frequency Response and because EDL* is capable of issuing Target Frequency instructions electronically. Consequentially, the line covering changes to Target Frequency has moved from Other Operational Instructions BC2.10.2(e) to Ancillary Services BC2.8.1(d).

Go to BC2.8 Ancillary Services

Appendix 2 of BC2 provides details of the forms of Ancillary Service Instructions that can be communicated via ALD or telephone. Since there are now two types of ALD (EDL and EDL*) a duplicate section has been added (BC2.A.2.5) to detail the form of instructions that can be issued by EDL*. As instructions for Target Frequency can be sent via ALD (EDL*) or telephone their required forms of instructions have been added to the relevant sections (BC2.A.2.5(d) and BC2.A.2.6(c)).

Go to BC2 Appendix 2

3.10 Under Reactive Power the description of Tap Changes has been updated. An Operational Guidance Note⁷ was issued in January 2012 specifically relating to instructions issued from National Grid via Fax for Simultaneous

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http://www.nationalgrid.com/NR/rdonlyres/71E25EA8-F172-4B13-AFDD-91D399873F16/52391/FaxInstructionsforSimultaneousTapChangeIssue310Jan2012.pdf

Tap Changes. The wording in the description for Tap Changes has been revised for consistency with the details of the latest Guidance Note.

Go to Tap Changes Descriptions

Arrangements for the deviation from zero of BM Units that are operating at zero as a result of Bid-Offer Acceptances

- 3.11 Text has been introduced into BC2 to detail the arrangements that shall apply for the subsequent deviation of a BM Unit from zero following operation at zero as a result of Bid-Offer Acceptances. This is proposed because situations of this type represent a substantial proportion of all rescheduling activity, but at present the Grid Code does not detail the arrangements that shall apply. To this end a new term Deviation from Zero Time (DZT) has been created to identify the time at which the BM Unit will deviate from zero. This is intended to improve the clarity of this text but is not being introduced as a parameter required for submission. definition of the Dynamic Parameter Notice to Deviate from Zero in the appendix to BC2 has been revised to now also be applicable when the BM Unit's Physical Notification is non-zero.
- 3.12 First to be outlined are the default arrangements that apply in the absence of any communications between the BM Participant and National Grid. This is illustrated in Figure 1 below. In this specific circumstance, the Notice to Deviate from Zero (NDZ) cannot be greater than the Minimum Zero Time (MZT) as otherwise either the BM Participant would have to be notified of a subsequent deviation from zero before it had commenced operation at zero, or NDZ would effectively over-ride MZT. situation, the BM Unit would deviate from zero at MZT minutes after the deviation to zero and National Grid would issue Bid-Offer Acceptances to effect this.

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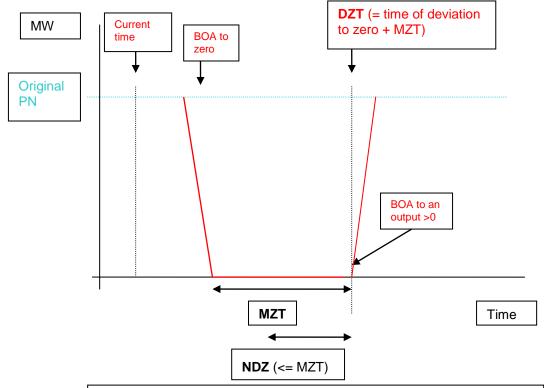


Figure 1: Default arrangements where BM Unit deviates from zero MZT minutes after deviation to zero

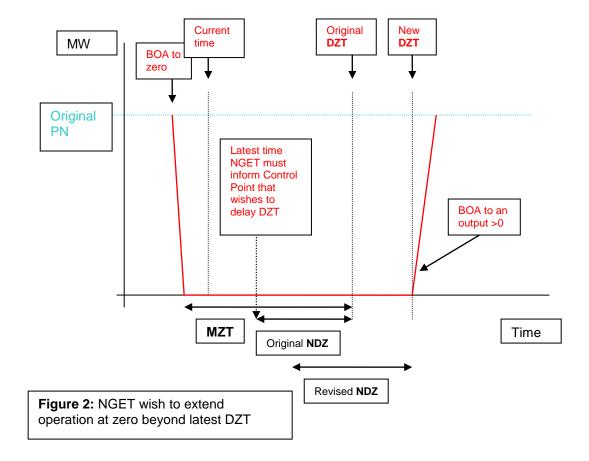
3.13 Secondly, the process by which National Grid would, if required, extend the operation at zero time of a BM Unit beyond the most recently established DZT is outlined. By no later than NDZ minutes prior to the DZT, National Grid would inform the BM Participant that the BM Unit period of operation at zero requires extending by communicating a new DZT. Once notified of this the BM Participant may re-declare the NDZ of the BM Unit such that it is applicable to the revised DZT, but that still allows the new DZT to be achieved. This allows the BM Participant to reflect any increase in NDZ as a result of extended operation at zero, which will be respected if National Grid wish to further extend the operation at zero. This is demonstrated in Figure 2 below.

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Go to BC2.5.2.6 Arrangements for the deviation from zero of BM Units that are operating at zero as a result of Bid-Offer Acceptances

Fax Forms for Reactive Power Capability and Availability of Frequency Sensitive Mode

- 3.14 Appendices 3 and 4 of BC2 contain the fax forms used by BM Participants to inform National Grid of changes to their Reactive Power capability and Frequency Response availability respectively. The enduring EBS interface solution will be for Reactive Power capability and Frequency Response availability to be submitted electronically. The relevant information to be communicated has been reviewed in the EBSG Workgroup meetings, using the fax forms as a means of discussing and agreeing the information to be exchanged. Whilst electronic submission of data is intended to be the enduring solution, submission of information to National Grid via fax forms will continue to be supported, therefore it is proposed that the fax forms are updated. The changes to these fax forms are not dependent on the implementation of EBS.
- 3.15 The following changes have been proposed to Reactive Power Appendix 3, Annexure 2 and 3:
 - Clarification that a revision to the reactive power capability pertains to the relevant Ancillary Services Agreement.
 - Changing the column headings to minimum and maximum capability rather than lead and lag and also giving lag capabilities a positive sign and lead a negative sign. This is to remove the ambiguity that is present in the current forms, for example, if a BM Unit is constrained to operate in the lead range, at present they must enter the least leading value in the lag column which has caused confusion as to whether the value entered is lead or lag and errors in system operation and settlement.

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Go to Appendix 3 - Annexure 3

- Removal of sections on tap changer restrictions since the information is
 of limited value and the comments field can be used to identify if the
 cause is a tap changer restriction. Any restriction on the reactive
 power capability of the unit, module or dc converter should be reflected
 in the minimum and maximum capability data submitted as per the
 proposed BC2.A.3.2.
- Removal of Predicted End Time/Date since this field would be of limited value as a further confirmation fax would be required in any case. The comments field can be used to identify a predicted end time if known.
- Removal of the section where information can be provided on the capability of the Commercial Boundary, as National Grid calculates this from the stator terminal data, the Ancillary Service Agreement and week 24 data submissions.
- 3.16 The following further changes have been proposed to Reactive Power Appendix 3, Annexure 3 only:
 - Replacement of Power Park Units with Power Park Modules as the Mandatory Service Agreement details reactive power capability at this level.
 - Replacement of the various options of the point at which reactive capability is specified with Commercial Boundary which is consistent with the Mandatory Service Agreements that have been agreed for Power Park Modules.

Go to Appendix 3 – Annexure 3

- 3.17 The following changes have been proposed to Frequency Response Appendix 4:
 - Introduction of the option to detail the availability of individual contract modes for a given BM Unit, recognising that some BM Units have multiple frequency response contract modes of which some may be available and unavailable at a given time.

Go to Appendix 3 - Annexure 1

- Removal of field for cause of unavailability of Frequency Sensitive Mode since this information can be included in the comments field.
- Removal of Unavailability Predicted End Time/Date for the same reasoning as paragraph 3.16, bullet 4 above.
- 3.18 In addition to the above, a number of 'housekeeping' changes have been made to the forms, e.g. changing MVAr references to 'Reactive Power Capability' and updating the addressee to 'National Electricity Transmission System Control Centre'. Furthermore, as a result of a consultation response requesting that the fax forms are each contained in single page forms, a number of formatting changes have been made to facilitate this. Copies of the fax form templates with deleted items removed, to demonstrate the single page formats, can be found at the end of Annex 1.

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Proposed Implementation Timescales

3.19 Whilst the GC0068 consultation envisaged implementing all of the Grid Code changes in line with EBS go-live, a response to that consultation was raised suggesting a split implementation into two phases to facilitate an earlier delivery of fax form changes. This suggestion was taken to both EBSG and GCRP where it received support (for further details please see Section 6.3.9). Therefore National Grid proposes a split implementation. With the exception of the Fax Form changes, GC0068 and the associated changes to the Data Validation, Consistency and Defaulting Rules are proposed to be implemented on or around the date of the go-live of the Electricity Balancing System as those changes are associated with the introduction of the new system. The fax form changes are proposed to be implemented four months after the Authority decision.

GC0068: Changes to Fax Forms

- 3.20 As the proposed revisions to the fax forms are not dependent on EBS implementation and will deliver benefits to the industry, in particular the removal of current ambiguity regarding interpretation of some of the fields, National Grid recommends the early implementation of these changes. In order to allow industry participants sufficient time to implement any associated process changes to accommodate the format of the new forms, implementation is proposed for four months following Authority Decision.
- 3.21 For the avoidance of doubt, the fax form changes to be implemented earlier are as follows:
 - All of the proposed changes to BC2 Appendix 3 with the exception of the new paragraph BC2.A.3.4 (referencing EDT* and to be implemented with the other Grid Code changes)
 - All of the proposed changes to BC2 Appendix 4 with the exception of the new paragraph BC2.A.4.3 (referencing EDT* and to be implemented with the other Grid Code changes)

GC0068: Changes to Parameters and Instructions

3.22 The implementation date for EBS is yet to be determined but is planned for the second quarter of 2015. Advance notice of implementation will be provided by National Grid. For the latest view of timescales parties should refer to the latest newsletter, project update or the more detailed existing interfaces testing & transition plan available at the link below:

http://www2.nationalgrid.com/UK/Industry-information/Electricity-codes/Balancing-framework/Document-feeds/EBS-(BM-Replacement)/EBS-IT-Sub-Group/

- 3.23 To allow BM Participants to determine the industry interfaces that they should be using at a given time, Section CC.6.5.8 specifies the latest date by which they should have migrated to the new interfaces. This date is currently left as a placeholder, stating 'implementation date + 5 years' that has previously been agreed with the industry (responses to consultation of 11 October 2010), to be populated when the Grid Code changes are executed and the actual implementation date is known.
- 3.24 From around six months after implementation, National Grid will offer market participants the opportunity to move to the new industry interfaces EDT* and EDL*.

Go to CC.6.5.8

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4 Summary of Workgroup Discussions

Purpose & Scope of Workgroup

- 4.1 At the January 2013 GCRP, National Grid presented pp13/03 and pp13/04, both of which proposed that the Electricity Balancing System Workgroup (EBSG) examine the respective issues, taking solutions forward to Industry Consultation. The Panel agreed that the papers be discussed at the EBSG meetings and progressed to consultation thereafter.
- 4.2 A copy of the Terms of Reference for EBSG is available at:

 http://www.nationalgrid.com/NR/rdonlyres/D0B17CC6-C84A-47DF-8720-71B014EE5586/49434/EBSGToRpaperGCRPv10.pdf
- 4.3 Minutes from the EBSG can be found on the National Grid website at:

http://www2.nationalgrid.com/UK/Industry-information/Electricity-codes/Grid-code/Modifications/GC0038/

- 4.4 With regards to this modification, the Workgroup discussed and made recommendations on the following key areas:
 - The content of information to be communicated electronically and to be presented on the fax forms. In particular whether frequency response data should be submitted pertaining to a module or Generating Unit basis.
 - How the Dynamic Parameter text should be structured with regards to pre- and post- EBS interface guidelines. In particular, whether to embed parallel rules for existing and new industry interfaces within the body of the text or to maintain distinct separation of legacy and new definitions. The option supported by the EBSG is to maintain a distinct separation on the basis that removal of legacy references will be easier to implement.
 - The EBSG discussed placement of the definitions of the Dynamic Parameters which are currently contained in BC1. The recommendation of the group is that, particularly given the formal removal of references to the redundant Day Ahead Dynamic Parameters, it is now more appropriate for the definitions of the Dynamic Parameters to be held in BC2 as their main use is post-gate closure.
 - For the definitions, various terms have been discussed to reference pre-EBS (legacy) and post-EBS interface systems. In recommending those contained in this document the EBSG sought to enhance clarity and provide an enduring solution (that will continue to be applicable once legacy systems have expired) which should be logical for users approaching the relevant text for the first time and makes use of the terms by which the interfaces are commonly known. Hence Automatic Logging Device and Electronic Data Communication Facilities are given formal definitions in the Glossary and Definitions and the suffixes (EDL & EDT / EDL* / EDT*) used to identify the specific interface types.

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5 Impact & Assessment

Impact on the Grid Code

- 5.1 The revisions to parameters, instructions and fax forms as detailed above, require amendments to the following parts of the Grid Code:
 - Glossary and Definitions: Introduction of six new definitions to distinguish various types of computer facility. Consequential changes (reference and capitalisation) to the definitions of 'Other Relevant Data' and 'Planned Maintenance Outage'. 'Simultaneous Tap Change' revised to align with the proposed BC2.A.2.7.
 - General Conditions: Revised to reflect the new interface specifications relevant to the various computer facility types. As the Interface Specifications for the new industry interfaces are yet to be published, titles have been provided 'Not Used' currently as placeholders.
 - Balancing Code 1: Revisions to text as described in Section 3. In particular, removal of Day-Ahead Dynamic Parameters references and removal of Dynamic Parameters from Appendix 1.5.
 - **Balancing Code 2:** Revisions to text as described in Section 3. In particular, addition of Dynamic Parameters to Appendices.
 - Connection Conditions: Capitalisation of newly defined terms under Electronic Data Communication Facilities CC.6.5.8.
 - **Data Registration Code:** Amendments to Schedule 8 to reflect the retirement of Day Ahead Dynamic Parameters.
 - Operating Code: Consequential reference change to OC5.5.1.3.
 - Planning Code: Consequential reference changes to PC Appendix A.3.
- 5.2 The text required to give effect to the proposal is contained in Annex 1 of this document.

Go to Annex 1

Impact on National Electricity Transmission System (NETS)

5.3 The proposal modification has a neutral impact on this objective.

Impact on Grid Code Users

- 5.4 The proposals will have the following impact on those Users who participate in the Balancing Mechanism and/or provide Ancillary Services:
 - Provide them with a greater range of options to submit BM Unit and Ancillary Services Operational data to National Grid, including lower cost options using web-pages and internet communications
 - Introduces capabilities for some existing Ancillary Services operational data to be submitted via electronic means, rather than using faxes as at present
 - Facilitates electronic data exchange of certain Ancillary Service instructions
 - Offers a greater number of run-up and run-down rates and a lower minimum ramp rate to better model the characteristics of their BM Units.

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- Allows the submission of time-varying Stable Export and Import Limits which will better reflect the time-varying nature of such data for certain types of BM Unit.
- Removal of Day Ahead Dynamic Parameters from the Grid Code thus reducing the administrative overhead on Users
- By documenting the arrangements for the deviation from zero of BM Units that are operating at zero as a result of Bid-Offer Acceptances, this will ensure that the arrangements are clear.
- By five years after the implementation of these proposals and consistent with responses to previous industry consultations, BM Participants will have to amend their systems to use the new electronic interfaces

Impact on Greenhouse Gas Emissions

5.5 National Grid has not identified any impacts that the proposed modification will have on Greenhouse Gas emissions.

Assessment against Grid Code Objectives

- 5.6 National Grid considers that GC0068 would better facilitate the Grid Code objectives as follows:
 - (i) to permit the development, maintenance and operation of an efficient, coordinated and economical system for the transmission of electricity; Enhancements to the range of data exchanged, that these proposals facilitate, would improve the precision with which National Grid can model the capabilities of BM Units on the system, thus promoting efficient dispatch.
 - (ii) to facilitate competition in the generation and supply of electricity (and without limiting the foregoing, to facilitate the national electricity transmission system being made available to persons authorised to supply or generate electricity on terms which neither prevent nor restrict competition in the supply or generation of electricity);

A number of the proposed changes would enhance the operation of the Balancing Mechanism and the call-off of Ancillary Services. In particular, the following amendments would promote a shared understanding rather than relying on knowledge of custom and practice: transfer of Dynamic Parameter text to BC2 should mitigate potential confusion regarding information requirements; detailing the arrangements that shall apply when a BM Unit recommences generation following shutdown by National Grid; revisions to the Tap Changes text; and simplifications to the Reactive and Frequency Response Fax forms. This shared understanding should mitigate knowledge barriers to entry and facilitate competition in the generation of electricity. The removal of Day Ahead Dynamic Parameters should also reduce overheads on BM Participants and thus barriers to entry.

The increase in the number of Run-Up and Run-Down Rates and the introduction of time-varying SEL/SIL has a positive impact on this objective as it allows certain types of BM Participant, principally CCGT Modules, to better model their complex run-up and run-down profiles thus reducing their exposure to imbalance charges and facilitating competition in the generation of electricity.

The efficiency gains realised from the increased information available to National Grid may facilitate reductions in balancing costs to market

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participants, thereby supporting competition in electricity generation and supply.

(iii) subject to sub-paragraphs (i) and (ii), to promote the security and efficiency of the electricity generation, transmission and distribution systems in the national electricity transmission system operator area taken as a whole; and

The improved capabilities, provided by the Electricity Balancing System and captured in these changes, will allow Generators to submit information to National Grid with increased accuracy, greater detail and improved timeliness. In particular: run-up profiles can be modelled more precisely; Stable Import and Export Limits can be submitted in advance, rather than the current situation of changing static values with immediate effect; National Grid can issue electronic rather than telephone instructions of simultaneous tap or target frequency changes; and simplification of the Reactive and Frequency Response Fax forms will ensure correct data is used in assessing the security of the NETS. Embedding these proposed changes would better facilitate this objective by improving precision and capability of information exchange between National Grid and BM Participants in the operation of the Balancing Mechanism.

(iv) to efficiently discharge the obligations imposed upon the licensee by this licence and to comply with the Electricity Regulation and any relevant legally binding decisions of the European Commission and/or the Agency.

The proposal has a neutral impact on this objective

Impact on core industry documents

- 5.7 These proposals will require modifications to the Balancing and Settlements Code to ensure the Dynamic Data Set detailed in the BSC is aligned to the Dynamic Parameters in the Grid Code. This has been raised in BSC modification P297.
- 5.8 Section 6.8 of the CUSC refers to provisions under the Grid Code for interface facilities required of BSC Trading Parties and BM Participants (referencing Connection Conditions 6.5.8). It is anticipated that some minor consequential amendments will be required to the CUSC to reflect these Grid Code changes. To ensure consistency between Codes, it is recommended that the consequential CUSC changes are postponed until such a time that the implementation date of these proposed Grid Code changes is determined.

Impact on other industry documents

5.9 These proposals will impact the Data Validation, Consistency & Defaulting Rules, which is subject to the Grid Code governance. In parallel with GC0068 National Grid issued an associated informal consultation detailing proposed changes to the Data Validation, Consistency and Defaulting Rules.

Implementation

5.10 EBS is currently planned to go-live in the second quarter of 2015. Following an Authority decision, National Grid proposes that (with the exception of fax form changes) GC0068 and the associated changes to the Data Validation, Consistency and Defaulting Rules be implemented on or



Data, Validation, Consistency and Defaulting Rules

An associated document referenced by the Grid Code that defines the rules for data validation and consistency checking which are applied to Balancing Mechanism data received from Trading Agents and Control Points under the terms of the Grid Code. It also covers defaulting rules to be applied in the absence of expected data.

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around the date of the go-live of the Electricity Balancing System as the changes are associated with the introduction of the new system. National Grid proposes that the implementation date for these changes be agreed once the go-live date of the Electricity Balancing System has been confirmed. The fax form changes are proposed to be implemented four months following Authority decision.

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6 Consultation Responses

- 6.1 National Grid has consulted Authorised Electricity Operators (AEOs) on this issue. The consultation period opened on 4 November 2013 and closed on 3 December 2013. Five responses were received during the consultation period.
- 6.2 The below table provides an overview of the 5 five responses received. Copies of the responses are included in Annex 2.

Ref	Company	Supportive	Comments
CR-01	SSE Generation	Yes	 Provides clarity Agree that the proposed changes better facilitate applicable objectives (i), (ii) and (iii)
CR-02	E.On	Yes	 Form changes will require changes to established supporting systems, increasing implementation costs Agree that the proposed changes better facilitate applicable objectives (i), (ii) and (iii)
CR-03	Scottish Power Energy Management Ltd	Yes	Agree that the proposed changes better facilitate applicable objectives (i), (ii) and (iii)
CR-04	EDF Energy	Yes	 Agree that the proposed changes better facilitate applicable objectives (i), (ii) and (iii) Regarding DVC&D: it may avoid confusion if a new document be produced for the new EBS and the old one becomes expired in due course, this is because some of the rules are different under the new EBS.
CR-05	RWE	Yes	Agree that the proposed changes better facilitate applicable objectives (i), (ii) and (iii) on the basis that National Grid's system is being replaced. If National Grid's system is not being replaced, the proposed changes associated with the new interfaces only would not better facilitate the applicable Grid Code objectives.

National Grid Comments on Responses

- 6.3 National Grid would like to thank all of the respondents for their comments regarding GC0068 and their support. Some specific comments on the proposed changes were raised in the responses which will be addressed here.
 - 6.3.1 Do you support introducing definitions for existing terms of Automatic Logging Device and Electronic Data Communication Facilities and associated sub-terms?

Yes. However, the proposed new terms are potentially confusing. It may be helpful to clarify within the definitions that Automatic Logging Device (EDL) and electronic Data Communication Facilities (EDL and EDT) are legacy systems.

National Grid have agreed with the respondent to add a sentence to both definitions stating that these interfaces are time-limited and referring them to the proposed CC6.5.8 which details the time limit.

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6.3.2 Do you support the proposed approach of maintaining parallel sections of text pertaining to existing and new industry interfaces?

This does perhaps avoid large chunks of duplicated text going into the code but is less clear than a completely new section. As soon as the old EDL/EDT is no longer supported the clauses should be tidied up.

The approach of maintaining separate paragraphs (within a given section) to reference text applicable to legacy (EDL/EDT) and new (EDL*/EDT*) interfaces has been discussed in detail at several EBSG meetings in 2013. As the data supported by the existing and the new interfaces have much in common, then a completely new section could cause confusion. National Grid agrees that as soon as the existing interfaces are no longer supported then the clauses relating to them should be removed.

6.3.3 Are you in favour of increasing the maximum number of Run-Up and Run-Down rates from 3 to 10 and reducing the minimum rate from 0.2MW/min to 0.02MW/min?

Minimum rate to 0.02MW/min: it is noted that it would take 50 minutes to effect a change of 1 MW at a rate of 0.02MW/min, the usefulness of being able to reduce the run up / run down rate to this level is questionable

As discussed and agreed with the respondent, these slow rates have been introduced more to assist CCGT Modules in modelling the 'holds' in their run-up profiles than to represent a unit changing load.

6.3.4 Do you agree with the amendments to the description of Tap Changes in BC2.A.2.7 (Reactive Power)?

Yes, although we do not support the use of the word 'normally' in relation to the issuing of the instruction and it should be deleted. The Grid Code should be more certain and clearly state the obligation on the system operator in relation to the timing of issuing instructions.

Text changed to 'will provide at least 30 minutes notice before the target time of the instruction unless it is necessary to preserve the integrity of the National Electricity Transmission System.'

6.3.5 Do you agree with the proposals to detail the arrangements that shall apply when deviating from zero?

No. Although we appreciate the improvement that is trying to be achieved the proposed solution is confusing and may not be transparent to market participants. At the very least the DZT should be a submitted parameter, however our preference would be to make NDZ time dependent. This would mean that the DZT term would not be required.

A time-varying NDZ will not be offered at EBS go-live or in the first release of the new interfaces; however the design of the EDT* interface does have the capability in the future to support time-varying Dynamic Parameters, including NDZ, once the arrangements have been agreed.

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6.3.6 Does changing the column heading from Lead and Lag to Minimum and Maximum and indicating lag capability by a positive sign and lead by a negative sign remove ambiguity e.g. when the unit or module has no capability in the lagging range?

No. The User has no better idea what quantiities minimum and maximum refer to than Lead and Lag. A better alternative (if clarification is needed) may be to specify 'Reactive Power Range' as a sub-heading with the subsequent boxes marked 'To' and 'From' and adding a footnote that a +ve value indicates lagging power factor and -ve value indicates leading power factor.

National Grid's view is that minimum and maximum do have some advantages over 'To' and 'From' in that minimum does indicate that the value submitted should be more negative than the maximum value, whereas this may not be as clear with 'To' and 'From'.

6.3.7 On the fax form for Power Park Modules and DC Converters, do you agree with replacing all the various alternatives for the location at which the reactive power capability is specified with the Commercial Boundary?

Yes but it may be helpful to identify on the fax, for the avoidance of doubt, the actual location at which the reactive power capability is specified

Unfortunately, with the move to electronic submissions, the receiving computer system will not be able to make use of this information. If the BM Participant submits the reactive capability for their Power Park Modules and DC Converters at the Commercial Boundary, then the operational and settlement processes should work correctly.

6.3.8 Do you have any drafting comments on the changes to the Reactive Capability fax forms / Frequency Sensitive Mode fax form?

Ensure that the text is kept within a single page.

The formats of the fax form templates have been revised to display the necessary content on a single page. Annex 1 provides two versions of the fax forms: those within the body of BC2 include struck through text that will be removed; at the end of Annex 1 are copies of the fax form templates with deleted items removed to demonstrate the single page formats. These can be seen here:

Go to Fax Forms - Deletions Removed

In addition, it is suggested that for clarity the Annex 2 fax requires the Rated MW to be specified

Rated MW is already submitted to National Grid as part of the 'week 24' data and therefore both the existing and proposed fax forms are designed to prevent it from being re-submitted as it is not clear what actions would need to be taken if the values differed.

