

CUSC Amendment Proposal Form	CAP020
<p><b>Title of Amendment Proposal:</b> Change of timescales associated with issuing the Balancing Services Provisional Monthly Statement.</p>	
<p><b>Description of the Proposed Amendment</b> <i>(mandatory by proposer):</i></p> <p>It is proposed to change the issue of the Balancing Services Provisional Monthly Statement from the 5<sup>th</sup> Business Day of each calendar month to three days after the issue of the Interim Information Settlement Run that is issued in respect of the final day of the calendar month in question. This will ensure there is sufficient time to receive BSC derived data from all the Interim Information Settlement Runs for the month in question and remove the need to base part of the Provisional Monthly Statement on forecast BSC data.</p>	
<p><b>Description of Issue or Defect that Proposed Amendment seeks to Address</b> <i>(mandatory by proposer):</i></p> <p>Currently National Grid is required to issue the Balancing Services Provisional Monthly Statement (in respect of the previous calendar month) on the fifth business day of each calendar month. Such timing means that part of the BSC data (relating to trading days at the end of the month) needs to be based on estimated data since actual BSC data from the Interim Information Settlement Runs (as contained in the SAA I014 file for each trading day) is not available.</p> <p>This CUSC Amendment proposes to delay the issue of the Balancing Services Provisional Monthly Statement until three days after the issue of the Interim Information Settlement Run that is issued in respect of the final day of the calendar month in question. This will remove the need to use estimated data in the Balancing Services Provisional Monthly Statement (meaning all data contained in the Provisional Monthly Statement will be based on actual, initial Settlement data).</p>	
<p><b>Impact on the CUSC</b> <i>(this should be given where possible):</i></p> <p>See attached draft text</p>	
<p><b>Impact on Core Industry Documentation</b> <i>(this should be given where possible):</i></p> <p>None</p>	
<p><b>Impact on Computer Systems and Processes used by CUSC Parties</b> <i>(this should be given where possible):</i></p> <p>This proposal would delay the receipt by CUSC Parties of the Balancing Services Provisional Monthly Statement by three days (in general).</p>	
<p><b>Details of any Related Modifications to Other Industry Codes</b> <i>(where known):</i></p> <p>None</p>	
<p><b>Justification for Proposed Amendment with Reference to Applicable CUSC Objectives**</b> <i>(mandatory by proposer):</i></p> <p>Improving the efficiency of business processes (as defined in the CUSC) enables National Grid to more easily and efficiently discharge its obligations under the Act and the Transmission Licence and fulfil its obligations to facilitate competition in the generation and supply of electricity</p>	

<b>Details of Proposer:</b> Organisation's Name:	National Grid
<b>Capacity in which the Amendment is being proposed:</b> (i.e. CUSC Party, BSC Party or "energywatch")	CUSC Party
<b>Details of Proposer's Representative:</b>  Name: Organisation: Telephone Number: Email Address:	Phil Lawton National Grid 024 7642 3966 <a href="mailto:phil.lawton@uk.ngrid.com">phil.lawton@uk.ngrid.com</a>
<b>Details of Representative's Alternate:</b>  Name: Organisation: Telephone Number: Email Address:	Malcolm Arthur National Grid 024 7642 3200 <a href="mailto:malcolm.arthur@uk.ngrid.com">malcolm.arthur@uk.ngrid.com</a>
<b>Attachments (Yes/No):</b> Yes <b>If Yes, Title and No. of pages of each Attachment:</b> Draft Outline of Changes Required (1 Page)	

**Notes:**

Those wishing to propose an Amendment to the CUSC should do so by filling in this "Amendment Proposal Form" that is based on the provisions contained in Section 8.15 of the CUSC. The form seeks to ascertain details about the Amendment Proposal so that the Amendments Panel can determine more clearly whether the proposal should be considered by a Working Group or go straight to wider National Grid Consultation.

