

AMENDMENT REPORT

CUSC Proposed Amendments CAPs079-084 Housekeeping Amendments

The purpose of this report is to assist the Authority in their decision of whether to implement Amendment Proposal CAPs079-084

Amendment Ref	CAPs079-084
Issue	1.0
Date of Issue	4 th May 2005
Prepared by	National Grid

I DOCUMENT CONTROL

a National Grid Document Control

Version	Date	Author	Change Reference
0.1	25/04/05	National Grid	Draft for comment
1.0	04/05/05	National Grid	Formal version for submission to the Authority

b Document Location

Nation Grid Website:

http://www.nationalgrid.com/uk/indinfo/cusc

c Distribution

Name	Organisation
The Gas and Electricity Markets Authority	Ofgem
CUSC Parties	Various
Panel Members	Various
National Grid Industry Information Website	

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1.0 SUMMARY AND RECOMMENDATION

- 1.1 CAPs079-084 propose to amend the CUSC in order to correct a number of minor errors such as incorrect paragraph numbering, spelling errors, incorrect cross-references and obsolete provisions.
- 1.2 Amendment Proposals CAPs079 to CAP084 were proposed by National Gird and submitted for consideration to the CUSC Amendments Panel Meeting on Friday, 18th March 2005. At the meeting the Panel determined that National Grid should initiate a period of wider industry consultation on the issues raised by CAPs079-084.
- 1.3 The Consultation Paper for CAPs079-084 was published by National Grid on 24th March 2005, placed on the CUSC website and copies sent to Core Industry Documents Owners and CUSC Parties. Responses were invited by 22nd April 2005.
- National Grid received a total of 2 responses to the consultation for CAPs079-084. Both respondents agreed that the changes better facilitated the Applicable CUSC Objectives. One of the respondents (British Gas Trading) requested additional clarification from National Grid regarding Amendment Proposal CAP079. The respondent questioned the retention of Section 10.6 (Pre-CUSC Voting of Amendments Panel). National Grid have noted British Gas Trading's view on this matter and will consider further whether or not to submit an Amendment Proposal in this area in due course.

National Grid Recommendation

- 1.5 National Grid recommends that CAPs079-084 be approved for implementation.
- 1.6 It is recommended that the CUSC be modified in line with CAPs079-084, 10 days after the Authority's decision.

2.0 PURPOSE AND SCOPE OF THE REPORT

- 2.1 This Amendment Report has been prepared and issued by National Grid under the rules and procedures specified in the Connection and Use of System Code (CUSC) as designated by the Secretary of State. It addresses issues relating to proposed housekeeping amendments to the CUSC.
- 2.2 Further to the submission of Amendment Proposals CAPs079-084 (see Annex 1) and the subsequent wider industry consultation that was undertaken by National Grid, this document is addressed and furnished to the Gas and Electricity Markets Authority ("the Authority") in order to assist them in their decision whether to implement Amendment Proposals CAPs079-084.
- 2.3 This document outlines the nature of the CUSC changes that are proposed. It incorporates National Grid's recommendations to the Authority concerning the Amendment. Copies of all representations received in response to the consultation have been also been included and a 'summary' of the representations received is also provided. Copies of each of the responses to the consultation are included as Annex 3 to this document.

2.4 This Amendment Report has been prepared in accordance with the terms of the CUSC. An electronic copy can be found on the National Grid website, at http://www.nationalgrid.com/uk/indinfo/cusc

3.0 THE PROPOSED AMENDMENT

Background

3.1 At the CUSC Amendment Panel Meeting held on 22nd March 2002 National Grid indicated that, in response to requests from CUSC Parties, a register of potential housekeeping amendments would be added to the monthly CUSC Amendments Progress Report. This register has appeared in the Progress Report since then. National Grid also indicated that the housekeeping amendments would be put to the Panel for consideration at approximately six monthly intervals. CAPs079-084 represents the third batch of such housekeeping amendments