Clarity required on what the acceptance by NGET actually means. Is it accepting a readable document or is it accepting the changes, and if so when do they become agreed?

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It is accepting a readable document. We understand that this comment arose from the distance between the 'Legibility of FAX' header and the Acceptable/Unacceptable boxes, at the bottom of Appendix 3 - Annexure 1. This has been reformatted such that the heading is closer to Acceptable/Unacceptable.

6.3.9 Do you agree with the proposed timescales for implementation of the Grid Code changes?

The use of updated fax forms for reactive and frequency response does not need to wait for go-live of the new EBS

Whilst four out of five of the responses were in favour of the proposed timescales, this suggestion to split implementation (of fax forms changes and parameter/instruction Grid Code changes) was raised to the EBSG (in meeting of 18 Dec 2013) and received support from attendees. Following this an email was circulated to EBSG members recommending that implementation be split and revised fax forms delivered earlier. One respondent indicated that a minimum of three months would be required following an Authority Decision to make appropriate process changes to accommodate the new fax forms, a further two responses were received, both of which were supportive. A recommendation was taken to GCRP (in meeting of 15 Jan 2014) to implement the revised fax forms earlier than other GC0068 changes and received support from the Panel. Therefore implementation of the revised fax forms is proposed for four months following an Authority Decision, whilst other GC0068 changes are proposed to be in line with EBS implementation (as per the original consultation).

6.3.10 Do you agree with the proposed changes detailed in the 'Consultation on Changes to "Data Validation, Consistency and Defaulting Rules" Document'?

Definitions of EDT and EDT*: Clarify that these devices only send BM Unit (or Generating Unit) data from the User to National Grid.

National Grid has revised these definitions consistent with this comment.

Definition of EDT: add after 'submissions from the User to National Grid'

National Grid has revised this definition consistent with this comment.

It may avoid confusion if a new document be produced for the new EBS and the only one becomes time expired in due course. This is because some of the rules are different under the new EBS.

The approach of maintaining arrangements for legacy (EDL/EDT) and new (EDT*) interfaces within a single DVC&D document has been discussed in detail at several EBSG meetings in 2013. This has been discussed with the respondent and the following points were agreed:

- Separate DVC&D documents would tend to complicate the Grid Code, for example it would probably need to state that the data validation for MEL/MIL submitted by EDT* is detailed in one document, whereas that for MEL/MIL submitted by EDL/EDT is in another document. It is probably not desirable to complicate an industry code in order to simplify subsidiary document(s).
- All the validation and consistency checks for the new (EDT*) interface are detailed in section 5 of the proposed DVC&D.

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 As defaulting is applied to data submitted by whatever means, if a new document was produced it is not clear in which document the defaulting rules should be specified.

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Annex 1 - Proposed Legal Text

This section contains the proposed legal text to give effect to the proposals. The proposed new text is in red and is based on Grid Code Issue 5 Revision 04.

Glossary and Definitions

Automatic Logging Device

The computer facility at a **Control Point** capable of receiving **Bid-Offer Acceptances** and certain other instructions issued by **NGET** in accordance with **BC2**. This may be an **Automatic Logging Device** (**EDL**) or an **Automatic Logging Device** (**EDL***).

Automatic Logging Device (EDL*)

An **Automatic Logging Device** whose interface specifications are listed under the heading of **Automatic Logging Device (EDL*)** Interface Specifications in the **Electrical Standards**.

Automatic Logging Device (EDL)

An **Automatic Logging Device** whose interface specifications are listed under the heading of **Automatic Logging Device (EDL)** Interface Specifications in the **Electrical Standards**. The use of this type of **Automatic Logging Device** is time limited as detailed in CC.6.5.8(b).

Dynamic Parameters

Those parameters listed in Appendix X 4 to BC2 BC1 under the heading BM Unit Data — Dynamic Parameters.

Data Validation, Consistency and Defaulting Rules The rules relating to validity and consistency of data, and default data to be applied, in relation to data submitted under the **Balancing Codes**, to be applied by **NGET** under the **Grid Code** as set out in the document "Data Validation, Consistency and Defaulting Rules" - Issue 98, dated [date tbd] 25th January 2012. The document is available on the National Grid website or upon request from **NGET**.

Electronic Data Communication Facilities The computer facilities that allow a **Trading Point** or **Control Point** to submit specified **BM Unit Data** and **Ancillary Services** data to **NGET** in accordance with **BC1** and **BC2**. These may be **Electronic Data Communication Facilities (EDL & EDT)** or **Electronic Data Communication Facilities (EDT*)**.

Electronic Data Communication Facilities (EDL & EDT) Those **Electronic Data Communication Facilities** whose interface specifications are listed under the heading of Electronic Data Communication Facilities (EDL & EDT) Interface Specifications in the **Electrical Standards**. The use of these **Electronic Data Communication Facilities** is time limited as detailed in CC.6.5.8(a).

Electronic Data Communication Facilities (EDT*) Those **Electronic Data Communication Facilities** whose interface specifications are listed under the heading of Electronic Data Communication Facilities (EDT*) Interface Specifications in the **Electrical Standards**.

Other Relevant Data

The data listed in BC1.4.2(ef) under the heading Other Relevant Data.

Planned Maintenance Outage

An outage of **NGET's Electronic Data Communication Facilities** electronic data communication facilities as provided for in CC.6.5.8 and **NGET's** associated computer facilities of which normally at least 5 days notice is given, but in any event of which at least twelve hours notice has been given by **NGET** to the **User** and which is anticipated to last no longer than 2 hours. The length of such an outage may in exceptional circumstances be extended where at least 24 hours notice has been given by **NGET** to the **User**. It is anticipated that normally any planned outage would only last around one hour.

Simultaneous Tap Change

A tap change implemented on the generator step-up transformers of **Synchronised Gensets** in accordance with Appendix 2 of **BC2**, that is effected simultaneously by **Generators** in response to an instruction from **NGET** issued simultaneously to the relevant **Power Stations**. The instruction, preceded by advance notice, must be effected as soon as possible, and in any event within one minute of receipt from **NGET** of the instruction.

Annex to the General Conditions

The **Electrical Standards** are as follows:

(a) Electrical Standards applicable in England and Wales

The Relevant Electrical Standards Document	Issue 1.0	09-Jan-2006
Control Telephony Electrical Standard	Issue 1.0	17-Sept-2007

(b) The following specifications for Automatic Logging Devices and Electronic Data Communication Facilities electronic data communications facilities with reference to EDT and EDL facilities.

Electronic Data Communication Facilities (EDL & EDT)

EDT Interface Specification	Issue 4
EDT Submitter Guidance Note	Dec-01
EDL Message Interface Specifications	Issue 4
EDL Interface Specification Guidance Note	Oct-01
EDL Instruction Interface Valid Reason Codes	Issue 2

Automatic Logging Devices (EDL)

EDL Message Interface Specifications	Issue 4
EDL Interface Specification Guidance Note	Oct-01
EDL Instruction Interface Valid Codes	Issue 2

Electronic Data Communication Facilities (EDT*)

Not Used

Automatic Logging Devices (EDL*)

Not Used

BALANCING CODE NO. 1

(BC1)

PRE GATE CLOSURE PROCESS

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BC1.1 <u>INTRODUCTION</u>

Balancing Code No1 (BC1) sets out the procedure for:

- (a) the submission of BM Unit Data and/or Generating Unit Data by each BM Participant;
- (b) the submission of certain **System** data by each **Network Operator**; and
- (c) the provision of data by NGET,

in the period leading up to Gate Closure.

BC1.2 OBJECTIVE

The procedure for the submission of **BM Unit Data** and/or **Generating Unit Data** is intended to enable **NGET** to assess which **BM Units** and **Generating Units** are expected to be operating in order that **NGET** can ensure (so far as possible) the integrity of the **National Electricity Transmission System**, and the security and quality of supply.

Where reference is made in this **BC1** to **Generating Units** (unless otherwise stated) it only applies:

- (a) to each **Generating Unit** which forms part of the **BM Unit** of a **Cascade Hydro Scheme**; and
- (b) at an **Embedded Exemptable Large Power Station** where the relevant **Bilateral Agreement** specifies that compliance with **BC1** is required:
 - (i) to each Generating Unit, or
 - (ii) to each Power Park Module where the Power Station comprises Power Park Modules.

BC1.3 SCOPE

BC1 applies to NGET and to Users, which in this BC1 means:-

- (a) BM Participants;
- (b) Externally Interconnected System Operators; and
- (c) Network Operators.

BC1.4 SUBMISSION OF DATA

In the case of **BM Units** or **Generating Units Embedded** in a **User System**, any data submitted by **Users** under this **BC1** must represent the value of the data at the relevant **Grid Supply Point**.

BC1.4.1 Communication With Users

- (a) Submission of **BM Unit Data** and **Generating Unit Data** by **Users** to **NGET** specified in BC1.4.2 to BC1.4.4 (with the exception of BC1.4.2(fe)) and the **Dynamic Parameters** is to be by use of **Electronic Data Communication Facilities**—electronic data communications facilities, as provided for in CC.6.5.8. However, data specified in BC1.4.2(c) and **Dynamic Parameters**—BC1.4.2(e) only, may be submitted by telephone or fax.
- (b) In the event of a failure of the Electronic Data Communication Facilities electronic data communication facilities, the data to apply in relation to a pre-Gate Closure period will be determined in accordance with the Data Validation, Consistency and Defaulting Rules, based on the most recent data received and acknowledged by NGET.

- (c) **Planned Maintenance Outages** will normally be arranged to take place during periods of low data transfer activity.
- (d) Upon any **Planned Maintenance Outage**, or following an unplanned outage described in BC1.4.1(b) (where it is termed a "failure") in relation to a pre-**Gate Closure** period:
 - (i) **BM Participants** should continue to act in relation to any period of time in accordance with the **Physical Notifications** current at the time of the start of the **Planned Maintenance Outage** or the computer system failure in relation to each such period of time subject to the provisions of BC2.5.1. Depending on when in relation to **Gate Closure** the planned or unplanned maintenance outage arises such operation will either be operation in preparation for the relevant output in real time, or will be operation in real time. No further submissions of **BM Unit Data** and/or **Generating Unit Data** (other than data specified in BC1.4.2(c) and BC1.4.2(e) Dynamic Parameters) should be attempted. Plant failure or similar problems causing significant deviation from **Physical Notification** should be notified to **NGET** by the submission of a revision to **Export and Import Limits** in relation to the **BM Unit** and /or **Generating Unit** so affected;
 - (ii) during the outage, revisions to the data specified in BC1.4.2(c) and BC1.4.2(e)

 Dynamic Parameters may be submitted. Communication between Users' Control Points and NGET during the outage will be conducted by telephone; and
 - (iii) no data will be transferred from **NGET** to the **BMRA** until the **Electronic Data Communication Facilities** communication facilities are re-established.

BC1.4.2 Day Ahead Submissions

Data for any **Operational Day** may be submitted to **NGET** up to several days in advance of the day to which it applies, as provided in the **Data Validation**, **Consistency and Defaulting Rules**. However, **Interconnector Users** must submit **Physical Notifications**, and any associated data as necessary, each day by 11:00 hours in respect of the next following **Operational Day** in order that the information used in relation to the capability of the respective **External Interconnection** is expressly provided. **NGET** shall not by the inclusion of this provision be prevented from utilising the provisions of BC1.4.5 if necessary.

The data may be modified by further data submissions at any time prior to **Gate Closure**, in accordance with the other provisions of **BC1**. The data to be used by **NGET** for operational planning will be determined from the most recent data that has been received by **NGET** by 11:00 hours on the day before the **Operational Day** to which the data applies, or from the data that has been defaulted at 11:00 hours on that day in accordance with BC1.4.5. Any subsequent revisions received by **NGET** under the Grid Code will also be utilised by **NGET**. In the case of all data items listed below, with the exception of item (e), **Dynamic Parameters** (Day Ahead), the latest submitted or defaulted data, as modified by any subsequent revisions, will be carried forward into operational timescales. The individual data items are listed below:

(a) Physical Notifications

Physical Notifications, being the data listed in **BC1** Appendix 1 under that heading, are required by **NGET** at 11:00 hours each day for each **Settlement Period** of the next following **Operational Day**, in respect of;

(1) BM Units:

- (i) with a **Demand Capacity** with a magnitude of 50MW or more in **NGET's Transmission Area** or 10MW or more in **SHETL's Transmission** Area or 30MW or more in **SPT's Transmission Area**; or
- (ii) comprising Generating Units (as defined in the Glossary and Definitions and not limited by BC1.2) and/or CCGT Modules and/or Power Park Modules in each case at Large Power Stations, Medium Power Stations and Small Power Stations where such Small Power Stations are directly connected to an Offshore Transmission System; or

(iii) where the **BM Participant** chooses to submit **Bid-Offer Data** in accordance with BC1.4.2(d) for **BM Units** not falling within (i) or (ii) above,

and

(2) each **Generating Unit** where applicable under BC1.2.

Physical Notifications may be submitted to NGET by BM Participants, for the BM Units, and Generating Units, specified in this BC1.4.2(a) at an earlier time, or BM Participants may rely upon the provisions of BC1.4.5 to create the Physical Notifications by data defaulting pursuant to the Grid Code utilising the rules referred to in that paragraph at 11:00 hours in any day.

Physical Notifications (which must comply with the limits on maximum rates of change listed in BC1 Appendix 1) must, subject to the following operating limits, represent the User's best estimate of expected input or output of Active Power and shall be prepared in accordance with Good Industry Practice. Physical Notifications for any BM Unit, and any Generating Units, should normally be consistent with the Dynamic Parameters and Export and Import Limits and must not reflect any BM Unit or any Generating Units, proposing to operate outside the limits of its Demand Capacity and (and in the case of BM Units) Generation Capacity and, in the case of a BM Unit comprising a Generating Unit (as defined in the Glossary and Definitions and not limited by BC1.2) or CCGT Module or Power Park Module, its Registered Capacity.

These **Physical Notifications** provide, amongst other things, indicative **Synchronising** and **De-Synchronising** times to **NGET** in respect of any **BM Unit** comprising a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC1.2) or **CCGT Module** or **Power Park Module**, and for any **Generating Units**, and provide an indication of significant **Demand** changes in respect of other **BM Units**.

(b) Quiescent Physical Notifications

Each **BM Participant** may, in respect of each of its **BM Units**, submit to **NGET** for each **Settlement Period** of the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "Quiescent Physical Notifications" to amend the data already held by **NGET** in relation to **Quiescent Physical Notifications**, which would otherwise apply for those **Settlement Periods**.

(c) Export and Import Limits

Each **BM Participant** may, in respect of each of its **BM Units** and its **Generating Units** submit to **NGET** for any part or for the whole of the next following **Operational Day** the data listed in **BC1** Appendix 1 under the heading of "**Export and Import Limits**" to amend the data already held by **NGET** in relation to **Export and Import Limits**, which would otherwise apply for those **Settlement Periods**.

Export and Import Limits respectively represent the maximum export to or import from the **National Electricity Transmission System** for a **BM Unit** and a **Generating Unit** and are the maximum levels that the **BM Participant** wishes to make available and must be prepared in accordance with **Good Industry Practice**.

(d) Bid-Offer Data

Each BM Participant may, in respect of each of its BM Units, but must not in respect of its Generating Units submit to NGET for any Settlement Period of the next following Operational Day the data listed in BC1 Appendix 1 under the heading of "Bid-Offer Data" to amend the data already held by NGET in relation to Bid-Offer Data, which would otherwise apply to those Settlement Periods. The submitted Bid-Offer Data will be utilised by NGET in the preparation and analysis of its operational plans for the next following Operational Day. Bid-Offer Data may not be submitted unless an Automatic Logging Device automatic logging device has been installed at the Control Point for the BM Unit in accordance with CC.6.5.8(b).

(e) Dynamic Parameters (Day Ahead)

- Each BM Participant may, in respect of each of its BM Units, but must not in respect of its Generating Units submit to NGET for the next following Operational Day the data listed in BC1 Appendix 1 under the heading of "Dynamic Parameters" to amend that data already held by NGET.
- These **Dynamic Parameters** shall reasonably reflect the expected true operating characteristics of the **BM Unit** and shall be prepared in accordance with **Good Industry Practice**. In any case where non-zero **QPN** data has been provided in accordance with BC1.4.2(b), the **Dynamic Parameters** will apply to the element being offered for control only, i.e. to the component of the **Physical Notification** between the **QPN** and the full level of the **Physical Notification**.
- The **Dynamic Parameters** applicable to the next following **Operational Day** will be utilised by **NGET** in the preparation and analysis of its operational plans for the next following **Operational Day** and may be used to instruct certain **Ancillary Services**. For the avoidance of doubt, the **Dynamic Parameters** to be used in the current **Operational Day** will be those submitted in accordance with BC2.5.3.1.

(e)(f) Other Relevant Data

By 11:00 hours each day each **BM Participant**, in respect of each of its **BM Units** and **Generating Units** for which **Physical Notifications** are being submitted, shall, if it has not already done so, submit to **NGET** (save in respect of item (vi) where the item shall be submitted only when reasonably required by **NGET**), in respect of the next following **Operational Day** the following:

- (i) in the case of a **CCGT Module**, a **CCGT Module Matrix** as described in **BC1** Appendix 1;
- (ii) details of any special factors which in the reasonable opinion of the BM Participant may have a material effect or present an enhanced risk of a material effect on the likely output (or consumption) of such BM Unit(s). Such factors may include risks, or potential interruptions, to BM Unit fuel supplies, or developing plant problems, details of tripping tests, etc. This information will normally only be used to assist in determining the appropriate level of Operating Margin that is required under OC2.4.6;
- (iii) in the case of **Generators**, any temporary changes, and their possible duration, to the **Registered Data** of such **BM Unit**;
- (iv) in the case of **Suppliers**, details of **Customer Demand Management** taken into account in the preparation of its **BM Unit Data**;
- (v) details of any other factors which NGET may take account of when issuing Bid-Offer Acceptances for a BM Unit (e.g., Synchronising or De-Synchronising Intervals);
- (vi) in the case of a Cascade Hydro Scheme, the Cascade Hydro Scheme Matrix as described in BC1 Appendix 1; and
- (vii) in the case of a **Power Park Module**, a **Power Park Module Availability Matrix** as described in **BC1** Appendix 1.

(f)(g) Joint BM Unit Data

BM Participants may submit **Joint BM Unit Data** in accordance with the provisions of the **BSC**. For the purposes of the Grid Code, such data shall be treated as data submitted under **BC1**.

BC1.4.3 Data Revisions

The BM Unit Data, and Generating Unit Data, derived at 1100 hours each day under BC1.4.2 above may need to be revised by the BM Participant for a number of reasons, including for example, changes to expected output or input arising from revised contractual positions, plant breakdowns, changes to expected Synchronising or De-Synchronising times, etc, occurring before Gate Closure. BM Participants should use reasonable endeavours to ensure that the data held by NGET in relation to its BM Units and Generating Units, is accurate at all times. Revisions to BM Unit Data, and Generating Unit Data for any period of time up to Gate Closure should be submitted to NGET as soon as reasonably practicable after a change becomes apparent to the BM Participant. NGET will use reasonable endeavours to utilise the most recent data received from Users, subject to the application of the provisions of BC1.4.5, for its preparation and analysis of operational plans.

BC1.4.4 Receipt Of BM Unit Data Prior To Gate Closure

BM Participants submitting **Bid-Offer Data**, in respect of any **BM Unit** for use in the **Balancing Mechanism** for any particular **Settlement Period** in accordance with the **BSC**, must ensure that **Physical Notifications** and **Bid-Offer Data** for such **BM Units** are received in their entirety and logged into **NGET's** computer systems by the time of **Gate Closure** for that **Settlement Period**. In all cases the data received will be subject to the application under the **Grid Code** of the provisions of BC1.4.5.

For the avoidance of doubt, no changes to the **Physical Notification**, **QPN** data or **Bid-Offer Data** for any **Settlement Period** may be submitted to **NGET** after **Gate Closure** for that **Settlement Period**.

BC1.4.5 BM Unit Data Defaulting, Validity And Consistency Checking

In the event that no submission of any or all of the BM Unit Data and Generating Unit Data in accordance with BC1.4.2 in respect of an Operational Day, is received by NGET by 11:00 hours on the day before that Operational Day, NGET will apply the Data Validation, Consistency and Defaulting Rules, with the default rules applicable to Physical Notifications, Quiescent Physical Notifications and Export and Import Limits, and Export and Import Limits, Stable Export Limits and Stable Import Limits data selected as follows:

- (a) for an **Interconnector User's BM Unit**, the defaulting rules will set some or all of the data for that **Operational Day** to zero, unless the relevant Interconnector arrangements, as agreed with **NGET**, state otherwise (in which case (b) applies); and
- (b) for all other **BM Units** or **Generating Units**, the defaulting rules will set some or all of the data for that **Operational Day** to the values prevailing in the current **Operational Day**.

A subsequent submission by a **User** of a data item which has been so defaulted under the **Grid Code** will operate as an amendment to that defaulted data and thereby replace it. Any such subsequent submission is itself subject to the application under the **Grid Code** of the **Data Validation**, **Consistency and Defaulting Rules**.

BM Unit Data and Generating Unit Data submitted in accordance with the provisions of BC1.4.2 to BC1.4.4 will be checked under the Grid Code for validity and consistency in accordance with the Data Validation, Consistency and Defaulting Rules. If any BM Unit Data and Generating Unit Data so submitted fails the data validity and consistency checking, this will result in the rejection of all data submitted for that BM Unit or Generating Unit included in the electronic data file containing that data item and that BM Unit's or Generating Unit's data items will be defaulted under the Grid Code in accordance with the Data Validation, Consistency and Defaulting Rules. Data for other BM Units and Generating Units included in the same electronic data file will not be affected by such rejection and will continue to be validated and checked for consistency prior to acceptance. In the event that rejection of any BM Unit Data and Generating Unit Data occurs, details will be made available to the relevant BM Participant via the Electronic Data Communication Facilities electronic data communication facilities. In the event of a difference between the BM Unit Data for the Cascade Hydro Scheme and sum of the data submitted for the Generating Units forming part of such Cascade Hydro Scheme, the BM **Unit Data** shall take precedence.

BC1.4.6 Special Provisions Relating To Interconnector Users

- (a) The total of the relevant **Physical Notifications** submitted by **Interconnector Users** in respect of any period of time should not exceed the capability (in MW) of the respective **External Interconnection** for that period of time. In the event that it does, then **NGET** shall advise the **Externally Interconnected System Operator** accordingly. In the period between such advice and **Gate Closure**, one or more of the relevant **Interconnector Users** would be expected to submit revised **Physical Notifications** to **NGET** to eliminate any such over-provision.
- (b) In any case where, as a result of a reduction in the capability (in MW) of the External Interconnection in any period during an Operational Day which is agreed between NGET and an Externally Interconnected System Operator after 0900 hours on the day before the beginning of such Operational Day, the total of the Physical Notifications in the relevant period using that External Interconnection, as stated in the BM Unit Data exceeds the reduced capability (in MW) of the respective External Interconnection in that period then NGET shall notify the Externally Interconnected System Operator accordingly.

BC1.5 INFORMATION PROVIDED BY NGET

NGET shall provide data to the Balancing Mechanism Reporting Agent or BSCCo each day in accordance with the requirements of the BSC in order that the data may be made available to Users via the Balancing Mechanism Reporting Service (or by such other means) in each case as provided in the BSC. Where NGET provides such information associated with the secure operation of the System to the Balancing Mechanism Reporting Agent, the provision of that information is additionally provided for in the following sections of this BC1.5. NGET shall be taken to have fulfilled its obligations to provide data under BC1.5.1, BC1.5.2, and BC1.5.3 by so providing such data to the Balancing Mechanism Reporting Agent.

BC1.5.1 <u>Demand Estimates</u>

Normally by 0900 hours each day, **NGET** will make available to **Users** a forecast of **National Demand** and the **Demand** for a number of pre-determined constraint groups (which may be updated from time to time, as agreed between **NGET** and **BSCCo**) for each **Settlement Period** of the next following **Operational Day**. Normally by 1200 hours each day, **NGET** will make available to **Users** a forecast of **National Electricity Transmission System Demand** for each **Settlement Period** of the next **Operational Day**. Further details are provided in Appendix 2.

BC1.5.2 Indicated Margin And Indicated Imbalance

Normally by 1200 hours each day, **NGET** will make available to **Users** an **Indicated Margin** and an **Indicated Imbalance** for each **Settlement Period** of the next following **Operational Day. NGET** will use reasonable endeavours to utilise the most recent data received from **Users** in preparing for this release of data. Further details are provided in Appendix 2.

BC1.5.3 Provision Of Updated Information

NGET will provide updated information on **Demand** and other information at various times throughout each day, as detailed in Appendix 2. **NGET** will use reasonable endeavours to utilise the most recent data received from **Users** in preparing for this release of data.

BC1.5.4 Reserve And Inadequate System Margin

Contingency Reserve

(a) The amount of Contingency Reserve required at the day ahead stage and in subsequent timescales will be decided by NGET on the basis of historical trends in the reduction in availability of Large Power Stations and increases in forecast Demand up to real time operation. Where Contingency Reserve is to be allocated to thermal Gensets, NGET will instruct through a combination of Ancillary Services instructions and Bid-Offer Acceptances, the time at which such Gensets are required to synchronise, such instructions to be consistent with Dynamic Parameters and other contractual arrangements.

Operating Reserve

(b) The amount of Operating Reserve required at any time will be determined by NGET having regard to the Demand levels, Large Power Station availability shortfalls and the greater of the largest secured loss of generation (ie, the loss of generation against which, as a requirement of the Licence Standards, the National Electricity Transmission System must be secured) or loss of import from or sudden export to External Interconnections. NGET will allocate Operating Reserve to the appropriate BM Units and Generating Units so as to fulfil its requirements according to the Ancillary Services available to it and as provided in the BC.