The Panel Secretary will check that the form has been completed, in accordance with the requirements of the CUSC, prior to submitting it to the Panel. If the Panel Secretary accepts the Amendment Proposal form as complete, then he will write back to the Proposer informing him of the reference number for the Amendment Proposal and the date on which the Proposal will be considered by the Panel. If, in the opinion of the Panel Secretary, the form fails to provide the information required in the CUSC, then he may reject the Proposal. The Panel Secretary will inform the Proposer of the rejection and report the matter to the Panel at their next meeting. The Panel can reverse the Panel Secretary's decision and if this happens the Panel Secretary will inform the Proposer.

The completed form should be returned to:

Richard Dunn  
Panel Secretary  
Commercial Development  
National Grid Company plc  
National Grid House  
Kirby Corner Road  
Coventry, CV4 8JY  
Or via e-mail to: [CUSC.Team@uk.ngrid.com](mailto:CUSC.Team@uk.ngrid.com)

(Participants submitting this form by email will need to send a statement to the effect that the proposer acknowledges that on acceptance of the proposal for consideration by the Amendments Panel, a proposer which is not a CUSC Party shall grant a licence in accordance with Paragraph 8.15.7 of the CUSC. A Proposer that is a CUSC Party shall be deemed to have granted this Licence).

## Attachment: Draft Outline of Changes Required

Modify Paragraphs 4.3.2.1, 4.3.2.6 and 4.3.2.22 as follows:

4.3.2.1 ~~On the third Business Day following receipt from the SAA of the Interim Information Settlement Run issued in respect of the final day of the previous calendar month. On the fifth Business Day of each calendar month~~ NGC shall send to the User a statement ("**Provisional Monthly Statement**") consisting of:-

- (a) a statement ("**Provisional Statement**") incorporating:-
  - (i) detailed daily technical reports of all **Balancing Services** supplied by the **User** pursuant to the relevant **Balancing Services Agreement** during the previous calendar month;
  - (ii) a summary of each **Balancing Service** so supplied; and
- (b) if relevant a statement showing adjustments to be made (net of interest) in relation to disputes for **Balancing Services** concerning any month prior to the previous month ("**Provisional Adjustments Statement**"),

in each case showing the payments due to or from the **User** as a result thereof.

4.3.2.6 ~~On the eighteenth Thirteen Business Days of each calendar month, after the date specified in Paragraph 4.3.2.1,~~ NGC shall send to the **User** a statement ("**Final Monthly Statement**") consisting of:-

- (a) a statement ("**Final Statement**") incorporating:-
  - (i) in the case of an undisputed **Provisional Statement** (or where any dispute has been resolved and no changes have been effected to the calculations contained in the **Provisional Statement**) a further monthly summary of the **Balancing Services** provided together with an invoice for the amount shown as being due to the **User** or **NGC** (as the case may be); or
  - (ii) in the case of a disputed **Provisional Statement** such that changes are required as a result thereof, a further copy of the detailed daily technical reports referred to at Paragraph 4.3.2.1(a)(i), a revised monthly summary of the **Balancing Services** provided and an invoice for the amount shown as being due to the **User** or **NGC** (as the case may be); and
- (b) if a **Provisional Adjustments Statement** has been issued in accordance with Paragraph 4.3.2.1(b), a statement ("**Final Adjustments Statement**") showing adjustments to be made in relation to disputes for **Balancing Services** concerning any month prior to the previous month together with interest thereon up to and including the date of payment referred to in Paragraph 4.3.2.10. Such adjustments will be reflected in the invoice referred to at Paragraph 4.3.2.6(a)(i) above.

4.3.2.22 For the avoidance of doubt, **NGC** shall issue a **Provisional Monthly Statement** to the **User** ~~on the fifth Business Day of for~~ the calendar month following the calendar month in which any **Balancing Services Agreement** to which the **User** is a party shall expire or terminate, setting out details of the **Balancing Services** supplied by the **User** in respect thereof during that calendar month until expiry or termination, and in respect thereof the provisions of this Paragraph 4.3.2 shall continue to apply notwithstanding such expiry or termination.

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New Definitions to be added to Section 11 of the CUSC:

“Interim Information Settlement Run” As defined in the Balancing and Settlement Code

“SAA” As defined in the Balancing and Settlement Code

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