The Proposed Amendment

3.2 The following table is a summary of the Amendment Proposals represented by CAPs079-084:

CAP Ref No	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address
CAP079	Delete the Headings 10.7 – 10.12 inclusive, the Appendix and correct the headings of 10.5 and 10.6 in the Contents page of Section 10 and Table of Contents Document.	CAP066 deleted paragraphs: 10.5, 10.6, 10.7, 10.9, 10.11, 10.12 and the associated Appendix in Section 10 of the CUSC, as they were redundant. CAP066 also renumbered sections 10.8 and 10.10. However CAP066 did not amend Section 10's Contents page and the Table of Contents Document.
CAP080	The heading for Paragraph 5.10 reads 'ELEVANT INTERRUPTIONS' and requires correction to read 'RELEVANT INTERRUPTIONS'.	Correction of a spelling error in the heading for Paragraph 5.10
CAP081	Note 4 in Exhibit F (Use of System Application for Suppliers, Interconnector Users and Interconnector Error Administrators) will be amended to refer to completion of Section A-B not Section A-D.	Note of Exhibit F currently refer to completion of Sections A-D of Exhibit F whereas there are only two sections (A & B).
CAP082	In the definition of 'Mandatory Ancillary Services' in Section 11 of the CUSC, 'Part I' System Ancillary Services' should be amended to read 'Part 1 System Ancillary Services'	Reference to 'Part I' in the definition of 'Mandatory Ancillary Services' will be corrected to read 'Part 1'.
CAP083	In the definition of NGC in Section 11, the address given for NGC is Coventry. NGC is now based at The Strand, London and this address should replace the Coventry address.	Incorrect address given for NGC in the definition of NGC in Section 11.
CAP084	Insert Headings 1.5 and 1.6 and associated wording in the Table of Contents Document and in the Content	Recent Ofgem Direction in relation to EELPS inserted paragraphs 1.5 and 1.6 into Section 1 of the CUSC but did not add

Ī	page of Section 1.	the corresponding headings and associated
	•	wording in the Table of Contents Document
		and in the Content page for Section 1.

4.0 IMPLEMENTATION AND TIMESCALES

CAPs079-084 are housekeeping changes and have no material effect on CUSC Parties. It is therefore proposed that implementation takes place 10 days after the Authority's decision as outlined in paragraph 1.6.

5.0 IMPACT ON THE CUSC

- 5.1 The Proposed Amendments would require amendments to those areas of the CUSC detailed in the table contained in Paragraph 3.2 above.
- 5.2 The text required to give effect to the Proposed Amendment is contained as Annex 2 of this document.

6.0 ASSESSMENT AGAINST APPLICABLE CUSC OBJECTIVES

6.1 Amendment of the CUSC on the basis of the housekeeping amendments represented by CAPs079-084 would improve the efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the Transmission Licence.

7.0 IMPACT ON CUSC PARTIES

7.1 No impact has been identified on CUSC Parties from the Proposed Amendments.

8.0 IMPACT ON CORE INDUSTRY DOCUMENTS

Proposed Amendment

The Proposed Amendments will not have any impact on Core Industry Documents or other industry documentation or require any changes to computer systems established under Core Industry Documents.

9.0 ALTERNATIVE AMENDMENT

No Alternative Amendment Proposals were submitted.

10.0 VIEWS AND REPRESENTATIONS

10.1 This Section contains a summary of the views and representations made by consultees during the consultation period in respect of the Proposed Amendment.

Views of Panel Members

10.2 No formal responses have responses have been submitted during the consultation by members of the Amendments Panel.

View of Core Industry Document Owners

10.3 No responses have been received from Core Industry Document Owners noting any impact of CAPs079-084 on Core Industry Documents.

Responses to Consultation

10.4 The following table provides an overview of the representations received. Copies of the representations are attached as Annex 3.

Reference	Company	Supportive	Comments
CAPs079-084-CR-01	British Gas Trading	Yes	CAP079 – Requests clarification as why section 10.6 needs to be retained. National Grid acknowledged British Gas Trading view on this matter and will seek to submit an Amendment Proposed for the deletion of this obsolete text in due course
CAPs079-084-CR-02	RWE/npower	Yes	Supports CAP 079-084 inclusive.