Inadequate System Margin

- (c) In the period following 1200 hours each day and in relation to the following Operational Day, NGET will monitor the total of the Maximum Export Limit component of the Export and Import Limits received against forecast National Electricity Transmission System Demand and the Operating Margin and will take account of Dynamic Parameters to see whether the anticipated level of the System Margin for any period is insufficient.
- (d) Where the level of the System Margin for any period is, in NGET's reasonable opinion, anticipated to be insufficient, NGET will send (by such data transmission facilities as have been agreed) a National Electricity Transmission System Warning Inadequate System Margin in accordance with OC7.4.8 to each Generator, Supplier, Externally Interconnected System Operator, Network Operator and Non-Embedded Customer.
- (e) Where, in NGET's judgement the System Margin at any time during the current Operational Day is such that there is a high risk of Demand reduction being instructed, a National Electricity Transmission System Warning High Risk of Demand Reduction will be issued, in accordance with OC7.4.8.
- (f) The monitoring will be conducted on a regular basis and a revised National Electricity Transmission System Warning - Inadequate System Margin or High Risk of Demand Reduction may be sent out from time to time, including within the post Gate Closure phase. This will reflect any changes in Physical Notifications and Export and Import Limits which have been notified to NGET, and will reflect any Demand Control which has also been so notified. This will also reflect generally any changes in the forecast Demand and the relevant Operating Margin.

- (g) To reflect changing conditions, a National Electricity Transmission System Warning
 Inadequate System Margin may be superseded by a National Electricity
 Transmission System Warning High Risk of Demand Reduction and vice-versa.
- (h) If the continuing monitoring identifies that the System Margin is anticipated, in NGET's reasonable opinion, to be sufficient for the period for which previously a National Electricity Transmission System Warning had been issued, NGET will send (by such data transmission facilities as have been agreed) a Cancellation of National Electricity Transmission System Warning to each User who had received a National Electricity Transmission System Warning Inadequate System Margin or High Risk of Demand Reduction for that period. The issue of a Cancellation of National Electricity Transmission System Warning is not an assurance by NGET that in the event the System Margin will be adequate, but reflects NGET's reasonable opinion that the insufficiency is no longer anticipated.
- (i) If continued monitoring indicates the **System Margin** becoming inadequate **NGET** may issue further **National Electricity Transmission System Warnings Inadequate System Margin** or **High Risk of Demand Reduction**.
- (j) NGET may issue a National Electricity Transmission System Warning Inadequate System Margin or High Risk of Demand Reduction for any period, not necessarily relating to the following Operational Day, where it has reason to believe there will be inadequate System Margin over a period (for example in periods of protracted Plant shortage, the provisions of OC7.4.8.6 apply).

BC1.5.5 System And Localised NRAPM (Negative Reserve Active Power Margin)

(a) (i) System Negative Reserve Active Power Margin

Synchronised Gensets must at all times be capable of reducing output such that the total reduction in output of all **Synchronised Gensets** is sufficient to offset the loss of the largest secured demand on the **System** and must be capable of sustaining this response;

(ii) Localised Negative Reserve Active Power Margin

Synchronised Gensets must at all times be capable of reducing output to allow transfers to and from the **System Constraint Group** (as the case may be) to be contained within such reasonable limit as **NGET** may determine and must be capable of sustaining this response.

- (b) NGET will monitor the total of Physical Notifications of exporting BM Units and Generating Units (where appropriate) received against forecast Demand and, where relevant, the appropriate limit on transfers to and from a System Constraint Group and will take account of Dynamic Parameters and Export and Import Limits received to see whether the level of System NRAPM or Localised NRAPM for any period is likely to be insufficient. In addition, NGET may increase the required margin of System NRAPM or Localised NRAPM to allow for variations in forecast Demand. In the case of System NRAPM, this may be by an amount (in NGET's reasonable discretion) not exceeding five per cent of forecast Demand for the period in question. In the case of Localised NRAPM, this may be by an amount (in NGET's reasonable discretion) not exceeding ten per cent of the forecast Demand for the period in question;
- (c) Where the level of **System NRAPM** or **Localised NRAPM** for any period is, in **NGET** 's reasonable opinion, likely to be insufficient **NGET** may contact all **Generators** in the case of low **System NRAPM** and may contact **Generators** in relation to relevant **Gensets** in the case of low **Localised NRAPM**. **NGET** will raise with each **Generator** the problems it is anticipating due to low **System NRAPM** or **Localised NRAPM** and will discuss whether, in advance of **Gate Closure**:-
 - (i) any change is possible in the **Physical Notification** of a **BM Unit** which has been notified to **NGET**; or

- (ii) any change is possible to the **Physical Notification** of a **BM Unit** within an **Existing AGR Plant** within the **Existing AGR Plant Flexibility Limit**;
 - in relation to periods of low **System NRAPM** or (as the case may be) low **Localised NRAPM**. **NGET** will also notify each **Externally Interconnected System Operator** of the anticipated low **System NRAPM** or **Localised NRAPM** and request assistance in obtaining changes to **Physical Notifications** from **BM Units** in that **External System**.
- (d) Following **Gate Closure**, the procedure of BC2.9.4 will apply.

BC1.6 SPECIAL PROVISIONS RELATING TO NETWORK OPERATORS

BC1.6.1 User System Data From Network Operators

- (a) By 1000 hours each day each **Network Operator** will submit to **NGET** in writing, confirmation or notification of the following in respect of the next **Operational Day**:
 - (i) constraints on its User System which NGET may need to take into account in operating the National Electricity Transmission System. In this BC1.6.1 the term "constraints" shall include restrictions on the operation of Embedded CCGT Units, and/or Embedded Power Park Modules as a result of the User System to which the CCGT Unit and/or Power Park Module is connected at the User System Entry Point being operated or switched in a particular way, for example, splitting the relevant busbar. It is a matter for the Network Operator and the Generator to arrange the operation or switching, and to deal with any resulting consequences. The Generator, after consultation with the Network Operator, is responsible for ensuring that no BM Unit Data submitted to NGET can result in the violation of any such constraint on the User System.
 - (ii) the requirements of voltage control and MVAr reserves which **NGET** may need to take into account for **System** security reasons.
 - (iii) where applicable, updated best estimates of Maximum Export Capacity and Maximum Import Capacity and Interface Point Target Voltage/Power Factor for any Interface Point connected to its User System including any requirement for post-fault actions to be implemented on the relevant Offshore Transmission System by NGET.
- (b) The form of the submission will be:
 - (i) that of a BM Unit output or consumption (for MW and for MVAr, in each case a fixed value or an operating range, on the User System at the User System Entry Point, namely in the case of a BM Unit comprising a Generating Unit (as defined in the Glossary and Definitions and not limited by BC1.2) on the higher voltage side of the generator step-up transformer, or in the case of a Power Park Module, at the point of connection) required for particular BM Units (identified in the submission) connected to that User System for each Settlement Period of the next Operational Day;

- (ii) adjusted in each case for MW by the conversion factors applicable for those **BM Units** to provide output or consumption at the relevant **Grid Supply Points**.
- (c) At any time and from time to time, between 1000 hours each day and the expiry of the next **Operational Day**, each **Network Operator** must submit to **NGET** in writing any revisions to the information submitted under this BC1.6.1.

BC1.6.2 Notification Of Times To Network Operators

NGET will make available indicative Synchronising and De-Synchronising times to each Network Operator, but only relating to BM Units comprising a Generating Unit (as defined in the Glossary and Definitions and not limited by BC1.2) or a Power Park Module or a CCGT Module Embedded within that Network Operator's User System and those Gensets directly connected to the National Electricity Transmission System which NGET has identified under OC2 as being those which may, in the reasonable opinion of NGET, affect the integrity of that User System. If in preparing for the operation of the Balancing Mechanism, NGET becomes aware that a BM Unit directly connected to the National Electricity Transmission System may, in its reasonable opinion, affect the integrity of that other User System which, in the case of a BM Unit comprising a Generating Unit (as defined in the Glossary and Definitions and not limited by BC1.2) or a CCGT Module or a Power Park Module, it had not so identified under OC2, then NGET may make available details of its indicative Synchronising and De-Synchronising times to that other User and shall inform the relevant BM Participant that it has done so, identifying the BM Unit concerned.

BC1.7 SPECIAL ACTIONS

- BC1.7.1 NGET may need to identify special actions (either pre- or post-fault) that need to be taken by specific Users in order to maintain the integrity of the National Electricity Transmission System in accordance with the Licence Standards and NGET Operational Strategy.
 - (a) For a Generator special actions will generally involve a Load change or a change of required Notice to Deviate from Zero NDZ, in a specific timescale on individual or groups of Gensets.
 - (b) For **Network Operators** these special actions will generally involve **Load** transfers between **Grid Supply Points** or arrangements for **Demand** reduction by manual or automatic means
 - (c) For Externally Interconnected System Operators (in their co-ordinating role for Interconnector Users using their External System) these special actions will generally involve an increase or decrease of net power flows across an External Interconnection by either manual or automatic means.
- BC1.7.2 These special actions will be discussed and agreed with the relevant **User** as appropriate. The actual implementation of these special actions may be part of an "emergency circumstances" procedure described under **BC2**. If not agreed, generation or **Demand** may be restricted or may be at risk.
- BC1.7.3 **NGET** will normally issue the list of special actions to the relevant **Users** by 1700 hours on the day prior to the day to which they are to apply.

BC1.8 PROVISION OF REACTIVE POWER CAPABILITY

BC1.8.1 Under certain operating conditions **NGET** may identify through its **Operational Planning** that an area of the **National Electricity Transmission System** may have insufficient **Reactive Power** capability available to ensure that the operating voltage can be maintained in accordance with **NGET's Licence Standards**.

In respect of Onshore Synchronous Generating Unit(s)

(i) that have a Connection Entry Capacity in excess of Rated MW (or the Connection Entry Capacity of the CCGT Module exceeds the sum of Rated MW of the Generating Units comprising the CCGT Module); and

- (ii) that are not capable of continuous operation at any point between the limits 0.85 **Power**Factor lagging and 0.95 **Power Factor** leading at the **Onshore Synchronous**Generating Unit terminals at **Active Power** output levels higher than **Rated MW**; and
- (iii) that have either a Completion Date on or after 1st May 2009, or where its Connection Entry Capcity has been increased above Rated MW (or the Connection Entry Capacityof the CCGT Module has increased above the sum of Rated MW of the Generating Units comprising the CCGT Module) such increase takes effect on or after 1st May 2009; and
- (iv) that are in an area of potentially insufficient **Reactive Power** capability as described in this clause BC1.8.1,

NGET may instruct the Onshore Synchronous Generating Unit(s) to limit its submitted Physical Notifications to no higher than Rated MW (or the Active Power output at which it can operate continuously between the limits 0.85 Power Factor lagging to 0.95 Power Factor leading at its terminals if this is higher) for a period specified by NGET. Such an instruction must be made at least 1 hour prior to Gate Closure, although NGET will endeavour to give as much notice as possible. The instruction may require that a Physical Notification is re-submitted. The period covered by the instruction will not exceed the expected period for which the potential deficiency has been identified. Compliance with the instruction will not incur costs to NGET in the Balancing Mechanism. The detailed provisions relating to such instructions will normally be set out in the relevant Bilateral Agreement.

APPENDIX 1 - BM UNIT DATA

BC1.A.1 More detail about valid values required under the Grid Code for BM Unit Data and Generating Unit Data may be identified by referring to the Data Validation, Consistency and Defaulting Rules. In the case of Embedded BM Units and Generating Units the BM Unit Data and the Generating Unit Data shall represent the value at the relevant Grid Supply Point. Where data is submitted on a Generating Unit basis, the provisions of this Appendix 1 shall in respect of such data submission apply as if references to BM Unit were replaced with Generating Unit. Where NGET and the relevant User agree, submission on a Generating Unit basis (in whole or in part) may be otherwise than in accordance with the provisions of the Appendix 1.

BC1.A.1.1 Physical Notifications

For each **BM Unit**, the **Physical Notification** is a series of MW figures and associated times, making up a profile of intended input or output of **Active Power** at the **Grid Entry Point** or **Grid Supply Point**, as appropriate. For each **Settlement Period**, the first "from time" should be at the start of the **Settlement Period** and the last "to time" should be at the end of the **Settlement Period**.

The input or output reflected in the **Physical Notification** for a single **BM Unit** (or the aggregate **Physical Notifications** for a collection of **BM Units** at a **Grid Entry Point** or **Grid Supply Point** or to be transferred across an **External Interconnection**, owned or controlled by a single **BM Participant**) must comply with the following limits regarding maximum rates of change, either for a single change or a series of related changes:

•	for a change of up to 300MW	no limit;
•	for a change greater than 300MW and less than 1000MW	50MW per minute;
•	for a change of 1000MW or more	40MW per minute,

unless prior arrangements have been discussed and agreed with **NGET**. This limitation is not intended to limit the Run-Up or Run-Down Rates provided as **Dynamic Parameters**.

An example of the format of **Physical Notification** is shown below. The convention to be applied is that where it is proposed that the **BM Unit** will be importing, the **Physical Notification** is negative.

			From		To
Data Name	BMU name	Time From	level	Time To	Level
			(MW)		MW)
PN , TAGENT	, BMUNIT01	,2001-11-03 06:30 ,	77	,2001-11-03 07:00 ,	100
PN , TAGENT	, BMUNIT01	,2001-11-03 07:00 ,	100	,2001-11-03 07:12 ,	150
PN , TAGENT	, BMUNIT01	,2001-11-03 07:12,	150	,2001-11-03 07:30 ,	175

A linear interpolation will be assumed between the **Physical Notification** From and To levels specified for the **BM Unit** by the **BM Participant**.

BC1.A.1.2 Quiescent Physical Notifications (QPN)

For each **BM Unit** A series of MW figures and associated times, which describe (optional) the MW levels to be deducted from the **Physical Notification** of a **BM Unit** to determine a resultant operating level to which the **Dynamic Parameters** associated with that **BM Unit** apply.

An example of the format of data is shown below.

			From		To
Data Name	BMU name	Time From	level	Time To	level
			(MW)		(MW)
QPN , TAGENT	, BMUNIT04 ,	2001-11-03	, -200	,2001-11-03 07:00	, -220
		06:30			
QPN , TAGENT	, BMUNIT04 ,	2001-11-03	, -220	,2001-11-03 07:18	3, -245
		07:00			
QPN , TAGENT	, BMUNIT04 ,	2001-11-03	, -245	,2001-11-03 07:30	, -300
		07:18			

A linear interpolation will be assumed between the **QPN** From and To levels specified for the **BM Unit** by the **BM Participant**.

BC1.A.1.3 <u>Export And Import Limits</u>

BC1.A.1.3.1 Maximum Export Limit (MEL)

A series of MW figures and associated times, making up a profile of the maximum level at which the **BM Unit** may be exporting (in MW) to the **National Electricity Transmission System** at the **Grid Entry Point** or **Grid Supply Point**, as appropriate.

BC1.A.1.3.2 <u>Maximum Import Limit (MIL)</u>

A series of MW figures and associated times, making up a profile of the maximum level at which the **BM Unit** may be importing (in MW) from the **National Electricity Transmission System** at the **Grid Entry Point** or **Grid Supply Point**, as appropriate.

An example format of data is shown below. MEL must be positive or zero, and MIL must be negative or zero.

			From		То
Data Name	BMU name	Time From	level	Time To	level
			(MW)		(MW)
MEL , TAGENT	, BMUNIT01	,2001-11-03 05:00	, 410	,2001-11-03 09:35	, 410
MEL , TAGENT	, BMUNIT01	,2001-11-03 09:35	, 450	,2001-11-03 12:45	, 450
MIL , TAGENT	, BMUNIT04	,2001-11-03 06:30	, -200	,2001-11-03 07:00	, -220

BC1.A.1.4 Bid-Offer Data

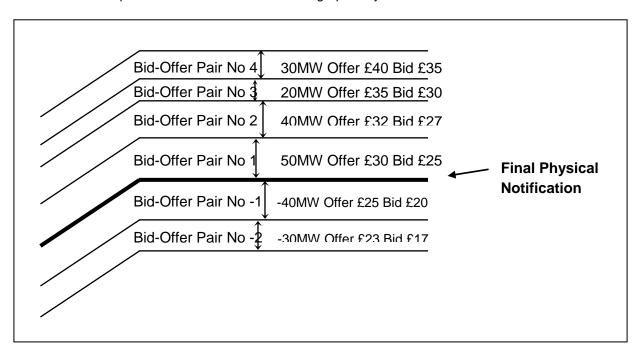
6.4

For each **BM Unit** for each Up to 10 Bid-Offer Pairs as defined in the **Settlement Period**: **BSC**.

An example of the format of data is shown below.

						Ρ	air	From	To	Offer	Bic	ı
Dat	Name	BMU	Time from		Time to		ID	Level	Level	(£/	(£/	
а		name						(MW)	(MW)	MWh)	MW	n)
BO,	TAGENT,	BMUNITO,	2000-10-28	,	2000-10-28	,	4	, 30	, 30	, 40	, 35	
BO,	TAGENT,	BMUNITO,	2000-10-28	,	2000-10-28	,	3	, 20	, 20	, 35	, 30	
BO,	TAGENT,	BMUNITO,	2000-10-28	,	2000-10-28	,	2	, 40	, 40	, 32	, 27	
BO,	TAGENT,	BMUNITO,	2000-10-28	,	2000-10-28	,	1	, 50	, 50	, 30	, 25	
BO,	TAGENT,	BMUNITO,	2000-10-28	,	2000-10-28	,	-1	, -40	, -40	, 25	, 20	
BO,	TAGENT,	BMUNITO,	2000-10-28	,	2000-10-28	,	-2	, -30	, -30	, 23	, 17	

This example of Bid-Offer data is illustrated graphically below:



The Dynamic Parameters comprise:

- Up to three Run-Up Rate(s) and up to three Run-Down Rate(s), expressed in MW/minute and associated Run-Up Elbow(s) and Run-Down Elbow(s), expressed in MW for output and the same for input. It should be noted that Run-Up Rate(s) are applicable to a MW figure becoming more positive;
- Notice to Deviate from Zero (NDZ) output or input, being the notification time required for a BM Unit to start importing or exporting energy, from a zero Physical Notification level as a result of a Bid-Offer Acceptance, expressed in minutes;
- Notice to Deliver Offers (NTO) and Notice to Deliver Bids (NTB), expressed in minutes, indicating the notification time required for a BM Unit to start delivering Offers and Bids respectively from the time that the Bid-Offer Acceptance is issued. In the case of a BM Unit comprising a Genset, NTO and NTB will be set to a maximum period of two minutes;
- Minimum Zero Time (MZT), being either the minimum time that a BM Unit which has
 been exporting must operate at zero or be importing, before returning to exporting or the
 minimum time that a BM Unit which has been importing must operate at zero or be
 exporting before returning to importing, as a result of a Bid-Offer Acceptance,
 expressed in minutes;
- Minimum Non-Zero Time (MNZT), expressed in minutes, being the minimum time that a BM Unit can operate at a non-zero level as a result of a Bid-Offer Acceptance;
- Stable Export Limit (SEL) expressed in MW at the Grid Entry Point or Grid Supply
 Point, as appropriate, being the minimum value at which the BM Unit can, under stable conditions, export to the National Electricity Transmission System;
- Stable Import Limit (SIL) expressed in MW at the Grid Entry Point or Grid Supply
 Point, as appropriate, being the minimum value at which the BM Unit can, under stable conditions, import from the National Electricity Transmission System;
- Maximum Delivery Volume (MDV), expressed in MWh, being the maximum number of MWh of Offer (or Bid if MDV is negative) that a particular BM Unit may deliver within the associated Maximum Delivery Period (MDP), expressed in minutes, being the maximum period over which the MDV applies.
- Last Time to Cancel Synchronisation, expressed in minutes with an upper limit of 60 minutes, being he notification time required to cancel a BM Unit's transition from operation at zero. This parameter is only applicable where the transition arises either from a Physical Notification or, in the case where the Physical Notification is zero, a Bid-Offer Acceptance. There can be up to three Last Time to Cancel Synchronisation(s) each applicable for a range of values of Notice to Deviate from Zero.

BC1.A.1.56 CCGT Module Matrix

- BC1.A.1. 56.1 CCGT Module Matrix showing the combination of CCGT Units running in relation to any given MW output, in the form of the diagram illustrated below. The CCGT Module Matrix is designed to achieve certainty in knowing the number of CCGT Units synchronised to meet the Physical Notification and to achieve a Bid-Offer Acceptance.
- BC1.A.1. 56.2 In the case of a Range CCGT Module, and if the Generator so wishes, a request for the single Grid Entry Point at which power is provided from the Range CCGT Module to be changed in accordance with the provisions of BC1.A.1.56.4 below:

CCGT Module Matrix example form

CCGT MODULE	CCGT GENERATING UNITS* AVAILABLE									
ACTIVE POWER	1st	2 nd	3 rd	4th	5th	6th	1st	2n	3rd	
	GT	GT	GT	GT	GT	GT	ST	d ST	ST	
MW			ACT	IVE P	OWE	R OUT	PUT	01		
	15 0	15 0	15 0				10 0			
0MW to 150MW	/									
151MW to 250MW	/						/			
251MW to 300MW	/	/								
301MW to 400MW	/	/					/			
401MW to 450MW	/	/	/							
451MW to 550MW	/	/	/				/			

^{*} as defined in the Glossary and Definitions and not limited by BC1.2

- BC1.A.1. 56.3 In the absence of the correct submission of a **CCGT Module Matrix** the last submitted (or deemed submitted) **CCGT Module Matrix** shall be taken to be the **CCGT Module Matrix** submitted hereunder.
- BC1.A.1. 56.4 The data may also include in the case of a Range CCGT Module, a request for the Grid Entry Point at which the power is provided from the Range CCGT Module to be changed with effect from the beginning of the following Operational Day to another specified single Grid Entry Point (there can be only one) to that being used for the current Operational Day. NGET will respond to this request by 1600 hours on the day of receipt of the request. If NGET agrees to the request (such agreement not to be unreasonably withheld), the Generator will operate the Range CCGT Module in accordance with the request. If NGET does not agree, the Generator will, if it produces power from that Range CCGT Module, continue to provide power from the Range CCGT Module to the Grid Entry Point being used at the time of the request. The request can only be made up to 1100 hours in respect of the following Operational Day. No subsequent request to change can be made after 1100 hours in respect of the following Operational Day. Nothing in this paragraph shall prevent the busbar at the Grid Entry Point being operated in separate sections.
- BC1.A.1. 56.5 The principles set out in PC.A.3.2.3 apply to the submission of a **CCGT Module Matrix** and accordingly the **CCGT Module Matrix** can only be amended as follows:
 - (a) Normal CCGT Module

if the CCGT Module is a Normal CCGT Module, the CCGT Units within that CCGT Module can only be amended such that the CCGT Module comprises different CCGT Units if NGET gives its prior consent in writing. Notice of the wish to amend the CCGT Units within such a CCGT Module must be given at least 6 months before it is wished for the amendment to take effect:

(b) Range CCGT Module

if the CCGT Module is a Range CCGT Module, the CCGT Units within that CCGT Module can only be amended such that the CCGT Module comprises different CCGT Units for a particular Operational Day if the relevant notification is given by 1100 hours on the day prior to the Operational Day in which the amendment is to take effect. No subsequent amendment may be made to the CCGT Units comprising the CCGT Module in respect of that particular Operational Day.

- BC1.A.1. 56.6 In the case of a CCGT Module Matrix submitted (or deemed to be submitted) as part of the other data for CCGT Modules, the output of the CCGT Module at any given instructed MW output must reflect the details given in the CCGT Module Matrix. It is accepted that in cases of change in MW in response to instructions issued by NGET there may be a transitional variance to the conditions reflected in the CCGT Module Matrix. In achieving an instruction the range of number of CCGT Units envisaged in moving from one MW output level to the other must not be departed from. Each Generator shall notify NGET as soon as practicable after the event of any such variance. It should be noted that there is a provision above for the Generator to revise the CCGT Module Matrix, subject always to the other provisions of this BC1:
- BC1.A.1. 56.7 Subject as provided above, NGET will rely on the CCGT Units specified in such CCGT Module Matrix running as indicated in the CCGT Module Matrix when it issues an instruction in respect of the CCGT Module;
- BC1.A.1. 56.8 Subject as provided in BC1.A.1.56.5 above, any changes to the **CCGT Module Matrix** must be notified immediately to **NGET** in accordance with the relevant provisions of **BC1**.
- BC1.A.1. 67 <u>Cascade Hydro Scheme Matrix</u>
- BC1.A.1. 67.1 A Cascade Hydro Scheme Matrix showing the performance of individual Generating Units forming part of a Cascade Hydro Scheme in response to Bid-Offer Acceptance. An example table is shown below:

Cascade Hydro Scheme Matrix example form

Plant	Synchronises when offer is greater
	than
Generating Unit 1	MW
Generating Unit 2	MW
Generating Unit 3	MW
Generating Unit 4	MW
Generating Unit 5	MW

BC1.A.1. 78 Power Park Module Availability Matrix

BC1.A.1. 78.1 Power Park Module Availability Matrix showing the number of each type of Power Park Units expected to be available is illustrated in the example form below. The Power Park Module Availability Matrix is designed to achieve certainty in knowing the number of Power Park Units Synchronised to meet the Physical Notification and to achieve a Bid-Offer Acceptance. The Power Park Module Availability Matrix may have as many columns as are required to provide information on the different make and model for each type of Power Park Unit in a Power Park_Module. The description is required to assist identification of the Power Park Units within the Power Park Module and correlation with data provided under the Planning Code.

Power Park Module Availability Matrix example form

POWER PARK	POWER PARK UNITS
-------------------	------------------

UNIT AVAILABILITY	Type A	Type B	Type C	Type D
Description (Make/Model)				
Number of units				

- BC1.A.1. 78.2 In the absence of the correct submission of a **Power Park Module Availability Matrix** the last submitted (or deemed submitted) **Power Park Module Availability Matrix** shall be taken to be the **Power Park Module Availability Matrix** submitted hereunder.
- BC1.A.1. 78.3 **NGET** will rely on the **Power Park Units** specified in such **Power Park Module Availability Matrix** running as indicated in the **Power Park Module Availability Matrix** when it issues an instruction in respect of the **Power Park Module**;
- BC1.A.1. 78.4 Subject as provided in PC.A.3.2.4 any changes to the **Power Park Module Availability**Matrix must be notified immediately to **NGET** in accordance with the relevant provisions of **BC1**.

APPENDIX 2 - DATA TO BE MADE AVAILABLE BY NGET

BC1.A.2.1 Initial Day Ahead Demand Forecast

Normally by 09:00 hours each day, values (in MW) for each **Settlement Period** of the next following **Operational Day** of the following data items:-

- (i) Initial forecast of National Demand;
- (II) Initial forecast of **Demand** for a number of predetermined constraint groups.

BC1.A.2.2 <u>Initial Day Ahead Market Information</u>

Normally by 12:00 hours each day, values (in MW) for each **Settlement Period** of the next following **Operational Day** of the following data items:-

(i) Initial National Indicated Margin

This is the difference between the sum of **BM Unit** MELs and the forecast of **National Electricity Transmission System Demand**.

(ii) Initial National Indicated Imbalance

This is the difference between the sum of **Physical Notifications** for **BM Units** comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC1.2) or **CCGT Modules** or **Power Park Modules** and the forecast of **National Electricity Transmission System Demand**.

(iii) Forecast of National Electricity Transmission System Demand.

BC1.A.2.3 Current Day And Day Ahead Updated Market Information

Data will normally be made available by the times shown below for the associated periods of time:

Target Data		
Release Time	Period Start Time	Period End Time
02:00	02:00 D0	05:00 D+1
10:00	10:00 D0	05:00 D+1
16:00	05:00 D+1	05:00 D+2
16:30	16:30 D0	05:00 D+1
22:00	22:00 D0	05:00 D+2

In this table, D0 refers to the current day, D+1 refers to the next day and D+2 refers to the day following D+1.

In all cases, data will be ½ hourly average MW values calculated by **NGET**. Information to be released includes:

National Information

- (i) National Indicated Margin;
- (ii) National Indicated Imbalance:
- (iii) Updated forecast of National Electricity Transmission System Demand.

Constraint Boundary Information (For Each Constraint Boundary)

(i) Indicated Constraint Boundary Margin;

This is the difference between the Constraint Boundary Transfer limit and the difference between the sum of **BM Unit** MELs and the forecast of local **Demand** within the constraint boundary.

(ii) Local Indicated Imbalance;

This is the difference between the sum of **Physical Notifications** for **BM Units** comprising **Generating Units** (as defined in the Glossary and Definitions and not limited by BC1.2) or **CCGT Modules** or **Power Park Modules** and the forecast of local **Demand** within the constraint boundary.

(iii) Updated forecast of the local **Demand** within the constraint boundary.

< END OF BALANCING CODE NO. 1 >

BALANCING CODE NO. 2

(BC2)

POST GATE CLOSURE PROCESS

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BC2.1 <u>INTRODUCTION</u>

Balancing Code No 2 (BC2) sets out the procedure for:

- (a) the physical operation of BM Units and Generating Units in the absence of any instructions from NGET;
- (b) the acceptance by **NGET** of **Balancing Mechanism** Bids and Offers,
- (c) the calling off by NGET of Ancillary Services;
- (d) the issuing and implementation of Emergency Instructions; and
- (e) the issuing by **NGET** of other operational instructions and notifications.

In addition, **BC2** deals with any information exchange between **NGET** and **BM Participants** or specific **Users** that takes place after **Gate Closure**.

In this **BC2**, "consistent" shall be construed as meaning to the nearest integer MW level.

In this BC2, references to "a BM Unit returning to its Physical Notification" shall take account of any Bid-Offer Acceptances already issued to the BM Unit in accordance with BC2.7 and any Emergency Instructions already issued to the BM Unit or Generating Unit in accordance with BC2.9.

BC2.2 OBJECTIVE

The procedure covering the operation of the **Balancing Mechanism** and the issuing of instructions to **Users** is intended to enable **NGET** as far as possible to maintain the integrity of the **National Electricity Transmission System** together with the security and quality of supply.

Where reference is made in this **BC2** to **Generating Units** (unless otherwise stated) it only applies:

- (a) to each Generating Unit which forms part of the BM Unit of a Cascade Hydro Scheme; and
- (b) at an Embedded Exemptable Large Power Station where the relevant Bilateral Agreement specifies that compliance with BC2 is required:
 - (i) to each Generating Unit, or
 - (ii) to each **Power Park Module** where the **Power Station** comprises **Power Park Modules**.

BC2.3 SCOPE

BC2 applies to NGET and to Users, which in this BC2 means:-

- (a) BM Participants;
- (b) Externally Interconnected System Operators, and
- (c) Network Operators.

BC2.4 <u>INFORMATION USED</u>

BC2.4.1 The information which **NGET** shall use, together with the other information available to it, in assessing:

- (a) which bids and offers to accept;
- (b) which **BM Units** and/or **Generating Units** to instruct to provide **Ancillary Services**;
- (c) the need for and formulation of Emergency Instructions; and

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(d) other operational instructions and notifications which **NGET** may need to issue

will be:

- (a) the Physical Notification and Bid-Offer Data submitted under BC1;
- (b) Export and Import Limits, QPNs, and Joint BM Unit Data in respect of that BM Unit and/or Generating Unit supplied under BC1 (and any revisions under BC1 and BC2 to the data); and
- (c) **Dynamic Parameters** submitted or revised under this **BC2**.
- As provided for in BC1.5.4, NGET will monitor the total of the Maximum Export Limit component of the Export and Import Limits against forecast Demand and the Operating Margin and will take account of Dynamic Parameters to see whether the anticipated level of System Margin is insufficient. This will reflect any changes in Export and Import Limits which have been notified to NGET, and will reflect any Demand Control which has also been so notified. NGET may issue new or revised National Electricity Transmission System Warnings Inadequate System Margin or High Risk of Demand Reduction in accordance with BC1.5.4.

BC2.5 PHYSICAL OPERATION OF BM UNITS

BC2.5.1 Accuracy Of Physical Notifications

As described in BC1.4.2(a), **Physical Notifications** must represent the **BM Participant's** best estimate of expected input or output of **Active Power** and shall be prepared in accordance with **Good Industry Practice**.

Each BM Participant must, applying Good Industry Practice, ensure that each of its BM Units follows the Physical Notification in respect of that BM Unit (and each of its Generating Units follows the Physical Notification in the case of Physical Notifications supplied under BC1.4.2(a)(2)) that is prevailing at Gate Closure (the data in which will be utilised in producing the Final Physical Notification Data in accordance with the BSC) subject to variations arising from:

- (a) the issue of **Bid-Offer Acceptances** which have been confirmed by the **BM Participant**; or
- (b) instructions by **NGET** in relation to that **BM Unit** (or a **Generating Unit**) which require, or compliance with which would result in, a variation in output or input of that **BM Unit** (or a **Generating Unit**); or
- (c) compliance with provisions of **BC1**, **BC2** or **BC3** which provide to the contrary.

Except where variations from the **Physical Notification** arise from matters referred to at (a),(b or (c) above, in respect only of **BM Units** (or **Generating Units**) powered by an **Intermittent Power Source**, where there is a change in the level of the **Intermittent Power Source** from that forecast and used to derive the **Physical Notification**, variations from the **Physical Notification** prevailing at **Gate Closure** may, subject to remaining within the **Registered Capacity**, occur providing that the **Physical Notification** prevailing at **Gate Closure** was prepared in accordance with **Good Industry Practice**.

If variations and/or instructions as described in (a),(b) or (c) apply in any instance to **BM Units** (or **Generating Units**) powered by an **Intermittent Power Source** (e.g. a **Bid Offer Acceptance** is issued in respect of such a **BM Unit** and confirmed by the **BM Participant**) then such provisions will take priority over the third paragraph of BC2.5.1 above such that the **BM Participant** must ensure that the **Physical Notification** as varied in accordance with (a), (b) or (c) above applies and must be followed, subject to this not being prevented as a result of an unavoidance event as described below.

For the avoidance of doubt, this gives rise to an obligation on each BM Participant (applying Good Industry Practice) to ensure that each of its BM Units (and Generating Units), follows the Physical Notifications prevailing at Gate Closure as amended by such variations and/or instructions unless in relation to any such obligation it is prevented from so doing as a result of an unavoidable event (existing or anticipated) in relation to that BM Unit (or a Generating Unit) which requires a variation in output or input of that BM Unit (or a Generating Unit).

Examples (on a non-exhaustive basis) of such an unavoidable event are:

- plant breakdowns;
- events requiring a variation of input or output on safety grounds (relating to personnel or plant);
- events requiring a variation of input or output to maintain compliance with the relevant Statutory Water Management obligations; and
- uncontrollable variations in output of Active Power.

Any anticipated variations in input or output post **Gate Closure** from the **Physical Notification** for a **BM Unit** (or a **Generating Unit**) prevailing at **Gate Closure** (except for those arising from instructions as outlined in (a), (b) or (c) above) must be notified to **NGET** without delay by the relevant **BM Participant** (or the relevant person on its behalf). For the avoidance of doubt, where a change in the level of the **Intermittent Power Source** from that forecast and used to derive the **Physical Notification** results in the **Shutdown** or **Shutdown** of part of the **BM Unit** (or **Generating Unit**), the change must be notified to **NGET** without delay by the relevant **BM Participant** (or the relevant person on its behalf).

Implementation of this notification should normally be achieved by the submission of revisions to the **Export and Import Limits** in accordance with BC2.5.3 below.

BC2.5.2 <u>Synchronising And De-Synchronising Times</u>

BC2.5.2.1 The Final Physical Notification Data provides indicative Synchronising and De-Synchronising times to NGET in respect of any BM Unit which is De-Synchronising or is anticipated to be Synchronising post Gate Closure.

Any delay of greater than five minutes to the **Synchronising** or any advancement of greater than five minutes to the **De-Synchronising** of a **BM Unit** must be notified to **NGET** without delay by the submission of a revision of the **Export and Import Limits**.

- BC2.5.2.2 Except in the circumstances provided for in BC2.5.2.3, BC2.5.2.4, BC2.5.5.1 or BC2.9, no **BM Unit** (nor a **Generating Unit**) is to be **Synchronised** or **De-Synchronised** unless:-
 - (a) a Physical Notification had been submitted to NGET prior to Gate Closure indicating that a Synchronisation or De-Synchronisation is to occur; or
 - (b) NGET has issued a Bid-Offer Acceptance requiring Synchronisation or De-Synchronisation of that BM Unit (or a Generating Unit).
- BC2.5.2.3 BM Participants must only Synchronise or De-Synchronise BM Units (or a Generating Unit);
 - (a) at the times indicated to NGET, or
 - (b) at times consistent with variations in output or input arising from provisions described in BC2.5.1,

(within a tolerance of +/- 5 minutes) or unless that occurs automatically as a result of **Operational Intertripping** or **Low Frequency Relay** operations or an **Ancillary Service** pursuant to an **Ancillary Services Agreement**

BC2.5.2.4 **De-Synchronisation** may also take place without prior notification to **NGET** as a result of plant breakdowns or if it is done purely on safety grounds (relating to personnel or plant). If that happens **NGET** must be informed immediately that it has taken place and a revision to **Export and Import Limits** must be submitted in accordance with BC2.5.3.3. Following any **De-Synchronisation** occurring as a result of plant failure, no **Synchronisation** of that **BM Unit** (or a **Generating Unit**) is to take place without **NGET's** agreement, such agreement not to be unreasonably withheld.

In the case of **Synchronisation** following an unplanned **De-Synchronisation** within the preceding 15 minutes, a minimum of 5 minutes notice of its intention to **Synchronise** should normally be given to **NGET** (via a revision to **Export and Import Limits**). In the case of any other unplanned **De-Synchronisation** where the **User** plans to **Synchronise** before the expiry of the current **Balancing Mechanism** period, a minimum of 15 minutes notice of **Synchronisation** should normally be given to **NGET** (via a revision to **Export and Import Limits**). In addition, the rate at which the **BM Unit** is returned to its **Physical Notification** is not to exceed the limits specified in **BC1**, Appendix 1 without **NGET's** agreement.

NGET will either agree to the **Synchronisation** or issue a **Bid-Offer Acceptance** in accordance with BC2.7 to delay the **Synchronisation**. **NGET** may agree to an earlier **Synchronisation** if **System** conditions allow.

BC2.5.2.5 <u>Notification Of Times To Network Operators</u>

NGET will make changes to the Synchronising and De-Synchronising times available to each Network Operator, but only relating to BM Units Embedded within its User System and those BM Units directly connected to the National Electricity Transmission System which NGET has identified under OC2 and/or BC1 as being those which may, in the reasonable opinion of NGET, affect the integrity of that User System and shall inform the relevant BM Participant that it has done so, identifying the BM Unit concerned.

Each **Network Operator** must notify **NGET** of any changes to its **User System** Data as soon as practicable in accordance with BC1.6.1(c).

BC2.5.2.6 Arrangements for the deviation from zero of BM Units that are operating at zero as a result of Bid-Offer Acceptances

Bid-Offer Acceptances may be issued to **BM Units** to operate at zero. The procedure that shall apply to the subsequent deviation from zero of these **BM Units** is:

Prior to commencing operation at zero, the **Generator** shall ensure that the Notice to Deviate from Zero (NDZ) of the **BM Unit** concerned is applicable for a deviation from zero at Minimum Zero Time (MZT) minutes after the deviation to zero. In this specific circumstance only, the NDZ shall not be greater than the MZT. The time at which the **BM Unit** subsequently deviates from zero shall be referred to as the Deviation from Zero Time (DZT). In the absence of any communications between **NGET** and the **Generator** to the contrary, a **BM Unit's** DZT shall be MZT minutes after the deviation to zero and, subject to the provisions of BC2.7.2(b), **NGET** shall issue **Bid-Offer Acceptances** such that the **BM Unit** deviates from zero at DZT.

Should **NGET** require the **BM Unit** to deviate from zero at a time later than the latest DZT, then it must inform the **Control Point** of this at least NDZ minutes prior to the latest DZT. The **Generator** may then redeclare its NDZ to a value that is applicable to the new DZT, but that still allows the new DZT to be achieved. **NGET** may further delay the DZT, providing that the notification of this to the **Control Point** is at least NDZ minutes before the latest DZT. After each revision to DZT, the **Generator** may redeclare its NDZ, again with the restriction that it should still allow the new DZT to be achieved. Subject to the provisions of BC2.7.2(b), **NGET** shall issue **Bid-Offer Acceptances** such that the **BM Unit** deviates from zero at the latest DZT.

BC2.5.3 Revisions To BM Unit Data

Following Gate Closure for any Settlement Period, no changes to the Physical Notification, to the QPN data or to Bid-Offer Data for that Settlement Period may be submitted to NGET.

At any time, any BM Participant (or the relevant person on its behalf) may, in respect of any of its BM Units, submit to NGET the data listed in BC2-BC1, Appendix 4X under the heading of Dynamic Parameters from the Control Point of its BM Unit either where no such data is held by NGET, or in order to amend the any data already held by NGET (including that previously submitted under this BC2.5.3.1) for use in preparing for and operating the Balancing Mechanism. The change will take effect from the time that it is received by NGET. For the avoidance of doubt, the Dynamic Parameters submitted to NGET under BC1.4.2(e) are not used—within the current Operational Day. The Dynamic Parameters submitted under this BC2.5.3.1 shall reasonably reflect the true current operating characteristics of the BM Unit and shall be prepared in accordance with Good Industry Practice.

Following the Operational Intertripping of a System to Generating Unit or a System to CCGT Module, the BM Participant shall as soon as reasonably practicable re-declare its MEL to reflect more accurately its output capability.

Revisions to Export and Import Limits or Other Relevant Data supplied (or revised) under BC1 must be notified to NGET without delay as soon as any change becomes apparent to the BM Participant (or the relevant person on its behalf) via the Control Point for the BM Unit (or a Generating Unit) to ensure that an accurate assessment of BM Unit (or a Generating Unit) capability is available to NGET at all times. These revisions should be prepared in accordance with Good Industry Practice and may be submitted by use of Electronic Data Communication Facilities electronic data communication facilities—or by telephone.

Revisions to Export and Import Limits must be made by a BM BC2.5.3.3 Participant (or the relevant person on its behalf) via the Control Point in the event of any De-Synchronisation of a BM Unit (or a Generating Unit) in the circumstances described in BC2.5.2.4 if the BM Unit (or a Generating Unit) is no longer available for any period of time. Revisions must also be submitted in the event of plant failures causing a reduction in input or output of a BM Unit (or a Generating Unit) even if that does not lead to **De-Synchronisation**. Following the correction of a plant failure, the BM Participant (or the relevant person on its behalf) must notify NGET via the Control Point of a revision to the Export and Import Limits, if appropriate, of the BM Unit (or a Generating Unit), using reasonable endeavours to give a minimum of 5 minutes notice of its intention to return to its Physical Notification. The rate at which the BM Unit (or a Generating Unit) is returned to its Physical Notification is not to exceed the limits specified in BC1, Appendix 1 without NGET's agreement.

BC2.5.4 Operation In The Absence Of Instructions From NGET

In the absence of any **Bid-Offer Acceptances**, **Ancillary Service** instructions issued pursuant to BC2.8 or **Emergency Instructions** issued pursuant to BC2.9:

- (a) as provided for in BC3, each Synchronised Genset producing
 Active Power must operate at all times in Limited Frequency
 Sensitive Mode (unless instructed in accordance with BC3.5.4 to
 operate in Frequency Sensitive Mode);
- (b) (i) in the absence of any MVAr Ancillary Service instructions, the MVAr output of each Synchronised Genset located Onshore should be 0 MVAr upon Synchronisation at the circuit-breaker where the Genset is Synchronised. For the avoidance of doubt, in the case of a Genset located Onshore comprising of Non-Synchronous Generating Units, Power Park Modules or DC Converters the steady state tolerance allowed in CC.6.3.2(b) may be applied
 - (ii) In the absence of any MVAr Ancillary Service instructions, the MVAr output of each Synchronised Genset comprising Synchronous Generating Units located Offshore should be 0MVAr at the Grid Entry Point upon Synchronisation. For the avoidance of doubt, in the case of a Genset located Offshore comprising of Non-Synchronous Generating Units, Power Park Modules or DC Converters the steady state tolerance allowed in CC.6.3.2(e) may be applied;
- (c) (i) subject to the provisions of 2.5.4(c) (ii) and 2.5.4 (c) (iii) below, the excitation system or the voltage control system of a Genset located Offshore which has agreed an alternative Reactive Power capability range under CC.6.3.2 (e) (iii) or a Genset located Onshore, unless otherwise agreed with NGET, must be operated only in its constant terminal voltage mode of operation with VAR limiters in service, with any constant Reactive Power output control mode or constant Power Factor output control mode always disabled, unless agreed otherwise with NGET. In the event of any change in System voltage, a Generator must not take any action to override automatic MVAr response which is produced as a result of constant terminal voltage mode of operation of the automatic excitation control system unless instructed otherwise by NGET or unless immediate action is necessary to comply with Stability Limits or unless constrained by plant operational limits or safety grounds (relating to personnel or plant);
 - (ii) In the case of all Gensets comprising Non-Synchronous

Generating Units, DC Converters and Power Park Modules that are located **Offshore** and which have agreed an alternative Reactive Power capability range under CC.6.3.2 (e) (iii), or that are located **Onshore** only when operating below 20 % of the Rated MW output, the voltage control system shall maintain the reactive power transfer at the Grid Entry Point (or User System Entry Point if Embedded) to 0 MVAr. For the avoidance of doubt the relevant steady state tolerance allowed in CC.6.3.2(b) or CC.6.3.2 (e) may be applied. In the case of any such Gensets comprising current source DC Converter technology or comprising Power Park Modules connected to the Total System by a current source DC Converter when operating at any power output the voltage control system shall maintain the reactive power transfer at the Grid Entry Point (or User System Entry Point if Embedded) to 0 MVAr. For the avoidance of doubt the relevant steady state tolerance allowed in CC.6.3.2(b) or CC.6.3.2 (c) (i) may be applied.

- (iii) In the case of all **Gensets** located **Offshore** which are not subject to the requirements of BC2.5.4 (c) (i) or BC2.5.4 (c) (ii) the control system shall maintain the **Reactive Power** transfer at the **Offshore Grid Entry Point** at 0MVAr. For the avoidance of doubt the steady state tolerance allowed by CC.6.3.2 (e) may be applied.
- (d) In the absence of any MVAr **Ancillary Service** instructions,
 - (i) the MVAr output of each Genset located Onshore should be 0 MVAr immediately prior to De-Synchronisation at the circuit-breaker where the Genset is Synchronised, other than in the case of a rapid unplanned De-Synchronisation or in the case of a Genset comprising of Non-Synchronous Generating Units, Power Park Modules or DC Converters which is operating at less than 20% of its Rated MW output where the requirements of BC2.5.4 (c) part (ii) apply, or;
 - (ii) the MVAr output of each Genset located Offshore should be 0MVAr immediately prior to De-Synchronisation at the Offshore Grid Entry Point, other than in the case of a rapid unplanned De-Synchronisation or in the case of a Genset comprising of Non-Synchronous Generating Units, Power Park Modules or DC Converters which is operating at less than 20% of its Rated MW output and which has agreed an alternative Reactive Power capability range under CC.6.3.2 (e) (iii) where the requirements of BC2.5.4 (c) (ii) apply.
- (e) a **Generator** should at all times operate its **CCGT Units** in accordance with the applicable **CCGT Module Matrix**;
- (f) in the case of a Range CCGT Module, a Generator must operate that CCGT Module so that power is provided at the single Grid Entry Point identified in the data given pursuant to PC.A.3.2.1 or at the single Grid Entry Point to which NGET has agreed pursuant to BC1.4.2(fe);
- (g) in the event of the System Frequency being above 50.3Hz or below 49.7Hz, BM Participants must not commence any reasonably avoidable action to regulate the input or output of any BM Unit in a manner that could cause the System Frequency to deviate further from 50Hz without first using reasonable endeavours to discuss the proposed actions with NGET. NGET shall either agree to these changes in input or output or issue a Bid-Offer Acceptance in accordance with BC2.7 to delay the change.

- (h) a Generator should at all times operate its Power Park Units in accordance with the applicable Power Park Module Availability Matrix.
- BC2.5.5 <u>Commencement Or Termination Of Participation In The Balancing Mechanism</u>
- BC2.5.5.1 In the event that a BM Participant in respect of a BM Unit with a Demand Capacity with a magnitude of less than 50MW in NGET's Transmission Area or less than 10MW in SHETL's Transmission Area or less than 30MW in SPT's Transmission Area or comprising Generating Units (as defined in the Glossary and Definitions and not limited by BC2.2) and/or CCGT Modules and/or Power Park Modules at a Small Power Station notifies NGET at least 30 days in advance that from a specified Operational Day it will:
 - (a) no longer submit Bid-Offer Data under BC1.4.2(d), then with effect from that Operational Day that BM Participant no longer has to meet the requirements of BC2.5.1 nor the requirements of CC.6.5.8(b) in relation to that BM Unit. Also, with effect from that Operational Day, any defaulted Physical Notification and defaulted Bid-Offer Data in relation to that BM Unit arising from the Data Validation, Consistency and Defaulting Rules will be disregarded and the provisions of BC2.5.2 will not apply;
 - (b) submit **Bid-Offer Data** under BC1.4.2(d), then with effect from that **Operational Day** that **BM Participant** will need to meet the requirements of BC2.5.1 and the requirements of CC.6.5.8(b) in relation to that **BM Unit**.
- BC2.5.5.2 In the event that a BM Participant in respect of a BM Unit with a Demand Capacity with a magnitude of 50MW or more in NGET's Transmission Area or 10MW or more in SHETL's Transmission Area or 30MW or more in SPT's Transmission Area or comprising Generating Units (as defined in the Glossary and Definitions and not limited by BC2.2) and/or CCGT Modules and/or Power Park Modules at a Medium Power Station or Large Power Station notifies NGET at least 30 days in advance that from a specified Operational Day it will:
 - (a) no longer submit Bid-Offer Data under BC1.4.2(d), then with effect from that Operational Day that BM Participant no longer has to meet the requirements of CC.6.5.8(b) in relation to that BM Unit; Also, with effect from that Operational Day, any defaulted Bid-Offer Data in relation to that BM Unit arising from the Data Validation, Consistency and Defaulting Rules will be disregarded;
 - (b) submit **Bid-Offer Data** under BC1.4.2(d), then with effect from that **Operational Day** that **BM Participant** will need to meet the requirements of CC.6.5.8(b) in relation to that **BM Unit**.

BC2.6 <u>COMMUNICATIONS</u>

Electronic communications are always conducted in GMT, except where Electronic Data Communication Facilities (EDT*) are used in which case the User may submit data with times in GMT or BST. However, the input of data and display of information to Users and NGET and all other communications are conducted in London time.

BC2.6.1 Normal Communication With Control Points

- (a) With the exception of BC2.6.1(c) below, **Bid-Offer Acceptances** and, unless otherwise agreed with **NGET**, **Ancillary Service** instructions shall be given by **Automatic Logging Device** automatic logging device and will be given to the **Control Point** for the **BM Unit**. For all **Planned Maintenance Outages** the provisions of BC2.6.5 will apply. For **Generating Units** communications under **BC2** shall be by telephone unless otherwise agreed by **NGET** and the **User**.
- (b) Bid-Offer Acceptances and Ancillary Service instructions must be formally acknowledged immediately by the BM Participant (or the relevant person on its behalf) via the Control Point for the BM Unit or Generating Unit in respect of that BM Unit or that Generating Unit. The acknowledgement and subsequent confirmation or rejection, within two minutes of receipt, is normally given electronically by Automatic Logging Deviceautomatic logging device. If no confirmation or rejection is received by NGET within two minutes of the issue of the Bid-Offer Acceptance, then NGET will contact the Control Point for the BM Unit by telephone to determine the reason for the lack of confirmation or rejection. Any rejection must be given in accordance with BC2.7.3 or BC2.8.3.
- (c) In the event of a failure of the logging device or a NGET computer system outage, Bid-Offer Acceptances and instructions will be given, acknowledged, and confirmed or rejected by telephone. The provisions of BC2.9.7 are also applicable.
- (d) In the event that in carrying out the Bid-Offer Acceptances or providing the Ancillary Services, or when operating at the level of the Final Physical Notification Data as provided in BC2.5.1, an unforeseen problem arises, caused on safety grounds (relating to personnel or plant), NGET must be notified without delay by telephone.
- (e) The provisions of BC2.5.3 are also relevant.
- (f) Submissions of revised MVAr capability may be made by facsimile transmission, using the format given in Appendix 3 to **BC2**.
- (g) Communication will normally be by telephone for any purpose other than Bid-Offer Acceptances, in relation to Ancillary Services or for revisions of MVAr Data.
- (h) Submissions of revised availability of Frequency Sensitive Mode may be made by facsimile transmission, using the format given in Appendix 4 to BC2. This process should only be used for technical restrictions to the availability of Frequency Sensitive Mode.
- BC2.6.2 Communication With Control Points In Emergency Circumstances

NGET will issue Emergency Instructions direct to the Control Point for each BM Unit [or Generating Unit] in Great Britain. Emergency Instructions to a Control Point will normally be given by telephone (and will include an exchange of operator names).

BC2.6.3 Communication With Network Operators In Emergency Circumstances

NGET will issue Emergency Instructions direct to the Network Operator at each Control Centre in relation to special actions and Demand Control. Emergency Instructions to a Network Operator will normally be given by telephone (and will include an exchange of operator names). OC6 contains further provisions relating to Demand Control instructions.

BC2.6.4 <u>Communication With Externally Interconnected System Operators In</u> Emergency Circumstances NGET will issue Emergency Instructions directly to the Externally Interconnected System Operator at each Control Centre. Emergency Instructions to an Externally Interconnected System Operator will normally be given by telephone (and will include an exchange of operator names).

BC2.6.5 <u>Communications During Planned Outages Of Electronic Data</u> Communication Facilities

Planned Maintenance Outages will normally be arranged to take place during periods of low data transfer activity. Upon any such Planned Maintenance Outage in relation to a post Gate Closure period:-

- (a) BM Participants should operate in relation to any period of time in accordance with the Physical Notification prevailing at Gate Closure current at the time of the start of the Planned Maintenance Outage in relation to each such period of time. Such operation shall be subject to the provisions of BC2.5.1, which will apply as if set out in this BC2.6.5. No further submissions of BM Unit Data (other than data specified in BC1.4.2(c) and BC1.4.2(e) BC2 Appendix X) should be attempted or Generating Unit Data. Plant failure or similar problems causing significant deviation from Physical Notification should be notified to NGET by the submission of a revision to Export and Import Limits in relation to the BM Unit or Generating Unit so affected;
- (b) during the outage, revisions to the data specified in BC1.4.2(c) and BC1.4.2(e) BC2 Appendix X may be submitted. Communication between Users' Control Points and NGET during the outage will be conducted by telephone;
- (c) NGET will issue Bid-Offer Acceptances by telephone; and
- (d) no data will be transferred from **NGET** to the **BMRA** until the communication facilities are re-established.
- (e) The provisions of BC2.9.7 may also be relevant.

BC2.7 BID-OFFER ACCEPTANCES

BC2.7.1 Acceptance Of Bids And Offers By NGET

Bid-Offer Acceptances may be issued to the **Control Point** at any time following **Gate Closure**. Any **Bid-Offer Acceptance** will be consistent with the **Dynamic Parameters**, **QPNs**, **Export and Import Limits**, and **Joint BM Unit Data** of the **BM Unit** in so far as the **Balancing Mechanism** timescales will allow (see BC2.7.2).

- (a) **NGET** is entitled to assume that each **BM Unit** is available in accordance with the **BM Unit Data** submitted unless and until it is informed of any changes.
- (b) Bid-Offer Acceptances sent to the Control Point will specify the data necessary to define a MW profile to be provided (ramp rate break-points are not normally explicitly sent to the Control Point) and to be achieved consistent with the respective BM Unit's Export and Import Limits, QPNs and Joint BM Unit Data provided or modified under BC1 or BC2, and Dynamic Parameters given under BC2.5.3 or, if agreed with the relevant User, such rate within those Dynamic Parameters as is specified by NGET in the Bid-Offer Acceptances.
- (c) All **Bid-Offer Acceptances** will be deemed to be at the current "**Target Frequency**", namely where a **Genset** is in **Frequency Sensitive Mode** they refer to target output at **Target Frequency**.

(d) The form of and terms to be used by NGET in issuing Bid-Offer Acceptances together with their meanings are set out in Appendix 1 in the form of a non-exhaustive list of examples.

BC2.7.2 <u>Consistency With Export And Import Limits, QPNs And Dynamic Parameters</u>

- (a) Bid-Offer Acceptances will be consistent with the Export and Import Limits, QPNs, and Joint BM Unit Data provided or modified under BC1 or BC2 and the Dynamic Parameters provided or modified under BC2. Bid-Offer Acceptances may also recognise Other Relevant Data provided or modified under BC1 or BC2
- (b) In the case of consistency with Dynamic Parameters this will be limited to the time until the end of the Settlement Period for which Gate Closure has most recently occurred. If NGET intends to issue a Bid-Offer Acceptance covering a period after the end of the Settlement Period for which Gate Closure has most recently occurred, based upon the then submitted Dynamic Parameters, QPN's, Export and Import Limits, Bid-Offer Data and Joint BM Unit Data applicable to that period, NGET will indicate this to the BM Participant at the Control Point for the BM Unit. The intention will then be reflected in the issue of a Bid-Offer Acceptance to return the BM Unit to its previously notified Physical Notification after the relevant Gate Closure provided the submitted data used to formulate this intention has not changed and subject to System conditions which may affect that intention. Subject to that, assumptions regarding Bid-Offer Acceptances may be made by BM Participants for Settlement Periods for which Gate Closure has not yet occurred when assessing consistency with Dynamic Parameters in Settlement Periods for which Gate Closure has occurred. If no such subsequent Bid-Offer Acceptance is issued, the original Bid-Offer Acceptance will include an instantaneous return to Physical Notification at the end of the Balancing Mechanism period.

BC2.7.3 Confirmation And Rejection Of Acceptances

Bid-Offer Acceptances may only be rejected by a BM Participant :

- (a) on safety grounds (relating to personnel or plant) as soon as reasonably possible and in any event within five minutes; or
- (b) because they are not consistent with the Export and Import Limits, QPNs, Dynamic Parameters or Joint BM Unit Data applicable at the time of issue of the Bid-Offer Acceptance.

A reason must always be given for rejection by telephone.

Where a **Bid-Offer Acceptance** is not confirmed within two minutes or is rejected, **NGET** will seek to contact the **Control Point** for the **BM Unit**. **NGET** must then, within 15 minutes of issuing the **Bid-Offer Acceptance**, withdraw the **Bid-Offer Acceptance** or log the **Bid-Offer Acceptance** as confirmed. **NGET** will only log a rejected **Bid-Offer Acceptance** as confirmed following discussion and if the reason given is, in **NGET's** reasonable opinion, not acceptable and **NGET** will inform the **BM Participant** accordingly.

BC2.7.4 Action Required From BM Participants

(a) Each BM Participant in respect of its BM Units will comply in accordance with BC2.7.1 with all Bid-Offer Acceptances given by NGET with no more than the delay allowed for by the Dynamic Parameters unless the BM Unit has given notice to NGET under the provisions of BC2.7.3 regarding non-acceptance of a Bid-Offer Acceptance.

- (b) Where a BM Unit's input or output changes in accordance with a Bid-Offer Acceptance issued under BC2.7.1, such variation does not need to be notified to NGET in accordance with BC2.5.1.
- (c) In the event that while carrying out the Bid-Offer Acceptance an unforeseen problem arises caused by safety reasons (relating to personnel or plant), NGET must be notified immediately by telephone and this may lead to revision of BM Unit Data in accordance with BC2.5.3

BC2.7.5 <u>Additional Action Required From Generators</u>

- (a) When complying with **Bid-Offer Acceptances** for a **CCGT Module** a **Generator** will operate its **CCGT Units** in accordance with the applicable **CCGT Module Matrix**.
- (b) When complying with **Bid-Offer Acceptances** for a **CCGT Module** which is a **Range CCGT Module**, a **Generator** must operate that **CCGT Module** so that power is provided at the single **Grid Entry Point** identified in the data given pursuant to PC.A.3.2.1 or at the single **Grid Entry Point** to which **NGET** has agreed pursuant to BC1.4.2 (ef).
- (c) On receiving a new MW **Bid-Offer Acceptance**, no tap changing shall be carried out to change the MVAr output unless there is a new MVAr **Ancillary Service** instruction issued pursuant to BC2.8.
- (d) When complying with **Bid-Offer Acceptances** for a **Power Park Module** a **Generator** will operate its **Power Park Units** in accordance with the applicable **Power Park Module Availability Matrix**.

BC2.8 <u>ANCILLARY SERVICES</u>

This section primarily covers the call-off of **System Ancillary Services**. The provisions relating to **Commercial Ancillary Services** will normally be covered in the relevant **Ancillary Services Agreement**.

BC2.8.1 Call-Off Of Ancillary Services By NGET

- (a) Ancillary Service instructions may be issued at any time.
- (b) NGET is entitled to assume that each BM Unit (or Generating Unit) is available in accordance with the BM Unit Data (or the Generating Unit Data) and data contained in the Ancillary Services Agreement unless and until it is informed of any changes.
- (c) **Frequency** control instructions may be issued in conjunction with, or separate from, a **Bid-Offer Acceptance**.
- (d) A notification of a change in Target Frequency, which will normally only be 49.95, 50.00, or 50.05Hz but in exceptional circumstances as determined by NGET in its reasonable opinion, may be 49.90 or 50.10Hz.
- (ed) The form of and terms to be used by **NGET** in issuing **Ancillary Service** instructions together with their meanings are set out in Appendix 2 in the form of a non-exhaustive list of examples including **Reactive Power** and associated instructions.
- (fe) In the case of Generating Units that do not form part of a BM Unit any change in Active Power as a result of, or required to enable, the provision of an Ancillary Service will be dealt with as part of that Ancillary Service Agreement and/or provisions under the CUSC.
- (gf) A System to Generator Operational Intertripping Scheme will be armed in accordance with BC2.10.2(a)
- BC2.8.2 <u>Consistency With Export And Import Limits, QPNs And Dynamic Parameters</u>

Ancillary Service instructions will be consistent with the Export and Import Limits, QPNs, and Joint BM Unit Data provided or modified under BC1 or BC2 and the Dynamic Parameters provided or modified under BC2. Ancillary Service instructions may also recognise Other Relevant Data provided or modified under BC1 or BC2

BC2.8.3 Rejection Of Ancillary Service Instructions

- (a) Ancillary Service instructions may only be rejected, by Automatic Logging Deviceautomatic logging device or by telephone, on safety grounds (relating to personnel or plant) or because they are not consistent with the applicable Export and Import Limits, QPNs, Dynamic Parameters, Joint BM Unit Data, Other Relevant Data or data contained in the Ancillary Services Agreement and a reason must be given immediately for non-acceptance.
- (b) The issue of **Ancillary Service** instructions for **Reactive Power** will be made with due regard to any resulting change in **Active Power** output. The instruction may be rejected if it conflicts with any **Bid-Offer Acceptance** issued in accordance with BC2.7 or with the **Physical Notification**.
- (c) Where Ancillary Service instructions relating to Active Power and Reactive Power are given together, and to achieve the Reactive Power output would cause the BM Unit to operate outside Dynamic Parameters as a result of the Active Power instruction being met at the same time, then the timescale of implementation of the Reactive Power instruction may be extended to be no longer than the timescale for implementing the Active Power instruction but in any case to achieve the MVAr Ancillary Service instruction as soon as possible.

BC2.8.4 Action Required From BM Units

- (a) Each BM Unit (or Generating Unit) will comply in accordance with BC2.8.1 with all Ancillary Service instructions relating to Reactive Power properly given by NGET within 2 minutes or such longer period as NGET may instruct, and all other Ancillary Service instructions without delay, unless the BM Unit or Generating Unit has given notice to NGET under the provisions of BC2.8.3 regarding non-acceptance of Ancillary Service instructions.
- (b) Each BM Unit may deviate from the profile of its Final Physical Notification Data, as modified by any Bid-Offer Acceptances issued in accordance with BC2.7.1, only as a result of responding to Frequency deviations when operating in Frequency Sensitive Mode in accordance with the Ancillary Services Agreement.
- (c) Each Generating Unit that does not form part of a BM Unit may deviate from the profile of its Final Physical Notification Data where agreed by NGET and the User, including but not limited to, as a result of providing an Ancillary Service in accordance with the Ancillary Service Agreement.
- (d) In the event that while carrying out the **Ancillary Service** instructions an unforeseen problem arises caused by safety reasons (relating to personnel or plant), **NGET** must be notified immediately by telephone and this may lead to revision of **BM Unit Data** or **Generating Unit Data** in accordance with BC2.5.3.

BC2.8.5 Reactive Despatch Network Restrictions

Where NGET has received notification pursuant to the Grid Code that a Reactive Despatch to Zero MVAr Network Restriction is in place with respect to any Embedded Generating Unit, Embedded Power Park Module or DC Converter at an Embedded DC Converter Station, then NGET will not issue any Reactive Despatch Instruction with respect to that Generating Unit, Power Park Module or DC Converter until such time as notification is given to NGET pursuant to the Grid Code that such Reactive Despatch to Zero MVAr Network Restriction is no longer affecting that Generating Unit, Power Park Module or DC Converter.

BC2.9 <u>EMERGENCY CIRCUMSTANCES</u>

BC2.9.1 <u>Emergency Actions</u>

- BC2.9.1.1 In certain circumstances (as determined by NGET in its reasonable opinion) it will be necessary, in order to preserve the integrity of the National Electricity Transmission System and any synchronously connected External System, for NGET to issue Emergency Instructions. In such circumstances, it may be necessary to depart from normal Balancing Mechanism operation in accordance with BC2.7 in issuing Bid-Offer Acceptances. BM Participants must also comply with the requirements of BC3.
- BC2.9.1.2 Examples of circumstances that may require the issue of **Emergency Instructions** include:-
 - (a) **Events** on the **National Electricity Transmission System** or the **System** of another **User**; or
 - (b) the need to maintain adequate **System** and **Localised NRAPM** in accordance with BC2.9.4 below; or
 - (c) the need to maintain adequate frequency sensitive **Gensets** in accordance with BC2.9.5 below; or
 - (d) the need to implement **Demand Control** in accordance with OC6; or
 - (e) (i) the need to invoke the Black Start process or the Re-Synchronisation of De-Synchronised Island process in accordance with OC9; or
 - (ii) the need to request provision of a **Maximum Generation Service**; or
 - (iii) the need to issue an Emergency Deenergisation Instruction in circumstances where the condition or manner of operation of any Transmission Plant and/or Apparatus is such that it may cause damage or injury to any person or to the National Electricity Transmission System.
- BC2.9.1.3 In the case of BM Units and Generating Units in Great Britain, Emergency Instructions will be issued by NGET direct to the User at the Control Point for the BM Unit or Generating Unit and may require an action or response which is outside its Other Relevant Data, QPNs, or Export and Import Limits submitted under BC1, or revised under BC1 or BC2, or Dynamic Parameters submitted or revised under BC2.
- BC2.9.1.4 In the case of a **Network Operator** or an **Externally Interconnected System Operator**, **Emergency Instructions** will be issued to its **Control Centre**.
- BC2.9.2 <u>Implementation Of Emergency Instructions</u>
- BC2.9.2.1 **Users** will respond to **Emergency Instructions** issued by **NGET** without delay and using all reasonable endeavours to so respond. **Emergency Instructions** may only be rejected by an **User** on safety grounds (relating to personnel or plant) and this must be notified to **NGET** immediately by telephone.

- BC2.9.2.2 **Emergency Instructions** will always be prefixed with the words "This is an **Emergency Instruction**" except in the case of:
 - (i) Maximum Generation Service instructed by electronic data communication facilities where the instruction will be issued in accordance with the provisions of the Maximum Generation Service Agreement; and
 - (ii) An Emergency Deenergisation Instruction, where the Emergency Deenergisation Instruction will be pre-fixed with the words 'This is an Emergency Deenergisation Instruction'; and
 - (iii) during a Black Start any instruction given by NGET will (unless NGET specifies otherwise) be deemed to be an Emergency Instruction need not be pre-fixed with the words 'This is an Emergency Instruction'.
- BC2.9.2.3 In all cases under this BC2.9 except BC2.9.1.2 (e) where NGET issues an Emergency Instruction to a BM Participant which is not rejected under BC2.9.2.1, the Emergency Instruction shall be treated as a Bid-Offer Acceptance. For the avoidance of doubt, any Emergency Instruction issued to a Network Operator or to an Externally Interconnected System Operator or in respect of a Generating Unit that does not form part of a BM Unit, will not be treated as a Bid-Offer Acceptance.
- BC2.9.2.4 In the case of BC2.9.1.2 (e) (ii) where NGET issues an Emergency Instruction pursuant to a Maximum Generation Service Agreement payment will be dealt with in accordance with the CUSC and the Maximum Generation Service Agreement.
- BC2.9.2.5 In the case of BC2.9.1.2 (e) (iii) where **NGET** issues an **Emergency Deenergisation Instruction** payment will be dealt with in accordance with the **CUSC**, Section 5.
- BC2.9.2.6 In the of BC2.9.1.2 (e) (i) upon receipt of an **Emergency Instruction** by a **Generator** during a **Black Start** the provisions of Section G of the **BSC** relating to compensation shall apply.
- BC2.9.3 <u>Examples Of Emergency Instructions</u>
- BC2.9.3.1 In the case of a **BM Unit** or a **Generating Unit**, **Emergency Instructions** may include an instruction for the **BM Unit** or the **Generating Unit** to operate in a way that is not consistent with the **Dynamic Parameters**, **QPNs** and/or **Export and Import Limits**.
- BC2.9.3.2 In the case of a **Generator**, **Emergency Instructions** may include:
 - (a) an instruction to trip one or more **Gensets** (excluding **Operational Intertripping**); or
 - (b) an instruction to trip **Mills** or to **Part Load** a **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2); or
 - (c) an instruction to **Part Load** a **CCGT Module** or **Power Park Module**; or
 - (d) an instruction for the operation of CCGT Units within a CCGT Module (on the basis of the information contained within the CCGT Module Matrix) when emergency circumstances prevail (as determined by NGET in NGET's reasonable opinion); or
 - (e) an instruction to generate outside normal parameters, as allowed for in 4.2 of the **CUSC**; or
 - (f) an instruction for the operation of Generating Units within a Cascade Hydro Scheme (on the basis of the additional information supplied in relation to individual Generating Units) when emergency circumstances prevail (as determined by NGET in NGET's reasonable opinion); or

- (g) an instruction for the operation of a Power Park Module (on the basis of the information contained within the Power Park Module Availability Matrix) when emergency circumstances prevail (as determined by NGET in NGET's reasonable opinion).
- BC2.9.3.3 Instructions to **Network Operators** relating to the **Operational Day** may include:
 - (a) a requirement for **Demand** reduction and disconnection or restoration pursuant to **OC6**;
 - (b) an instruction to effect a load transfer between **Grid Supply Points**;
 - (c) an instruction to switch in a **System to Demand Intertrip Scheme**;
 - (d) an instruction to split a network;
 - (e) an instruction to disconnect an item of **Plant** or **Apparatus** from the **System**.
- BC2.9.4 <u>Maintaining Adequate System And Localised NRAPM (Negative Reserve Active Power Margin)</u>
- Where **NGET** is unable to satisfy the required **System NRAPM** or **Localised NRAPM** by following the process described in BC1.5.5, **NGET** will issue an **Emergency Instruction** to exporting **BM Units** for **De-Synchronising** on the basis of **Bid-Offer Data** submitted to **NGET** in accordance with BC1.4.2(d).
- BC2.9.4.2 In the event that **NGET** is unable to differentiate between exporting **BM Units** according to **Bid-Offer Data**, **NGET** will instruct a **BM Participant** to **Shutdown** a specified exporting **BM Unit** for such period based upon the following factors:
 - (a) effect on power flows (resulting in the minimisation of transmission losses);
 - (b) reserve capability;
 - (c) Reactive Power worth;
 - (d) Dynamic Parameters;
 - (e) in the case of **Localised NRAPM**, effectiveness of output reduction in the management of the **System Constraint**.
- BC2.9.4.3 Where **NGET** is still unable to differentiate between exporting **BM Units**, having considered all the foregoing, **NGET** will decide which exporting **BM Unit** to **Shutdown** by the application of a quota for each **BM Participant** in the ratio of each **BM Participant**'s **Physical Notifications**.
- BC2.9.4.4 Other than as provided in BC2.9.4.5 and BC2.9.4.6 below, in determining which exporting **BM Units** to **De-Synchronise** under this BC2.9.4, **NGET** shall not consider in such determination (and accordingly shall not instruct to **De-Synchronise**) any **Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) within an **Existing Gas Cooled Reactor Plant**.
- BC2.9.4.5 NGET shall be permitted to instruct a Generating Unit (as defined in the Glossary and Definitions and not limited by BC2.2) within an Existing AGR Plant to De-Synchronise if the relevant Generating Unit within the Existing AGR Plant has failed to offer to be flexible for the relevant instance at the request of NGET within the Existing AGR Plant Flexibility Limit.

- Notwithstanding the provisions of BC2.9.4.5 above, if the level of System NRAPM (taken together with System constraints) or Localised NRAPM is such that it is not possible to avoid instructing a Generating Unit (as defined in the Glossary and Definitions and not limited by BC2.2) within an Existing Magnox Reactor Plant and/or an Existing AGR Plant whether or not it has met requests within the Existing AGR Flexibility Limit to De-Synchronise NGET may, provided the power flow across each External Interconnection is either at zero or results in an export of power from the Total System, so instruct a Generating Unit (as defined in the Glossary and Definitions and not limited by BC2.2) within an Existing Magnox Reactor Plant and/or an Existing AGR Plant to De-Synchronise in the case of System NRAPM, in all cases and in the case of Localised NRAPM, when the power flow would have a relevant effect.
- BC2.9.4.7 When instructing exporting **BM Units** which form part of an **On-Site Generator Site** to reduce generation under this BC2.9.4, **NGET** will not issue an instruction which would reduce generation below the reasonably anticipated **Demand** of the **On-Site Generator Site**. For the avoidance of doubt, it should be noted that the term "**On-Site Generator Site**" only relates to Trading Units which have fulfilled the Class 1 or Class 2 requirements.
- BC2.9.5 <u>Maintaining Adequate Frequency Sensitive Generation</u>
- BC2.9.5.1 If, post Gate Closure, NGET determines, in its reasonable opinion, from the information then available to it (including information relating to Generating Unit (as defined in the Glossary and Definitions and not limited by BC2.2) breakdown) that the number of and level of Primary, Secondary and High Frequency Response available from Gensets (other than those units within Existing Gas Cooled Reactor Plant, which are permitted to operate in Limited Frequency Sensitive Mode at all times under BC3.5.3) available to operate in Frequency Sensitive Mode is such that it is not possible to avoid De-Synchronising Existing Gas Cooled Reactor Plant then provided that:
 - (a) there are (or, as the case may be, that NGET anticipates, in its reasonable opinion, that at the time that the instruction is to take effect there will be) no other Gensets generating and exporting on to the Total System which are not operating in Frequency Sensitive Mode (or which are operating with only a nominal amount in terms of level and duration) (unless, in NGET's reasonable opinion, necessary to assist the relief of System constraints or necessary as a result of other System conditions); and
 - (b) the power flow across each External Interconnection is (or, as the case may be, is anticipated to be at the time that the instruction is to take effect) either at zero or result in an export of power from the Total System,

then **NGET** may instruct such of the **Existing Gas Cooled Reactor Plant** to **De-Synchronise** as it is, in **NGET's** reasonable opinion, necessary to **De-Synchronise** and for the period for which the **De-Synchronising** is, in **NGET's** reasonable opinion, necessary.

BC2.9.5.2 If in **NGET's** reasonable opinion it is necessary for both the procedure in BC2.9.4 and that set out in BC2.9.5.1 to be followed in any given situation, the procedure in BC2.9.4 will be followed first, and then the procedure set out in BC2.9.5.1. For the avoidance of doubt, nothing in this sub-paragraph shall prevent either procedure from being followed separately and independently of the other.

- (a) An Externally Interconnected System Operator (in its role as operator of the External System) may request that NGET takes any available action to increase the Active Energy transferred into its External System, or reduce the Active Energy transferred into the National Electricity Transmission System by way of emergency assistance if the alternative is to instruct a demand reduction on all or part of its External System (or on the system of an Interconnector User using its External System). Such request must be met by NGET providing this does not require a reduction of Demand on the National Electricity Transmission System, or lead to a reduction in security on the National Electricity Transmission System.
- (b) NGET may request that an Externally Interconnected System Operator takes any available action to increase the Active Energy transferred into the National Electricity Transmission System, or reduce the Active Energy transferred into its External System by way of emergency assistance if the alternative is to instruct a Demand reduction on all or part of the National Electricity Transmission System. Such request must be met by the Externally Interconnected System Operator providing this does not require a reduction of Demand on its External System (or on the system of Interconnector Users using its External System), or lead to a reduction in security on such External System or system.
- BC2.9.7 <u>Unplanned Outages Of Electronic Data Communication Facilities</u>
 <u>Electronic Communication And Computing Facilities</u>
- BC2.9.7.1 In the event of an unplanned outage of the Electronic Data Communication Facilities electronic data communication facilities or of NGET's associated computing facilities or in the event of a Planned Maintenance Outage lasting longer than the planned duration, in relation to a post-Gate Closure period NGET will, as soon as it is reasonably able to do so, issue a NGET Computing System Failure notification by telephone or such other means agreed between Users and NGET indicating the likely duration of the outage.
- BC2.9.7.2 During the period of any such outage, the following provisions will apply:
 - (a) NGET will issue further NGET Computing System Failure notifications by telephone or such other means agreed between Users and NGET to all BM Participants to provide updates on the likely duration of the outage;
 - (b) BM Participants should operate in relation to any period of time in accordance with the Physical Notification prevailing at Gate Closure current at the time of the computer system failure in relation to each such period of time. Such operation shall be subject to the provisions of BC2.5.1, which will apply as if set out in this BC2.9.7.2. No further submissions of BM Unit Data or Generating Unit Data (other than data specified in BC1.4.2(c) (Export and Import Limits) and BC1.4.2(e) BC2 Appendix X (Dynamic Parameters) should be attempted. Plant failure or similar problems causing significant deviation from Physical Notification should be notified to NGET by telephone by the submission of a revision to Export and Import Limits in relation to the BM Unit or Generating Unit Data so affected;
 - (c) Revisions to Export and Import Limits and to Dynamic Parameters should be notified to NGET by telephone and will be recorded for subsequent use;
 - (d) **NGET** will issue **Bid-Offer Acceptances** by telephone which will be recorded for subsequent use;

- (e) No data will be transferred from **NGET** to the **BMRA** until the **Electronic Data Communication Facilities** emmunication facilities are re-established.
- BC2.9.7.3 **NGET** will advise **BM Participants** of the withdrawal of the **NGET** Computing System Failure notification following the re-establishment of the **Electronic Data Communication Facilities** communication facilities.

- BC2.10 OTHER OPERATIONAL INSTRUCTIONS AND NOTIFICATIONS
- BC2.10.1 **NGET** may, from time to time, need to issue other instructions or notifications associated with the operation of the **National Electricity Transmission System**.
- BC2.10.2 Such instructions or notifications may include:

Intertrips

(a) an instruction to arm or disarm an **Operational Intertripping** scheme;

Tap Positions

(b) a request for a **Genset** step-up transformer tap position (for security assessment);

Tests

 (c) an instruction to carry out tests as required under OC5, which may include the issue of an instruction regarding the operation of CCGT Units within a CCGT Module at a Large Power Station;

Future BM Unit Requirements

 (d) a reference to any implications for future BM Unit requirements and the security of the National Electricity Transmission System, including arrangements for change in output to meet post fault security requirements;

Changes to Target Frequency

- (e) a notification of a change in Target Frequency, which will normally only be 49.95, 50.00, or 50.05Hz but in exceptional circumstances as determined by NGET in its reasonable opinion, may be 49.90 or 50.10Hz.
- BC2.10.3 Where an instruction or notification under BC2.10.2 (c) or (d) results in a change to the input or output level of the **BM Unit** then **NGET** shall issue a **Bid-Offer Acceptance** or **Emergency Instruction** as appropriate.

BC2.11 LIAISON WITH GENERATORS FOR RISK OF TRIP AND AVR TESTING

- BC2.11.1 A Generator at the Control Point for any of its Large Power Stations may request NGET's agreement for one of the Gensets at that Power Station to be operated under a risk of trip. NGET's agreement will be dependent on the risk to the National Electricity Transmission System that a trip of the Genset would constitute.
- BC2.11.2 (a) Each Generator at the Control Point for any of its Large Power Stations will operate its Synchronised Gensets (excluding Power Park Modules) with:
 - AVRs in constant terminal voltage mode with VAR limiters in service at all times. AVR constant Reactive Power or Power Factor mode should, if installed, be disabled; and
 - (ii) its generator step-up transformer tap changer selected to manual mode,
 - unless released from this obligation in respect of a particular **Genset** by **NGET**.
 - (b) Each Generator at the Control Point for any of its Large Power Stations will operate its Power Park Modules with a Completion Date before 1st January 2006 at unity power factor at the Grid Entry Point (or User System Entry Point if Embedded).

- (c) Each Generator at the Control Point for any of its Large Power Stations will operate its Power Park Modules with a Completion Date on or after 1st January 2006 in voltage control mode at the Grid Entry Point (or User System Entry Point if Embedded). Constant Reactive Power or Power Factor mode should, if installed, be disabled.
- (d) Where a Power System Stabiliser is fitted as part of the excitation system or voltage control system of a Genset, it requires on-load commissioning which must be witnessed by NGET. Only when the performance of the Power System Stabiliser has been approved by NGET shall it be switched into service by a Generator and then it will be kept in service at all times unless otherwise agreed with NGET. Further reference is made to this in CC.6.3.8.
- A Generator at the Control Point for any of its Power Stations may request NGET's agreement for one of its Gensets at that Power Station to be operated with the AVR in manual mode, or Power System Stabiliser switched out, or VAR limiter switched out. NGET's agreement will be dependent on the risk that would be imposed on the National Electricity Transmission System and any User System. Provided that in any event a Generator may take such action as is reasonably necessary on safety grounds (relating to personnel or plant).
- BC2.12 <u>LIAISON WITH EXTERNALLY INTERCONNECTED SYSTEM</u>
 OPERATORS
- BC2.12.1 Co-Ordination Role Of Externally Interconnected System Operators
 - (a) The Externally Interconnected System Operator will act as the Control Point for Bid-Offer Acceptances on behalf of Interconnector Users and will co-ordinate instructions relating to Ancillary Services and Emergency Instructions on behalf of Interconnector Users using its External System in respect of each Interconnector User's BM Units.
 - (b) NGET will issue Bid-Offer Acceptances and instructions for Ancillary Services relating to Interconnector Users' BM Units to each Externally Interconnected System Operator in respect of each Interconnector User using its External System.
 - (c) If, as a result of a reduction in the capability (in MW) of the External Interconnection, the total of the Physical Notifications and Bid-Offer Acceptances issued for the relevant period using that External Interconnection, as stated in the BM Unit Data exceeds the reduced capability (in MW) of the respective External Interconnection in that period then NGET shall notify the Externally Interconnected System Operator accordingly. The Externally Interconnected System Operator should seek a revision of Export and Import Limits from one or more of its Interconnector Users for the remainder of the Balancing Mechanism period during which Physical Notifications cannot be revised.

APPENDIX 1 - FORM OF BID-OFFER ACCEPTANCES

- BC2.A.1.1 This Appendix describes the forms of **Bid-Offer Acceptances**. As described in BC2.6.1 **Bid-Offer Acceptances** are normally given by an **Automatic Logging Deviceautomatic logging device**, but in the event of failure of the logging device, **Bid-Offer Acceptances** will be given by telephone.
- BC2.A.1.2 For each **BM Unit** the **Bid-Offer Acceptance** will consist of a series of MW figures and associated times.
- BC2.A.1.3 The Bid-Offer Acceptances relating to CCGT Modules will assume that the CCGT Units within the CCGT Module will operate in accordance with the CCGT Module Matrix, as required by BC1. The Bid-Offer Acceptances relating to Cascade Hydro Schemes will assume that the Generating Unit forming part of the Cascade Hydro Scheme will operate, where submitted, in accordance with the Cascade Hydro Scheme Matrix submitted under BC1.
- BC2.A.1.4 <u>Bid-Offer Acceptances Given By Automatic Logging Device</u>
 - (a) The complete form of the Bid-Offer Acceptance is given in the Automatic Logging Device EDL Message Interface Specifications as listed in the Electrical Standards which will can be made available to Users on request.
 - (b) Bid-Offer Acceptances will normally follow the form:
 - (i) BM Unit Name
 - (ii) Instruction Reference Number
 - (iii) Time of instruction
 - (iv) Type of instruction
 - (v) BM Unit Bid-Offer Acceptance number
 - (vi) Number of MW/Time points making up instruction (minimum 2, maximum 5)
 - (vii) MW value and Time value for each point identified in (vi)

The times required in the instruction are input and displayed in London time, but communicated electronically in GMT.

BC2.A.1.5 Bid-Offer Acceptances Given By Telephone

- (a) All run-up/run-down rates will be assumed to be constant and consistent with **Dynamic Parameters**. Each **Bid-Offer Acceptance** will, wherever possible, be kept simple, drawing as necessary from the following forms and BC2.7
- (b) **Bid-Offer Acceptances** given by telephone will normally follow the form:
 - (i) an exchange of operator names;
 - (ii) BM Unit Name;
 - (iii) Time of instruction;
 - (iv) Type of instruction;
 - (v) Number of MW/Time points making up instruction (minimum 2, maximum 5)
 - (vi) MW value and Time value for each point identified in (v)

The times required in the instruction are expressed in London time.

For example, for a **BM Unit** ABCD-1 acceptance logged with a start time at 1400 hours and with a FPN at 300MW:

"BM Unit ABCD-1 **Bid-Offer Acceptance** timed at 1400 hours. Acceptance consists of 4 MW/Time points as follows:

300MW at 1400 hours

400MW at 1415 hours

400MW at 1450 hours

300MW at 1500 hours"

BC2.A.1.6 Submission Of Bid-Offer Acceptance Data To The BMRAmra

The relevant information contained in **Bid-Offer Acceptances** issued by **NGET** will be converted into "from" and "to" MW levels and times before they are submitted to the **BMRA** by **NGET**.

APPENDIX 2 - TYPE AND FORM OF ANCILLARY SERVICE INSTRUCTIONS

BC2.A.2.1 This part of the Appendix consists of a non-exhaustive list of the forms and types of instruction for a **Genset** to provide **System Ancillary Services**. There may be other types of **Commercial Ancillary Services** and these will be covered in the relevant **Ancillary Services Agreement**. In respect of the provision of **Ancillary Services** by **Generating Units** the forms and types of instruction will be in the form of this Appendix 2 unless amended in the **Ancillary Services Agreement**.

As described in CC.8, **System Ancillary Services** consist of Part 1 and Part 2 **System Ancillary Services**.

Part 1 System Ancillary Services Comprise:

- (a) Reactive Power supplied other than by means of synchronous or static compensators. This is required to ensure that a satisfactory System voltage profile is maintained and that sufficient Reactive Power reserves are maintained under normal and fault conditions. Ancillary Service instructions in relation to Reactive Power may include:
 - (i) MVAr Output
 - (ii) Target Voltage Levels
 - (iii) Tap Changes
 - (iv) Maximum MVAr Output ('maximum excitation')
 - (v) Maximum MVAr Absorption ('minimum excitation')
- (b) Frequency Control by means of Frequency sensitive generation. Gensets may be required to move to or from Frequency Sensitive Mode in the combinations agreed in the relevant Ancillary Services Agreement. They will be specifically requested to operate so as to provide Primary Response and/or Secondary Response and/or High Frequency Response.

Part 2 System Ancillary Services Comprise:

- (c) Frequency Control by means of Fast Start.
- (d) Black Start Capability
- (e) System to Generator Operational Intertripping
- BC2.A.2.2 As **Ancillary Service** instructions are not part of **Bid-Offer Acceptances** they do not need to be closed instructions and can cover any period of time, not just limited to the period of the **Balancing Mechanism**.
- BC2.A.2.3 As described in BC2.6.1, unless otherwise agreed with **NGET**, **Ancillary Service** instructions are normally given by **Automatic Logging Device** automatic logging device, but in the absence of, or in the event of failure of the logging device, instructions will be given by telephone.
- BC2.A.2.4 <u>Instructions Given By Automatic Logging Device (EDL)</u>
 - (a) The complete form of the **Ancillary Service** instruction is given in the interface specifications listed under the heading of Automatic Logging Devices (EDL) in the **Electrical Standards** the EDL Message Interface Specification which is available to **Users** on request from **NGET**.
 - (b) **Ancillary Service** instructions for **Frequency** Control will normally follow the form:
 - (i) BM Unit Name
 - (ii) Instruction Reference Number

- (iii) Time of instruction
- (iv) Type of instruction (REAS)
- (v) Reason Code
- (vi) Start Time
- (c) Ancillary Service instructions for Reactive Power will normally follow the form:
 - (i) BM Unit Name
 - (ii) Instruction Reference Number
 - (iii) Time of instruction
 - (iv) Type of instruction (MVAr, or VOLT or TAPP)
 - (v) Target Value
 - (vi) Target Time

The times required in the instruction are input and displayed in London time, but communicated electronically in GMT.

BC2.A.2.5 <u>Instructions Given By Automatic Logging Device (EDL*)</u>

- (a) The complete form of the **Ancillary Service** instruction is given in the interface specifications listed under the heading of Automatic Logging Devices (EDL*) in the **Electrical Standards**.
- (b) **Ancillary Service** instructions for **Frequency** Control will normally follow the form:
 - (i) BM Unit Name
 - (ii) Instruction Reference Number
 - (iii) Time of instruction
 - (iv) Type of instruction (REAS)
 - (v) Reason Code
 - (vi) Start Time
- (c) Ancillary Service instructions for Reactive Power will normally follow the form:
 - (i) BM Unit Name
 - (ii) Instruction Reference Number
 - (iii) Time of instruction
 - (iv) Type of instruction (MVAr, VOLT, SETPOINT or TAP)
 - (v) Target Value
 - (vi) Target Time
- (d) **Ancillary Service** instructions for **Target Frequency** will normally follow the form:
 - (i) Time of instruction
 - (ii) Target Frequency (49.90, 49.95, 50.00, 50.05 or 50.10Hz)
 - (iii) Start Time

The times required in the instruction are input and displayed in London time, but communicated electronically in GMT.

BC2.A.2.65 <u>Instructions Given By Telephone</u>

(a) **Ancillary Service** instructions for **Frequency** Control will normally follow the form:

- (i) an exchange of operator names;
- (ii) BM Unit Name;
- (iii) Time of instruction;
- (iv) Type of instruction;
- (v) Start Time.

The times required in the instruction are expressed in London time.

For example, for **BM Unit** ABCD-1 instructed at 1400 hours to provide Primary and **High Frequency** response starting at 1415 hours:

"BM Unit ABCD-1 message timed at 1400 hours. Unit to **Primary and High Frequency Response** at 1415 hours"

- (b) **Ancillary Service** instructions for **Reactive Power** will normally follow the form:
 - (ia) an exchange of operator names;
 - (iib) BM Unit Name;
 - (iiie) Time of instruction;
 - (ive) Type of instruction (MVAr, VOLT, SETPOINT, SLOPE or TAPP)
 - (ve) Target Value
 - (vif) Target Time.

The times required in the instruction are expressed as London time.

For example, for **BM Unit** ABCD-1 instructed at 1400 hours to provide 100MVAr by 1415 hours:

"BM Unit ABCD-1 message timed at 1400 hours. MVAr instruction. Unit to plus 100 MVAr target time 1415 hours."

- (c) **Ancillary Service** instructions for **Target Frequency** will normally follow the form:
 - (i) an exchange of operator names;
 - (ii) Time of instruction;
 - (iii) Target Frequency (49.90, 49.95, 50.00, 50.05 or 50.10Hz);
 - (iv) Start Time.

The times required in the instruction are expressed as London time.

For example, a Control Point instructed at 1400 hours to change to a **Target Frequency** of 50.05Hz at 1415 hours:

"Message timed at 1400 hours. The **Target Frequency** will change to be 50.05Hz from 1415 hours."

BC2.A.2.76 Reactive Power

As described in BC2.A.2.4, BC2.A.2.5 and BC2.A.2.65 instructions for **Ancillary Services** relating to **Reactive Power** may consist of any of several specific types of instruction. The following table describes these instructions in more detail:

Instruction Name	Description	Type of Instruction
MVAr Output	The individual MVAr output from the Genset onto the National Electricity Transmission System at the Grid Entry Point (or onto the User System at the User System Entry Point in the case of Embedded Power Stations), namely on the higher voltage side of the generator step-up transformer. In relation to each Genset, where there is no HV indication, NGET and the Generator will discuss and agree equivalent MVAr levels for the corresponding LV indication. Where a Genset is instructed to a specific MVAr output, the Generator must achieve that output within a tolerance of +/-25 MVAr (for Gensets in England and Wales) or the lesser of +/-5% of rated output or 25MVAr (for Gensets in Scotland) (or such other figure as may be agreed with NGET) by tap changing on the generator step-up transformer, unless agreed otherwise. Once this has been achieved, the Generator will not tap again without prior consultation with and the agreement of NGET, on the basis that MVAr output will be allowed to vary with System conditions.	MVAr

Instruction Name	Description	Type of Instruction
Target Voltage Levels	Target voltage levels to be achieved by the Genset on the National Electricity Transmission System at the Grid Entry Point (or on the User System at the User System Entry Point in the case of Embedded Power Stations, namely on the higher voltage side of the generator step-up transformer. Where a Genset is instructed to a specific target voltage, the Generator must achieve that target within a tolerance of ±1 kV (or such other figure as may be agreed with NGET) by tap changing on the generator step-up transformer, unless agreed otherwise with NGET. In relation to each Genset, where there is no HV indication, NGET and the Generator will discuss and agree equivalent voltage levels for the corresponding LV indication. Under normal operating conditions, once this target voltage level has been achieved the Generator will not tap again without prior consultation with, and with the agreement of, NGET.	VOLT
	However, under certain circumstances the Generator may be instructed to maintain a target voltage until otherwise instructed and this will be achieved by tap changing on the generator step-up transformer without reference to NGET .	
Setpoint Voltage	Where a Non-Synchronous Generating Unit, DC Converter or Power Park Module is instructed to a specific Setpoint Voltage, the Generator must achieve that Setpoint Voltage within a tolerance of ±0.25% (or such other figure as may be agreed with NGET).	SETPOINT
	The Generator must maintain the specified Setpoint Voltage target until an alternative target is received from NGET .	

Instruction Name	Description	Type of Instruction
Slope	Where a Non-Synchronous Generating Unit, DC Converter or Power Park Module is instructed to a specific Slope, the Generator must achieve that Slope within a tolerance of ±0.5% (or such other figure as may be agreed with NGET). The Generator must maintain the specified Slope target until an alternative target is received from NGET. The Generator will not be required to implement a new Slope setting in a time of less than 1 week from the time of the instruction.	SLOPE

Instruction Name	Description	Type of Instruction
Tap Changes	Details of the required generator step-up transformer tap changes in relation to a Genset. The instruction for A tap changes instruction may be issued to a single Synchronised Genset, or alternatively may be a Simultaneous Tap Change instruction issued to Synchronised Gensets at relevant Power Stations for simultaneous implementation instruction, whereby the tap change must be effected by the Generator in response to an instruction from NGET issued simultaneously to relevant Power Stations. The instruction, which is normally preceded by advance notice, must be effected at the specified target time as soon as possible, and in any event within one minute of receipt from NGET of the instruction. Where the tap instruction is a Simultaneous Tap Change instruction, then NGET will provide at least 30 minutes notice before the target time of the instruction unless it is necessary to preserve the integrity of the National Electricity Transmission System. For a Simultaneous A Ttap Cchange instruction, change will request that the relevant Genset generator step-up transformer's tap position be changed by one or [two] taps to raise (+ve MVAr direction) or lower (-ve MVAr direction(as relevant) System voltage, to be executed at the start of the minute of the target time of the-instruction. Once the tap change instruction has been fulfilled, the Generator should make no further tap changes on that Genset until receipt of a subsequent reactive power instruction for that Genset from NGET.	TAPP
Maximum MVAr Output ("maximum excitation")	Under certain conditions, such as low System voltage, an instruction to maximum MVAr output at instructed MW output ("maximum excitation") may be given, and a Generator should take appropriate actions to maximise MVAr output unless constrained by plant operational limits or safety grounds (relating to personnel or plant).	
Maximum MVAr Absorption ("minimum excitation")	Under certain conditions, such as high System voltage, an instruction to maximum MVAr absorption at instructed MW output ("minimum excitation") may be given, and a Generator should take appropriate actions to maximise MVAr absorption unless constrained by plant operational limits or safety grounds (relating to personnel or plant).	

- BC2.A.2.87 In addition, the following provisions will apply to **Reactive Power** instructions:
 - (a) In circumstances where NGET issues new instructions in relation to more than one BM Unit at the same Power Station at the same time tapping will be carried out by the Generator one tap at a time either alternately between (or in sequential order, if more than two), or at the same time on, each BM Unit.
 - (b) Where the instructions require more than two taps per BM Unit and that means that the instructions cannot be achieved within 2 minutes of the instruction time (or such longer period at NGET may have instructed), the instructions must each be achieved with the minimum of delay after the expiry of that period.
 - (c) It should be noted that should **System** conditions require, **NGET** may need to instruct maximum MVAr output to be achieved as soon as possible, but (subject to the provisions of paragraph (BC2.A.2.87(b) above) in any event no later than 2 minutes after the instruction is issued.
 - (d) An Ancillary Service instruction relating to Reactive Power may be given in respect of CCGT Units within a CCGT Module at a Power Station where running arrangements and/or System conditions require, in both cases where exceptional circumstances apply and connection arrangements permit.
 - (e) In relation to MVAr matters, MVAr generation/output is an export onto the **System** and is referred to as "lagging MVAr", and MVAr absorption is an import from the **System** and is referred to as "leading MVAr".
 - (f) It should be noted that the excitation control system constant Reactive Power output control mode or constant power factor output control mode will always be disabled, unless agreed otherwise with NGET.

APPENDIX 3 - SUBMISSION OF REVISED MVAr REACTIVE POWER CAPABILITY

BC2.A.3.1 For the purpose of submitting revised MVAr reactive power capability data the following terms shall apply:

Full Output In the case of a **Synchronous Generating Unit**

(as defined in the Glossary and Definitions and not limited by BC2.2) is the MW output measured at the generator stator terminals representing the LV equivalent of the Registered Capacity at the Grid Entry Point, and in the case of a Non-Synchronous Generating Unit (excluding Power Park Units), DC Converter or Power Park Module is the Registered Capacity at the Grid Entry Point

Minimum Output In the case of a **Synchronous Generating Unit** (as defined in the Glossary and Definitions and not limited by BC2.2) is the MW output measured at the generator stator terminals representing the LV equivalent of the **Minimum Generation** at the **Grid Entry Point**, and in the case of a **Non-Synchronous Generating Unit** (excluding **Power Park Units**), **DC Converter or Power Park Module** is the **Minimum Generation** at the **Grid Entry Point**

- Any factors, e.g. step up transformer tap range limitations, within the User System that affect the capability of a Generating Unit, Power Park Module or DC Converter to transfer Reactive Power at the Commercial Boundary shall be reflected in the revised reactive power capability data submitted to NGET under this BC2.
- BC2.A.3.3 The following provisions apply to faxed submission of revised MVAr reactive power capability data:
 - (a) The fax must be transmitted to NGET (to the relevant location in accordance with GC6) and must contain all the sections from the relevant part of Annexure 1 and from either Annexure 2 or 3 (as applicable) but with only the data changes set out. The "notification time" must be completed to refer to the time of transmission, where the time is expressed as London time.
 - (b) Upon receipt of the fax, NGET will acknowledge receipt by sending a fax back to the User. The acknowledgement will either state that the fax has been received and is legible or will state that it (or part of it) is not legible and will request re-transmission of the whole (or part) of the fax.
 - (c) Upon receipt of the acknowledging fax the **User** will, if requested, retransmit the whole or the relevant part of the fax.
 - (d) The provisions of paragraphs (b) and (c) then apply to that retransmitted fax.

BC2.A.3.4 Those **Users** with **Electronic Data Communication Facilities (EDT*)** may submit revised reactive power capability data to **NGET** by that means. Any revised reactive power capability data submitted by this means will be validated on receipt by **NGET** which will include a check that the revised capability data does not exceed the corresponding values stated in the relevant **Ancillary Services Agreement**.

Optional Logo

Company name REVISED MVAr REACTIVE POWER CAPABILITY DATA

TO:	NGET National Electricity Transmission System Control Centre	Fax te	elephone No.	
Num	nber of pages inc. header:			
Sent I	By :			
Retur	n Acknowledgement Fax to			
For R	etransmission or Clarification ring			
	owledged by NGET : (Signature)			
	owledgement time and date			
L egibi	ility of FAX : Acc	eptable		
	cceptable		(Resend FAX)	
(LIST	pages if appropriate)			

Legibility of FAX :	
Acceptable	
Unacceptable (List pages if appropriate)	(Resend FAX)

To: NGET National Electricity Transmission System Control Centre

From: [Company Name & Location]

	TIVE POWER CAPABILITY DATA – OWER PARK MODULES UNITS AND	
DC CONVERTERS		HRS MINS DD MM YY
	Notification Time/Date:	. , , , ,
	Start Time/Date:	HRS MINS DD MM YY

GENERATING UNIT*

-{for BM Units quote the NGET BM Unit id, for other units quote the Generating Unit id used for OC2.4.1.2

Outage Planning submissions}

/POWER PARK MODULE DC CONVERTER

Start Time/Date (if not effective immediately)

Notification Time (HH:MM):	Notification Date (DD/MM/YY):
Start Time (HH:MM):	Start Date (DD/MM/YY):
Generating Unit*	

^{*}For a CCGT Module or a Cascade Hydro Scheme, the redeclaration is for a Generating Unit within a CCGT Module or Cascade Hydro Scheme. For BM Units quote the NGET BM Unit id, for other units quote the **Generating Unit** id used for OC2.4.1.2 Outage Planning submissions. **Generating Unit** has the meaning given in the Glossary and Definitions and is not limited by BC2.2.

REVISION TO THE REACTIVE POWER CAPABILITY AT THE SYNCHRONOUS GENERATING UNIT STATOR TERMINALS (at rated terminal volts) AS STATED IN THE RELEVANT ANCILLARY SERVICES AGREEMENT:

	MW	\	LEAD(MVAr) MAXIMUM (MVAr +ve for lag, -ve for lead)
AT RAT I	ED MW		

AT FULL OUTPUT (MW)		
AT MINIMUM OUTPUT(MW)		
ENERATING UNIT STEP-UP TRANSFO	PRMER DATA, WHERE AF	PLICABLE
TAP CHANGE RANGE (+%,-%)	TAP NUN	MBER RANGE
(175, 75)		
minal and nominal system volts		
minal and nominal system volts	LEAD (MVAr)	LAG (MVARr)
AT RATED MW	LEAD (MVAr)	LAG (MVARr)
AT RATED MW Predicted End Time/Date (to be confirmed COMMENTS e.g. generator transformer to the confirmed comments)	d by redeclaration)	
AT RATED MW edicted End Time/Date (to be confirmed	d by redeclaration)	

Generating Unit has the meaning given in the Glossary and Definitions and is not limited by BC2.2.

Redeclaration made by (Signature)

To: National ElectricityGET Transmission System Control Centre

From: [Company Name & Location]:

REVISED **MVAr** REACTIVE POWER CAPABILITY DATA – POWER PARK UNITS MODULES AND DC CONVERTERS

-POWER PARK MODULE / DC CONVERTER	

Start Time/Date (if not effective immediately)

Notification Time (HH:MM):	Notification Date (DD/MM/YY):
Start Time (HH:MM):	Start Date (DD/MM/YY):
Power Park Module / DC Converter*	

^{*} For BM Units quote the NGET BM Unit id, for other units quote the id used for OC2.4.1.2 Outage Planning submissions

REVISION TO THE REACTIVE POWER CAPABILITY AT THE COMMERCIAL BOUNDARY AS STATED IN THE RELEVANT ANCILLARY SERVICES AGREEMENT:

- GRID ENTRY POINT (ENGLAND AND WALES); OR
- HV SIDE OF RELEVANT TRANSFORMER (SCOTLAND); OR
- USER SYSTEM ENTRY POINT (IF EMBEDDED) OF THE POWER PARK MODULE; OR
- DC CONVERTER OR THE AGGREGATED CAPABILITY OF THE POWER PARK UNITS AT THE POWER PARK UNIT TERMINALS

	MW	LEAD	LAG (MVAr)
		(MVAr)	MAXIMUM
		MINIMUM	(MVAr +ve for
		(MVAr +ve	lag, -ve for
		for lag, -ve	lead)
		for lead)	
AT RATED MW			
	•		
AT 50% OF RATED			
MW	_		

AT 20% OF RATED MW	
	_
BELOW 20% OF RATED MW	
	-
AT 0% OF RATED	
MW	_

RANSFORMER
AP NUMBER RANGE

APPENDIX 4 - SUBMISSION OF AVAILABILITY OF FREQUENCY SENSITIVE MODE

- BC2.A.4.1 For the purpose of submitting availability of **Frequency Sensitive Mode**, this process only relates to the provision of response under the **Frequency Sensitive Mode** and does not cover the provision of response under the **Limited Frequency Sensitive Mode**.
- BC2.A.4.2 The following provisions apply to the faxed submission of the **Frequency Sensitive Mode availability**;
 - (a) The fax must be transmitted to **NGET** (to the relevant location in accordance with GC6) and must contain all the sections relevant to Appendix 4 Annexure1 but with only the data changes set out. The "notification time" must be completed to refer to the time and date of transmission, where the time is expressed in London time.
 - (b) Upon receipt of the fax, NGET will acknowledge receipt by sending a fax back to the User. This acknowledging fax should be in the format of Appendix 4 Annexure 1. The acknowledgement will either state that the fax has been received and is legible or will state that it (or part of it) is not legible and will request re-transmission of the whole (or part) of the fax.
 - (c) Upon receipt of the acknowledging fax the **User** will, if requested retransmit the whole or the relevant part of the fax.
 - (d) The provisions of paragraph (b) and (c) then apply to the retransmitted fax.
- BC2.A.4.3 Those **Users** with **Electronic Data Communication Facilities (EDT*)** may submit the relevant data to **NGET** by that means.
- BC2.A.4.4 The User shall ensure the availability of operating in the Frequency Sensitive Mode is restored as soon as reasonably practicable and will notify NGET using the format of Appendix 4 Annexure 1. In the event of a sustained unavailability of Frequency Sensitive Mode NGET may seek to confirm compliance with the relevant requirements in the CC through the process in OC5.

To: National Electricity Transmission System **GET** Transmission Control Centre From: [Company Name and Location]

Submission of availability of Frequency Sensitive Mode

Notification Time (HH:MM):	Notification Date (DD/MM/YY):
Start Time (HH:MM):	Start Date (DD/MM/YY):
Genset or DC Converter	

HRS MINS DD MM YY

Notification Time

GENERATING UNIT *

Start Time / Date (if not effective immediately)

The availability of the above unit is unavailable / available to operate in Frequency Sensitive Mode is as follows:

All contract modes: Available / Unavailable [delete as applicable]

<u>or</u>

Change to the availability of individual contract modes:

Comments e.g. reason for submission, predicted end time if known

Contract Mode e.g. A	Availability for operation in Frequency Sensitive Mode [Y/N]

Limited Frequency Sensitive Mode must be maintained in accordance with BC3.7.2.

If declaring Unavailate Predicted End Time /		onfirmed by re-declaration):
Re-declaration made by (s	ignature)		
- For a CCCT the re-	declaration i	s for an individual C (CCT Unit and not
FUI a CCGT THE TE-C	acolaration i	5 for all illarviadar O	5G i Omit and not
the entire module	acolaration	o for all marviadar o	591 Omt and not
			5G1 Offit and not
the entire module		Illegible (tick box)	SGT Office and not
the entire module Receipt Acknowledgement			SGT Office and not
the entire module Receipt Acknowledgement Legible (tick box)			
the entire module Receipt Acknowledgement Legible (tick box) Explanation:			SGT Office and floor

APPENDIX X – DYNAMIC PARAMETERS

BC2.A.X.1 This Appendix describes the **Dynamic Parameter** data items that each **BM Participant** will, in respect of each of its **BM Units**, submit to **NGET** for use in preparing for and operating the **Balancing Mechanism**.

More detail about valid values required under the **Grid Code** for **Dynamic Parameter** data items **BM Unit Data** and **Generating Unit Data** may be identified by referring to the **Data Validation**, **Consistency and Defaulting Rules**. In the case of **Embedded BM Units** and **Generating Units** the **Dynamic Parameter** data items **BM Unit Data** and **Generating Unit Data** shall represent the value at the relevant **Grid Supply Point**. Where data is submitted on a **Generating Unit** basis, the provisions of this Appendix X **1** shall in respect of such data submission apply as if references to **BM Unit** were replaced with **Generating Unit**. Where **NGET** and the relevant **User** agree, submission on a **Generating Unit** basis (in whole or in part) may be otherwise than in accordance with the provisions of the Appendix X4.

BC2.A.X.2 For those **Control Points** that utilise **Electronic Data Communication Facilities (EDL & EDT)** The **Dynamic Parameters**, that take effect from the time of receipt by **NGET**, comprise:

- Up to three Run-Up Rate(s) and up to three Run-Down Rate(s), expressed in MW/minute with a lower limit of 0.2MW/minute and associated Run-Up Elbow(s) and Run-Down Elbow(s), expressed in MW for output and the same for input. It should be noted that Run-Up Rate(s) are applicable to a MW figure becoming more positive;
- Notice to Deviate from Zero (NDZ) output or input, being the
 notification time required for a BM Unit to start importing or exporting
 energy, from a operation at zero, Physical Notification level as a
 result of a Bid-Offer Acceptance, expressed in minutes;
- Notice to Deliver Offers (NTO) and Notice to Deliver Bids (NTB), expressed in minutes, indicating the notification time required for a BM Unit to start delivering Offers and Bids respectively from the time that the Bid-Offer Acceptance is issued. In the case of a BM Unit comprising a Genset, NTO and NTB will be set to a maximum period of two minutes;
- Minimum Zero Time (MZT), being either the minimum time that a BM Unit which has been exporting must operate at zero or be importing, before returning to exporting or the minimum time that a BM Unit which has been importing must operate at zero or be exporting before returning to importing, as a result of a Bid-Offer Acceptance, expressed in minutes;
- Minimum Non-Zero Time (MNZT), expressed in minutes, being the minimum time that a BM Unit can operate at a non-zero level as a result of a Bid-Offer Acceptance;
- Stable Export Limit (SEL) expressed in MW at the Grid Entry Point or Grid Supply Point, as appropriate, being the minimum value at which the BM Unit can, under stable conditions, export to the National Electricity Transmission System;
- Stable Import Limit (SIL) expressed in MW at the Grid Entry Point or Grid Supply Point, as appropriate, being the minimum value at which the BM Unit can, under stable conditions, import from the National Electricity Transmission System;

- Maximum Delivery Volume (MDV), expressed in MWh, being the maximum number of MWh of Offer (or Bid if MDV is negative) that a particular BM Unit may deliver within the associated Maximum Delivery Period (MDP), expressed in minutes, being the maximum period over which the MDV applies.
- Last Time to Cancel Synchronisation (LTCS), expressed in minutes with an upper limit of 60 minutes, being the notification time required to cancel a BM Unit's transition from operation at zero. This parameter is only applicable where the transition arises either from a Physical Notification or, in the case where the Physical Notification is zero, a Bid-Offer Acceptance. There can be up to three Last Time to Cancel Synchronisation(s) each applicable for a range of values of Notice to Deviate from Zero.

BC2.A.X.3 For those **Control Points** and **Trading Points** that utilise **Electronic Data Communication Facilities (EDT*)** The **Dynamic Parameters**, with the exception of Stable Import and Stable Export Limits, that take effect from the time of receipt by **NGET**, comprise:

- Up to ten three Run-Up Rate(s) and up to ten three Run-Down Rate(s), expressed in MW/minute with a lower limit of 0.02MW/minute and associated Run-Up Elbow(s) and Run-Down Elbow(s), expressed in MW for output and the same for input. It should be noted that Run-Up Rate(s) are applicable to a MW figure becoming more positive;
- Notice to Deviate from Zero (NDZ) output or input, being the notification time required for a BM Unit to start importing or exporting energy, from a operation at zero, Physical Notification level as a result of a Bid-Offer Acceptance, expressed in minutes;
- Notice to Deliver Offers (NTO) and Notice to Deliver Bids (NTB), expressed in minutes, indicating the notification time required for a BM Unit to start delivering Offers and Bids respectively from the time that the Bid-Offer Acceptance is issued. In the case of a BM Unit comprising a Genset, NTO and NTB will be set to a maximum period of two minutes;
- Minimum Zero Time (MZT), being either the minimum time that a BM Unit which has been exporting must operate at zero or be importing, before returning to exporting or the minimum time that a BM Unit which has been importing must operate at zero or be exporting before returning to importing, as a result of a Bid-Offer Acceptance, expressed in minutes;
- Minimum Non-Zero Time (MNZT), expressed in minutes, being the minimum time that a BM Unit can operate at a non-zero level as a result of a Bid-Offer Acceptance;
- Stable Export Limit (SEL) a series of MW figures and associated times, making up a profile of the minimum level at which the BM Unit can export, under stable conditions, to the National Electricity Transmission System at the Grid Entry Point or Grid Supply Point, as appropriate; expressed in MW at the Grid Entry Point or Grid Supply Point, as appropriate, being the minimum value at which the BM Unit can, under stable conditions, export to the National Electricity Transmission System;
- Stable Import Limit (SIL) a series of MW figures and associated times, making up a profile of the minimum level at which the BM Unit can import, under stable conditions, from the National Electricity Transmission System at the Grid Entry Point or Grid Supply Point, as appropriate; expressed in MW at the Grid Entry Point or Grid Supply Point, as appropriate, being the minimum value at which the BM Unit can, under stable conditions, import from the National Electricity Transmission System;

- Maximum Delivery Volume (MDV), expressed in MWh, being the maximum number of MWh of Offer (or Bid if MDV is negative) that a particular BM Unit may deliver within the associated Maximum Delivery Period (MDP), expressed in minutes, being the maximum period over which the MDV applies.
- Last Time to Cancel Synchronisation (LTCS), expressed in minutes with an upper limit of 60 minutes, being the notification time required to cancel a BM Unit's transition from operation at zero. This parameter is only applicable where the transition arises either from a Physical Notification or, in the case where the Physical Notification is zero, a Bid-Offer Acceptance. There can be up to three Last Time to Cancel Synchronisation(s) each applicable for a range of values of Notice to Deviate from Zero.

< END OF BALANCING CODE 2 >

Connection Conditions

Electronic Data Communication Facilities

CC.6.5.8

(a) All BM Participants must ensure that appropriate Electronic Data

Communication Facilities electronic data communication facilities

are in place to permit the submission of data, as required by the Grid

Code, to NGET. BM Participants are advised to contact NGET to

confirm the appropriateness of their proposed Electronic Data

Communications Facilities. From [TO BE INSERTED:

IMPLEMENTATION DATE OF GRID CODE CHANGE + 5 YEARS]

these Electronic Data Communication Facilities shall only be

Electronic Data Communication Facilities (EDT*).

- (b) In addition,
 - (1) any **User** that wishes to participate in the **Balancing Mechanism**;

or

- (2) any BM Participant in respect of its BM Units at a Power Station where the Construction Agreement and/or a Bilateral Agreement has a Completion Date on or after 1 January 2013 and the BM Participant is required to provide all Part 1 System Ancillary Services in accordance with CC.8.1 (unless NGET has otherwise —must ensure that appropriate Automatic Logging **Devices** automatic logging devices are installed at the Control Points of its BM Units to submit data to and to receive instructions from NGET, as required by the Grid Code. BM Participants are advised to contact NGET to confirm the appropriateness of their proposed Automatic Logging Devices. From [TO BE INSERTED: IMPLEMENTATION DATE OF GRID CODE CHANGE + 5 YEARS] these Automatic Logging Devices shall only be Automatic Logging Devices (EDL*). For the avoidance of doubt, in the case of an Interconnector User the Control Point will be at the Control Centre of the appropriate Externally Interconnected System Operator.
- (c) Detailed specifications of these required Electronic Data Communication Facilities electronic facilities and Automatic Logging Devices will be made available by NGET to Users and they are listed as Electrical Standards in the Annex to the General Conditions.

SCHEDULE 8 - DATA SUPPLIED BY BM PARTICIPANTS PAGE 1 OF 1

CODE	DESCRIPTION
BC1	Physical Notifications
BC1	Quiescent Physical Notifications
BC1 & BC2	Export and Import Limits
BC1	Bid-Offer Data
BC1	Dynamic Parameters (Day Ahead)
BC2	Dynamic Parameters (For use in Balancing Mechanism)
BC1 & BC2	Other Relevant Data
BC1	Joint BM Unit Data

Operating Code No. 5

OC5.5.1.3

- (a) The instruction referred to in OC5.5.1.1 may only be issued if the relevant User has submitted Export and Import Limits which notify that the relevant BM Unit is available in respect of the Operational Day current at the time at which the instruction is issued. The relevant User shall then be obliged to submit Export and Import Limits with a magnitude greater than zero for that BM Unit in respect of the time and the duration that the test is instructed to be carried out, unless that BM Unit would not then be available by reason of forced outage or Planned Outage expected prior to this instruction.
- (b) In the case of a CCGT Module the Export and Import Limits data must relate to the same CCGT Units which were included in respect of the Operational Day current at the time at which the instruction referred to in OC5.5.1.1 is issued and must include, in relation to each of the CCGT Units within the CCGT Module, details of the various data set out in BC1.A.1.3 and BC2.A.X BC1.A.1.5, which parameters NGET will utilise in instructing in accordance with this OC5 in issuing Bid-Offer Acceptances. The parameters shall reasonably reflect the true operating characteristics of each CCGT Unit.
- (c) The test referred to in OC5.5.1.1 will be initiated by the issue of instructions, which may be accompanied by a Bid-Offer Acceptance, under BC2 (in accordance with the Export and Import Limits, QPN, Joint BM Unit Data and Dynamic Parameters which have been submitted for the day on which the test was called, or in the case of a CCGT Unit, in accordance with the parameters submitted under OC5.5.1.3(b)). The instructions in respect of a CCGT Unit within a CCGT Module will be in respect of the CCGT Unit, as provided in BC2.

- PC.A.3.2.3 Notwithstanding any other provision of this PC, the **CCGT Units** within a **CCGT Module**, details of which are required under paragraph (g) of PC.A.3.2.2, can only be amended in accordance with the following provisions:-
 - (a) if the CCGT Module is a Normal CCGT Module, the CCGT Units within that CCGT Module can only be amended such that the CCGT Module comprises different CCGT Units if NGET gives its prior consent in writing. Notice of the wish to amend the CCGT Units within such a CCGT Module must be given at least 6 months before it is wished for the amendment to take effect;
 - (b) if the CCGT Module is a Range CCGT Module, the CCGT Units within that CCGT Module and the Grid Entry Point at which the power is provided can only be amended as described in BC1.A.1.56.4.
- PC.A.3.2.4 Notwithstanding any other provision of this **PC**, the **Power Park Units** within a **Power Park Module**, details of which are required under paragraph (k) of PC.A.3.2.2, can only be amended in accordance with the following provisions:-
 - (a) if the Power Park Units within that Power Park Module can only be amended such that the Power Park Module comprises different Power Park Units due to repair/replacement of individual Power Park Units if NGET gives its prior consent in writing. Notice of the wish to amend a Power Park Unit within such a Power Park Module must be given at least 4 weeks before it is wished for the amendment to take effect;
 - (b) if the Power Park Units within that Power Park Module can be selected to run in different Power Park Modules as an alternative operational running arrangement the Power Park Units within the Power Park Module and the Grid Entry Point at which the power is provided can only be amended as described in BC1.A.1.78.4.

GC0068 Fax Forms – Deletions Removed

For clarity the BC2 Fax Form templates are copied below with struck out items removed. This demonstrates how the single page solutions will appear after the revisions have been implemented.

Optional Logo

Company name REVISED REACTIVE POWER CAPABILITY DATA

TO:	National Electricity Transmission System Control Centre	Fa	x telephone No.	
Num	ber of pages inc. header:			
Sent I	Зу :			
Retur	n Acknowledgement Fax to			
For R	etransmission or Clarification ring			
Ackno	owledged by NGET : (Signature)			
Ackno	owledgement time and date			
	Legibility of FAX :			
	Acceptable			
(List	Unacceptable pages if appropriate)		(Resend FAX)	

To: National Electricity Transmission System Control Centre

From: [Company Name & Location]

REVISED REACTIVE POWER CAPABILITY DATA – GENERATING UNITS EXCLUDING POWER PARK MODULES AND DC CONVERTERS

Notification Time (HH:MM):	Notification Date (DD/MM/YY):
Start Time (HH:MM):	Start Date (DD/MM/YY):
Generating Unit*	

REVISION TO THE REACTIVE POWER CAPABILITY AT THE GENERATING UNIT STATOR TERMINALS (at rated terminal volts) AS STATED IN THE RELEVANT ANCILLARY SERVICES AGREEMENT:

	MW	MINIMUM (MVAr +ve for lag, -ve for lead)	MAXIMUM (MVAr +ve for lag, -ve for lead)
AT RAT	ED MW		
AT FULL OUTPUT (MW)			
AT MINIMUM OUTPUT(MW)			

COMMENTS e.g. generator transformer tap restrictions, known	predicted end time if
Redeclaration made by (Signature)	

^{*}For a CCGT Module or a Cascade Hydro Scheme, the redeclaration is for a Generating Unit within a CCGT Module or Cascade Hydro Scheme. For BM Units quote the NGET BM Unit id, for other units quote the **Generating Unit** id used for OC2.4.1.2 Outage Planning submissions. **Generating Unit** has the meaning given in the Glossary and Definitions and is not limited by BC2.2.

To: National Electricity Tra	nsmission System	Control Centre
------------------------------	------------------	----------------

From: [Company Name & Location]:

REVISED REACTIVE POWER CAPABILITY DATA – POWER PARK MODULES AND DC CONVERTERS

Notification Time (HH:MM):	Notification Date (DD/MM/YY):
Start Time (HH:MM):	Start Date (DD/MM/YY):
Power Park Module / DC Converter*	

^{*} For BM Units quote the NGET BM Unit id, for other units quote the id used for OC2.4.1.2 Outage Planning submissions

REVISION TO THE REACTIVE POWER CAPABILITY AT THE COMMERCIAL BOUNDARY AS STATED IN THE RELEVANT ANCILLARY SERVICES AGREEMENT:

	MINIMUM (MVAr +ve for lag, -ve for lead)	MAXIMUM (MVAr +ve for lag, -ve for lead)
AT RATED MW		
AT 50% OF RATED MW		
AT 20% OF RATED MW		
BELOW 20% OF RATED MW		
AT 0% OF RATED MW		

COMMENTS e.g. generator transformer tap restrictions, predicted end time if known
Redeclaration made by (Signature)

To: National Electricity Transmission System Control Centre From: [Company Name and Location]

Submission of availability of Frequency Sensitive Mode

Notification Time (HH:MM):	Notification Date (DD/MM/YY):
Start Time (HH:MM):	Start Date (DD/MM/YY):
Genset or DC Converter	
The availability of the above unit to operate in Frequency Sensitive Mode is as	

follows:

All contract modes: Available / Unavailable [delete as applicable]; or

Change to the availability of individual contract modes:

Contract Mode e.g. A Availability for operation in Frequency Sensitive	
Comments e.g. reason	for submission, predicted end time if known
Re-declaration made by	(signature)
Receipt Acknowledgeme	ent from NGET
Legible (tick box)	Illegible (tick box)
Explanation:	
Time:	
Date: Signature:	

Annex 2 - Consultation Responses

The following table provides a list of the responses received to the Grid Code Consultation GC0068.

Reference	Company
CR-01	SSE Generation
CR-02	E.On
CR-03	Scottish Power Energy Management Ltd
CR-04	EDF Energy
CR-05	RWE

GC0068 Report to the
Authority
29 January 2014
Version 1.0
Page 104 of 121

Grid Code Industry Consultation Response Proforma

GC0068 Grid Code New & Revised Unit Data & Instructions

Industry parties are invited to respond to this consultation expressing their views and supplying the rationale for those views, particularly in respect of any specific questions detailed below.

Please send your responses by **3 December 2013** to Grid.Code@nationalgrid.com. Please note that any responses received after the deadline or sent to a different email address may not receive due consideration.

These responses will be included in the Report to the Authority which is drafted by National Grid and submitted to the Authority for a decision.

Respondent:	Campbell McDonald 01738 453424
	campbell.mcdonald@sse.com
Company Name:	SSE Generation Ltd, Keadby Generation
	Ltd, Medway Power Ltd, Uskmouth Power
	Company and SSE Supply Ltd.

Industry Consultation Questions:		
Should you disagree with any of the proposed changes please provide reasoning		
and alternative solutions.		
1. Do you support introducing	Yes. Provides clarity.	
definitions for existing terms of		
Automatic Logging Device and		
Electronic Data Communication		
Facilities and associated sub-terms?		
2. Do you support the removal of	Provided the data is still captured (BC2)	
Day Ahead Dynamic Parameters	then there is no issue.	
from the Grid Code?		
3. Are you in favour of moving the	Yes, grouping the defenitions in one place	
definition of Dynamic Parameters	will help new BM participants	
from BC1 to BC2?		
4. Do you support the proposed	Yes. This will provide clarity where a	
approach of maintaining parallel	paricipant retains present system or	
sections of text pertaining to existing	moves to proposed system.	
and new industry interfaces?		
5. Are you in favour of increasing the	Yes to both	
maximum number of Run-Up and		
Run-Down rates from 3 to 10 and		
reducing the minimum rate from		
0.2MW/min to 0.02MW/min?		
6. Are you in favour of introducing	Yes.	
time-varying MW profiles for Stable		
Export and Stable Import Limits?		
7. Do you agree with the	Yes	
amendments to the description of		
Tap Changes in BC2.A.2.7 (Reactive		
Power)?		
8. Do you agree with the proposals	Yes in principle. Clarification on what	

	T
to detail the arrangements that shall apply when deviating from zero?	Information will needs to be provided when a DZT is notified. The arrangements will require suitable internal training at NGET and Generators as this is a change to the current fixed MZT time.
9. Does changing the column heading from Lead and Lag to Minimum and Maximum and indicating lag capability by a positive sign and lead by a negative sign remove ambiguity e.g. when the unit or module has no capability in the lagging range?	Yes
10. Do you agree with removing the section on generating unit step-up transformer tap restrictions and instead incorporating any factors in the minimum and maximum MVAr capability data?	Yes
11. For the fax form that applies to Generating Units excluding Power Park Modules and DC Converters, do you agree with removing the optional section on capability at the commercial boundary?	Operationally no issue. Alternatives to fax notification should be included in the replacement EDL system.
12. On the fax form for Power Park Modules and DC Converters, do you agree with replacing all the various alternatives for the location at which the reactive power capability is specified with the Commercial Boundary?	Yes Alternatives to fax notification should be included in the replacement EDL system.
13. Do you have any drafting comments on the changes to the Reactive Capability fax forms?	Clarity required on what the acceptance by NGET actually means, Is it accepting a readable document or is it accepting the changes, and if so when do they become agreed.
14. Do you agree that the frequency sensitive mode fax form should support submissions of availability on a per contract mode basis, in addition to all contract modes?	Yes. Will require internal process to ensure that the contract mode vs statutory mode is captured
15. Do you have any drafting comments on the changes to the Frequency Sensitive Mode fax form?	Clarity required on what the acceptance by NGET actually means, is it accepting a readable document or is it accepting the changes, and if so when do they become agreed.
16. Do you support the proposed implementation approach?	Yes
17. Do you agree with the proposed timescales for implementation of the	Yes

Grid Code changes?	
18. Do you support the changes	Yes with the qualifications above
described in this consultation?	1 35 With the qualifications above
19. Do you have any drafting	No
comments on the legal text?	
0. Do you believe that GC0068	For reference the applicable Grid Code
better facilitates the applicable Grid	objectives are:
Code objectives (i), (ii) and (iii)?	
	(i) to permit the development,
	maintenance and operation of an efficient,
	coordinated and economical system for
	the transmission of electricity;
	(ii) to facilitate competition in the
	generation and supply of electricity (and
	without limiting the foregoing, to facilitate
	the national electricity transmission system
	being made available to persons
	authorised to supply or generate electricity
	on terms which neither prevent nor restrict
	competition in the supply or generation of
	electricity);
	(iii) subject to sub-paragraphs (i) and (ii),
	to promote the security and efficiency of
	the electricity generation, transmission and
	distribution systems in the national
	electricity transmission system operator
	area taken as a whole; and
	(i.) to officiantly displaying the obligation
	(iv) to efficiently discharge the obligations
	imposed upon the licencee by this licence
	and to comply with the Electricity
	Regulation and any relevant legally
	binding decisions of the European
	Commission and/or the Agency.
	Yes
	100

Question Related to Informal Consultation on changes to Associated Grid Code Document 'Data Validation, Consistency & Defaulting Rules'

Do you agree with the proposed	Yes,
changes detailed in the 'Consultation	
on Changes to "Data Validation,	
Consistency and Defaulting Rules"	
Document'?	

Grid Code Industry Consultation Response Proforma

GC0068 Grid Code New & Revised Unit Data & Instructions

Industry parties are invited to respond to this consultation expressing their views and supplying the rationale for those views, particularly in respect of any specific questions detailed below.

Please send your responses by **3 December 2013** to Grid.Code@nationalgrid.com. Please note that any responses received after the deadline or sent to a different email address may not receive due consideration.

These responses will be included in the Report to the Authority which is drafted by National Grid and submitted to the Authority for a decision.

Respondent:	Guy Phillips, guy.phillips@eon-uk.com
Company Name:	E.ON UK plc

Industry Consultation Questions:	
Should you disagree with any of the proposed changes please provide reasoning	
and alternative solutions.	
Do you support introducing definitions for existing terms of Automatic Logging Device and Electronic Data Communication Facilities and associated sub-terms?	Yes.
2. Do you support the removal of Day Ahead Dynamic Parameters from the Grid Code?	Yes.
3. Are you in favour of moving the definition of Dynamic Parameters from BC1 to BC2?	Yes.
4. Do you support the proposed approach of maintaining parallel sections of text pertaining to existing and new industry interfaces?	Yes.
5. Are you in favour of increasing the maximum number of Run-Up and Run-Down rates from 3 to 10 and reducing the minimum rate from 0.2MW/min to 0.02MW/min?	Yes, as this will help units maintain hold positions better and improve the quality of information to the system operator, thereby improving efficient
6. Are you in favour of introducing time-varying MW profiles for Stable Export and Stable Import Limits?	operation of the system. Yes, as this supports mode switching and operation of multi-configuration units and will also improve the information to system operator, thereby improving efficient operation of the system.
7. Do you agree with the amendments to the description of Tap Changes in BC2.A.2.7 (Reactive Power)?	Yes, although we do not support the use of the word 'normally' in relation to the issuing of the

8. Do you agree with the proposals to detail the arrangements that shall apply when deviating from zero?	instruction and it should be deleted. The Grid Code should be more certain and clearly state the obligation on the system operator in relation to the timing of issuing instructions. No. Although we appreciate the improvement that is trying to be achieved the proposed solution is confusing and may not be transparent to market participants. At the very least the DZT should be a submitted parameter, however our preference would be to make NDZ time dependent. This would mean that the DZT term would not
	be
	required.
9. Does changing the column heading from Lead and Lag to Minimum and Maximum and indicating lag capability by a positive	From our perspective this does not add anything as our processes are well practiced and understood. It will necessitate changes to our
sign and lead by a negative sign remove ambiguity e.g. when the unit or module has no capability in the	established systems, thereby increasing implementation costs.
lagging range?	However, we appreciate that this may be helpful to new entrants and could improve efficiency if this helps National Grid's control engineers fully
	understand the information submitted.
10. Do you agree with removing the section on generating unit step-up transformer tap restrictions and	From our perspective this does not add anything as our processes are well practiced and understood.
instead incorporating any factors in the minimum and maximum MVAr	It will necessitate changes to our established
capability data?	systems, thereby increasing
	implementation costs.
11. For the fax form that applies to Generating Units excluding Power Park Modules and DC Converters,	Yes, as having different values at different points can result in uncertainty. Moving to one value at a
do you agree with removing the optional section on capability at the	specified point cross referenced to the MSA values
commercial boundary?	improves contractual certainty.
12. On the fax form for Power Park Modules and DC Converters, do you agree with replacing all the various alternatives for the location at which the reactive power capability is specified with the Commercial Boundary?	As per our answer to question 11.

13. Do you have any drafting comments on the changes to the	No.
Reactive Capability fax forms? 14. Do you agree that the frequency sensitive mode fax form should support submissions of availability on a per contract mode basis, in addition to all contract modes?	Yes, but as with other form changes this will require changes to our established supporting systems, thereby increasing our implementation costs.
15. Do you have any drafting comments on the changes to the Frequency Sensitive Mode fax form?	No.
16. Do you support the proposed implementation approach?	Yes, although there is inevitably a degree of uncertainty as the EBS Go Live date is subject to National Grid's programme and any
17. Do you agree with the proposed timescales for implementation of the Grid Code changes?	Yes.
18. Do you support the changes described in this consultation?	See answers to the questions above.
19. Do you have any drafting comments on the legal text?	See answers to the questions above.
0. Do you believe that GC0068 better facilitates the applicable Grid Code objectives (i), (ii) and (iii)?	For reference the applicable Grid Code objectives are:
	(i) to permit the development, maintenance and operation of an efficient, coordinated and economical system for the transmission of electricity;
	(ii) to facilitate competition in the generation and supply of electricity (and without limiting the foregoing, to facilitate the national electricity
	transmission system being made available to persons authorised to supply or generate electricity on terms which neither prevent nor restrict competition in the supply or generation of electricity);
	(iii) subject to sub-paragraphs (i) and (ii), to promote the security and efficiency of the electricity generation, transmission and distribution systems in the national electricity transmission system

operator area taken as a whole; and
(iv) to efficiently discharge the obligations imposed upon the licencee by this licence and to
comply with the Electricity Regulation and any relevant
legally binding decisions of the European Commission and/or the Agency.
Yes, for the reasons given in paragraph 5.6 of the
consultation.

Question Related to Informal Consultation on changes to Associated Grid Code Document 'Data Validation, Consistency & Defaulting Rules'

Do you agree with the proposed	Yes.
changes detailed in the 'Consultation	
on Changes to "Data Validation,	
Consistency and Defaulting Rules"	
Document'?	

Grid Code Industry Consultation Response Proforma

GC0068 Grid Code New & Revised Unit Data & Instructions

Industry parties are invited to respond to this consultation expressing their views and supplying the rationale for those views, particularly in respect of any specific questions detailed below.

Please send your responses by **3 December 2013** to Grid.Code@nationalgrid.com. Please note that any responses received after the deadline or sent to a different email address may not receive due consideration.

These responses will be included in the Report to the Authority which is drafted by National Grid and submitted to the Authority for a decision.

Respondent:	Simon Peter Reid
Company Name:	ScottishPower Energy Management Ltd.

Industry Consultation Questions:	
-	roposed changes please provide reasoning
and alternative solutions.	3
Do you support introducing	Yes.
definitions for existing terms of	
Automatic Logging Device and	
Electronic Data Communication	
Facilities and associated sub-terms?	
2. Do you support the removal of	Yes.
Day Ahead Dynamic Parameters	
from the Grid Code?	
3. Are you in favour of moving the	Yes.
definition of Dynamic Parameters	
from BC1 to BC2?	
4. Do you support the proposed	Yes, so far as this is an interim measure
approach of maintaining parallel	during the cross over period as National
sections of text pertaining to existing	Grid's new BM despatch software EBS is
and new industry interfaces?	adopted.
5. Are you in favour of increasing the	Yes.
maximum number of Run-Up and	
Run-Down rates from 3 to 10 and	
reducing the minimum rate from	
0.2MW/min to 0.02MW/min?	
6. Are you in favour of introducing	Yes.
time-varying MW profiles for Stable	
Export and Stable Import Limits?	
7. Do you agree with the	Yes
amendments to the description of	
Tap Changes in BC2.A.2.7 (Reactive	
Power)?	
8. Do you agree with the proposals	Yes.
to detail the arrangements that shall	
apply when deviating from zero?	
9. Does changing the column	Yes as a solution for National Grid to

indicating lag capability by a positive sign and lead by a negative sign remove ambiguity e.g. when the unit or module has no capability in the lagging range? 10. Do you agree with removing the section on generating unit step-up transformer tap restrictions and instead incorporating any factors in the minimum and maximum MVAr capability data? 11. For the fax form that applies to Generating Units excluding Power Park Modules and DC Converters, do you agree with removing the optional section on capability at the commercial boundary? 12. On the fax form for Power Park Modules and DC Converters, do you agree with replacing all the various alternatives for the location at which the reactive power capability is specified with the Commercial Boundary? 13. Do you have any drafting comments on the changes to the Reactive Capability fax forms? 14. Do you agree that the frequency sensitive mode fax form should support submissions of availability on a per contract mode basis, in addition to all contract modes? 15. Do you have any drafting comments on the changes to the Frequency Sensitive Mode fax form? 16. Do you support the proposed implementation approach? 17. Do you agree with the proposed dimescales for implementation of the Grid Code changes? 18. Do you support the changes described in this consultation? 19. Do you believe that GC0068 Yes — all of them.	[
10. Do you agree with removing the section on generating unit step-up transformer tap restrictions and instead incorporating any factors in the minimum and maximum MVAr capability data? 11. For the fax form that applies to Generating Units excluding Power Park Modules and DC Converters, do you agree with removing the optional section on capability at the commercial boundary? 12. On the fax form for Power Park Modules and DC Converters, do you agree with replacing all the various alternatives for the location at which the reactive power capability is specified with the Commercial Boundary? 13. Do you have any drafting comments on the changes to the Reactive Capability fax forms? 14. Do you agree that the frequency sensitive mode fax form should support submissions of availability on a per contract mode basis, in addition to all contract modes? 15. Do you have any drafting comments on the changes to the Frequency Sensitive Mode fax form? 16. Do you support the proposed implementation approach? 17. Do you agree with the proposed implementation approach? 17. Do you agree with the proposed implementation approach? 18. Do you support the changes described in this consultation? 19. Do you have any drafting comments on the legal text? 20. Do you believe that GC0068 Yes Yes	Minimum and Maximum and indicating lag capability by a positive sign and lead by a negative sign remove ambiguity e.g. when the unit or module has no capability in the	unable to offer either Leading or Lagging
Generating Units excluding Power Park Modules and DC Converters, do you agree with removing the optional section on capability at the commercial boundary? 12. On the fax form for Power Park Modules and DC Converters, do you agree with replacing all the various alternatives for the location at which the reactive power capability is specified with the Commercial Boundary? 13. Do you have any drafting comments on the changes to the Reactive Capability fax forms? 14. Do you agree that the frequency sensitive mode fax form should support submissions of availability on a per contract mode basis, in addition to all contract modes? 15. Do you have any drafting comments on the changes to the Frequency Sensitive Mode fax form? 16. Do you support the proposed implementation approach? 17. Do you agree with the proposed timescales for implementation of the Grid Code changes? 18. Do you support the changes described in this consultation? 19. Do you have any drafting comments on the legal text? 20. Do you believe that GC0068 Yes — all of them.	section on generating unit step-up transformer tap restrictions and instead incorporating any factors in the minimum and maximum MVAr	Yes
Modules and DC Converters, do you agree with replacing all the various alternatives for the location at which the reactive power capability is specified with the Commercial Boundary? 13. Do you have any drafting comments on the changes to the Reactive Capability fax forms? 14. Do you agree that the frequency sensitive mode fax form should support submissions of availability on a per contract mode basis, in addition to all contract modes? 15. Do you have any drafting comments on the changes to the Frequency Sensitive Mode fax form? 16. Do you support the proposed implementation approach? 17. Do you agree with the proposed timescales for implementation of the Grid Code changes? 18. Do you support the changes described in this consultation? 19. Do you have any drafting comments on the legal text? 20. Do you believe that GC0068 Yes	Generating Units excluding Power Park Modules and DC Converters, do you agree with removing the optional section on capability at the	Yes
13. Do you have any drafting comments on the changes to the Reactive Capability fax forms? 14. Do you agree that the frequency sensitive mode fax form should support submissions of availability on a per contract mode basis, in addition to all contract modes? 15. Do you have any drafting comments on the changes to the Frequency Sensitive Mode fax form? 16. Do you support the proposed implementation approach? 17. Do you agree with the proposed timescales for implementation of the Grid Code changes? 18. Do you support the changes described in this consultation? 19. Do you have any drafting comments on the legal text? 20. Do you believe that GC0068 Yes.	Modules and DC Converters, do you agree with replacing all the various alternatives for the location at which the reactive power capability is specified with the Commercial	Yes.
sensitive mode fax form should support submissions of availability on a per contract mode basis, in addition to all contract modes? 15. Do you have any drafting comments on the changes to the Frequency Sensitive Mode fax form? 16. Do you support the proposed implementation approach? 17. Do you agree with the proposed timescales for implementation of the Grid Code changes? 18. Do you support the changes described in this consultation? 19. Do you have any drafting comments on the legal text? 20. Do you believe that GC0068 Yes.	comments on the changes to the	No.
15. Do you have any drafting comments on the changes to the Frequency Sensitive Mode fax form? 16. Do you support the proposed implementation approach? 17. Do you agree with the proposed timescales for implementation of the Grid Code changes? 18. Do you support the changes described in this consultation? 19. Do you have any drafting comments on the legal text? 20. Do you believe that GC0068 Yes. Yes Yes No No The Mode of th	sensitive mode fax form should support submissions of availability on a per contract mode basis, in	Yes.
implementation approach? 17. Do you agree with the proposed timescales for implementation of the Grid Code changes? 18. Do you support the changes described in this consultation? 19. Do you have any drafting comments on the legal text? 20. Do you believe that GC0068 Yes Yes Yes No Yes Yes	15. Do you have any drafting comments on the changes to the	Yes.
timescales for implementation of the Grid Code changes? 18. Do you support the changes described in this consultation? 19. Do you have any drafting comments on the legal text? 20. Do you believe that GC0068 Yes – all of them.	implementation approach?	
described in this consultation? 19. Do you have any drafting No comments on the legal text? 20. Do you believe that GC0068 Yes – all of them.	timescales for implementation of the	res
comments on the legal text? 20. Do you believe that GC0068 Yes – all of them.	described in this consultation?	
20. Do you believe that GC0068 Yes – all of them.		INO
Code objectives (i), (ii) and (iii)? For reference the applicable Grid Code objectives are:	20. Do you believe that GC0068 better facilitates the applicable Grid	For reference the applicable Grid Code

(i) to permit the development, maintenance and operation of an efficient, coordinated and economical system for the transmission of electricity; (ii) to facilitate competition in the generation and supply of electricity (and without limiting the foregoing, to facilitate the national electricity transmission system being made available to persons authorised to supply or generate electricity on terms which neither prevent nor restrict competition in the supply or generation of electricity); (iii) subject to sub-paragraphs (i) and (ii), to promote the security and efficiency of the electricity generation, transmission and distribution systems in the national electricity transmission system operator area taken as a whole; and (iv) to efficiently discharge the obligations imposed upon the licensee by this licence and to comply with the Electricity

Question Related to Informal Consultation on changes to Associated Grid Code Document 'Data Validation, Consistency & Defaulting Rules'

Regulation and any relevant legally binding decisions of the European Commission and/or the Agency.

Do you agree with the proposed	Yes
changes detailed in the 'Consultation	
on Changes to "Data Validation,	
Consistency and Defaulting Rules"	
Document'?	

Grid Code Industry Consultation Response Proforma

GC0068 Grid Code New & Revised Unit Data & Instructions

Industry parties are invited to respond to this consultation expressing their views and supplying the rationale for those views, particularly in respect of any specific questions detailed below.

Please send your responses by **3 December 2013** to Grid.Code@nationalgrid.com. Please note that any responses received after the deadline or sent to a different email address may not receive due consideration.

These responses will be included in the Report to the Authority which is drafted by National Grid and submitted to the Authority for a decision.

Respondent:	John Morris
Company Name:	EDF Energy

Industry Consultation Questions:	
•	roposed changes please provide reasoning
Do you support introducing definitions for existing terms of Automatic Logging Device and Electronic Data Communication Facilities and associated sub-terms?	yes
2. Do you support the removal of Day Ahead Dynamic Parameters from the Grid Code?	On the basis that these are no longer used by parties, yes.
3. Are you in favour of moving the definition of Dynamic Parameters from BC1 to BC2?	yes
4. Do you support the proposed approach of maintaining parallel sections of text pertaining to existing and new industry interfaces?	This does perhaps avoid large chunks of duplicated text going into the code but is less clear than a completely new section. As soon as the old EDL/EDT is no longer supported the clauses should be tidied up
5. Are you in favour of increasing the maximum number of Run-Up and Run-Down rates from 3 to 10 and reducing the minimum rate from 0.2MW/min to 0.02MW/min?	Yes (3 rates to 10): This allows stations to better reflect their startup and shutdown profiles to National Grid, reducing the imbalance incurred as a result of a unit being required to approximate its startup profile. Minimum rate to 0.02MW/min: it is noted that it would take 50 minutes to effect a change of 1 MW at a rate of 0.02 MW/min, the usefulness of being able to reduce the run up / run down rate to this level is questionable.
6. Are you in favour of introducing time-varying MW profiles for Stable Export and Stable Import Limits?	Yes. This allows stations to dynamically submit their SEL, allowing greater clarity over downwards flexibility available to

	Grid.
7. Do you agree with the amendments to the description of Tap Changes in BC2.A.2.7 (Reactive Power)?	yes
8. Do you agree with the proposals to detail the arrangements that shall apply when deviating from zero?	Yes: This change introduces clarity where previously there was only informal arrangements between station control rooms and NGET
9. Does changing the column heading from Lead and Lag to Minimum and Maximum and indicating lag capability by a positive sign and lead by a negative sign remove ambiguity e.g. when the unit or module has no capability in the lagging range?	This is something EDF Energy has been using internally.
10. Do you agree with removing the section on generating unit step-up transformer tap restrictions and instead incorporating any factors in the minimum and maximum MVAr capability data?	Temporary shortfalls in capability can quite often be due to tap restrictions but having a free form field can cover for other reasons.
11. For the fax form that applies to Generating Units excluding Power Park Modules and DC Converters, do you agree with removing the optional section on capability at the commercial boundary?	At the time of redeclaration of LV capability the effect at the Commercial Boundary is not immediately known to control room staff. Removal is supported. Not sure about the impact RfG may have since reactive capability is specified at the HV boundary.
12. On the fax form for Power Park Modules and DC Converters, do you agree with replacing all the various alternatives for the location at which the reactive power capability is specified with the Commercial Boundary?	This seems to make sense albeit different to generating units.
13. Do you have any drafting comments on the changes to the Reactive Capability fax forms?	No
14. Do you agree that the frequency sensitive mode fax form should support submissions of availability on a per contract mode basis, in addition to all contract modes?	For those gensets that have selectable modes and are availability restricted on only one mode this would seem to be more flexibility.
15. Do you have any drafting comments on the changes to the Frequency Sensitive Mode fax form?	No
16. Do you support the proposed implementation approach?	Yes
17. Do you agree with the proposed	The use of updated fax forms for reactive

timescales for implementation of the	and frequency response does not need to
Grid Code changes?	wait for go-live of the new EBS
18. Do you support the changes	Yes
described in this consultation?	
19. Do you have any drafting	Not reviewed in detail
comments on the legal text?	
20. Do you believe that GC0068	For reference the applicable Grid Code
better facilitates the applicable Grid	objectives are:
Code objectives (i), (ii) and (iii)?	
	(i) to permit the development,
	maintenance and operation of an efficient,
	coordinated and economical system for
	the transmission of electricity;
	Yes marginally
	(ii) to facilitate competition in the
	generation and supply of electricity (and
	without limiting the foregoing, to facilitate
	the national electricity transmission system
	being made available to persons
	authorised to supply or generate electricity on terms which neither prevent nor restrict
	competition in the supply or generation of
	electricity);
	Yes marginally
	(iii) subject to sub-paragraphs (i) and (ii),
	to promote the security and efficiency of
	the electricity generation, transmission and
	distribution systems in the national
	electricity transmission system operator
	area taken as a whole; and
	yes
	(iv) to efficiently discharge the obligations
	imposed upon the licencee by this licence
	and to comply with the Electricity
	Regulation and any relevant legally
	binding decisions of the European
	Commission and/or the Agency.
	neutral

Question Related to Informal Consultation on changes to Associated Grid Code Document 'Data Validation, Consistency & Defaulting Rules'

Do you agree with the proposed changes detailed in the 'Consultation on Changes to "Data Validation, Consistency and Defaulting Rules" Document'?

It may avoid confusion if a new document be produced for the new EBS and the old one becomes time expired in due course. This is because some of the rules are different under the new EBS.

Grid Code Industry Consultation Response Proforma

GC0068 Grid Code New & Revised Unit Data & Instructions

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Respondent:	John Norbury Network Connections Manager
	RWE Supply & Trading GmbH
	Windmill Hill Business Park
	Whitehill Way
	Swindon SN5 6PB
	T +44 (0)1793 89 2667
	M +44 (0)7795 354 382
	john.norbury@rwe.com
Company Name:	RWE group of UK companies, including RWE
	Npower plc, RWE Npower Renewables Limited
	and RWE Supply & Trading GmbH

Industry Consultation Questions:	
Should you disagree with any of the proposed changes please provide reasoning and alternative solutions.	
Do you support introducing definitions for existing terms of Automatic Logging Device and Electronic Data Communication Facilities and associated sub-terms?	Yes. However, the proposed new terms are potentially confusing. It may be helpful to clarify within the definitions that Automatic Logging Device (EDL) and Electronic Data Communications Facilities (EDL and EDT) are legacy systems.
2. Do you support the removal of Day Ahead Dynamic Parameters from the Grid Code?	Yes
3. Are you in favour of moving the definition of Dynamic Parameters from BC1 to BC2?	Yes
4. Do you support the proposed approach of maintaining parallel sections of text pertaining to existing and new industry interfaces?	Yes
5. Are you in favour of increasing the	Yes

maximum number of Run-Up and Run-Down rates from 3 to 10 and reducing the minimum rate from 0.2MW/min to 0.02MW/min?	
6. Are you in favour of introducing time-varying MW profiles for Stable Export and Stable Import Limits?	Yes
7. Do you agree with the amendments to the description of Tap Changes in BC2.A.2.7 (Reactive Power)?	Yes
8. Do you agree with the proposals to detail the arrangements that shall apply when deviating from zero?	Yes
9. Does changing the column heading from Lead and Lag to Minimum and Maximum and indicating lag capability by a positive sign and lead by a negative sign remove ambiguity e.g. when the unit or module has no capability in the lagging range?	No. The User has no better idea what quantities minimum and maximum refer to than Lead and Lag. A better alternative (if clarification is needed) may be to specify "Reactive Power Range" as a sub-heading with the subsequent boxes marked "To" and "From" and adding a footnote that a +ve value indicates lagging power factor and – ve value indicates leading power factor.
10. Do you agree with removing the section on generating unit step-up transformer tap restrictions and instead incorporating any factors in the minimum and maximum MVAr capability data?	If not required by National Grid then we have no objection to its removal from the fax.
11. For the fax form that applies to Generating Units excluding Power Park Modules and DC Converters, do you agree with removing the optional section on capability at the commercial boundary?	If not required by National Grid then we have no objection to its removal from the fax.
12. On the fax form for Power Park Modules and DC Converters, do you agree with replacing all the various alternatives for the location at which the reactive power capability is specified with the Commercial Boundary?	Yes but it may be helpful to identify on the fax, for the avoidance of doubt, the actual location at which the reactive power capability is specified
13. Do you have any drafting comments on the changes to the Reactive Capability fax forms?	Appendix 3: Ensure that the text is kept within a single page. Also, see comments to (9) and (12) above. In addition, it is suggested that for clarity the Annex 2

	fax requires the Rated MW to be specified.
14. Do you agree that the frequency	Yes
sensitive mode fax form should	
support submissions of availability	
on a per contract mode basis, in	
addition to all contract modes?	
15. Do you have any drafting	Ensure that the text is kept within a single
comments on the changes to the	page.
Frequency Sensitive Mode fax form?	
16. Do you support the proposed	We agree with the proposal to support the
implementation approach?	existing
	industry interfaces for 5-years following
	go-live
17. Do you agree with the proposed	Yes
timescales for implementation of the	
Grid Code changes?	
18. Do you support the changes	Yes
described in this consultation?	
19. Do you have any drafting	
comments on the legal text?	Yes but only on the basis that National
20. Do you believe that GC0068	Grid's BM
better facilitates the applicable Grid	system is being replaced. If National Grid's
Code objectives (i), (ii) and (iii)?	BM
	system is not being replaced, the
	proposed changes associated with the new
	interfaces only
	would not better facilitate the applicable
	Grid Cod
	objectives.
	For reference the applicable Grid Code
	objectives
	are:
	(i) to parmit the development
	(i) to permit the development, maintenance and
	operation of an efficient, coordinated and
	economical system for the transmission of
	electricity;
	(ii) to facilitate competition in the
	generation and
	supply of electricity (and without limiting
	the
	foregoing, to facilitate the national electricity
	transmission system being made available
	to
	persons authorised to supply or generate
	electricity
	on terms which neither prevent nor restrict competition in the supply or generation of
	electricity);
	(iii) subject to sub-paragraphs (i) and (ii),
	to

promote the security and efficiency of the electricity generation, transmission and distribution systems in the national electricity transmission system operator area taken as a whole; and

(iv) to efficiently discharge the obligations imposed upon the licencee by this licence and to comply with the Electricity Regulation and any relevant legally binding decisions of the European Commission and/or the Agency.

Question Related to Informal Consultation on changes to Associated Grid Code Document 'Data Validation, Consistency & Defaulting Rules'

Do you agree with the proposed changes detailed in the 'Consultation on Changes to "Data Validation, Consistency and Defaulting Rules" Document'?

Definitions of EDT and EDT*: Clarify that these

devices only send BM Unit (or Generating Unit)

data from the User to National Grid.

Definition of EDT: add after "submissions from the

User to National Grid".