10.5 National Grid received a total of 2 responses to the industry consultation on CUSC Amendment Proposals CAPs079-084. British Gas Trading questioned the retained of Section 10.6 referred to in CAP079. National Grid acknowledges this view and accepts that this may result in a future amendment proposal to delete the obsolete text.

National Grid View

10.6 National Grid is grateful to all those who responded to this consultation. All the Amendment Proposals represented by CAPs079-084 inclusive are straightforward and raise no issues of principle.

11.0 NATIONAL GRID RECOMMENDATION

11.1 National Grid recommends that Amendment Proposals CAPs079-084 inclusive should be approved for implementation to retain consistency and improve clarity and hence enable National Grid to more efficiently discharge its licence obligations.

12.0 COMMENTS ON DRAFT AMENDMENT REPORT

12.1 National Grid received 0 responses following the publication of the draft Amendment Report.

Annex 1 - Amendment Proposal Form

CUSC Amendment Proposal Form

Details of Proposer: Organisation's Name:	The National Grid Company plc
Capacity in which the Amendment is being proposed: (i.e. CUSC Party, BSC Party or "energywatch")	CUSC Party*
Details of Proposer's Representative: Name: Organisation: Telephone Number: Email Address:	Ben Graff National Grid Company plc 01926 656312 ben.graff@ngtuk.com
Details of Proposer's Alternate: Name: Organisation: Telephone Number: Email Address:	Richard Dunn National Grid Company plc 01926 656211 richard.dunn@ngtuk.com

For the avoidance of doubt, National Grid as Proposer of the above Amendments and as a CUSC Party has granted the licence and given the warranty as required by Paragraph 8.15.7 of the CUSC.

CAP lef No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the CUSC	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by CUSC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives
CAP 079	CUSC – Table of Contents Document and CUSC Section 10 – Transitional Issues - Contents	Delete the Headings 10.7 – 10.12 inclusive, the Appendix and correct the headings of 10.5 and 10.6 in the Contents page of Section 10 and Table of Contents Document.	CAP066 deleted paragraphs: 10.5, 10.6, 10.7, 10.9, 10.11, 10.12 and the associated Appendix in Section 10 of the CUSC, as they were redundant. CAP066 also renumbered sections 10.8 and 10.10. However CAP066 did not amend Section 10's Contents page and the Table of Contents Document.	Table of Contents Document and Content page for Section 10 will be consistent with the text in the same section.	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment of the Contents page for Section 10 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 080	CUSC Paragraph 5.10 - Correction of Spelling Error	The heading for Paragraph 5.10 reads "ELEVANT INTERRUPTIONS" and requires correction to read "RELEVANT INTERRUPTIONS"	Correction of a spelling error in the heading for Paragraph 5.10	Correction of spelling error.	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Correction of a spelling error in the heading for Paragraph 5.10 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.

CAP Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the CUSC	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by CUSC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives
CAP 081	CUSC Exhibit F – Amendment to the Notes	Note 4 in Exhibit F (Use of System Application for Suppliers, Interconnector Users and Interconnector Error Administrators) Will be amended to refer to completion of Sections A-B not Sections A-D	Note 4 of Exhibit F currently refer to completion of Sections A-D of Exhibit F whereas there are only two sections (A & B).	Correction to the reference to Sections to be completed in Note 4 of Exhibit F.	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment of Note 4 of Exhibit F would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 082	CUSC - Correction to Definition of Mandatory Ancillary Services	In the definition of "Mandatory Ancillary Services" in Section 11 of the CUSC "Part I System Ancillary Services" should be amended to read "Part 1 System Ancillary Services"	Reference to "Part I" in the definition of "Mandatory Ancillary Services" will be corrected to read " Part 1"	Amendment of Section 11 definition of "Mandatory Ancillary Services"	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment of the definition of "Mandatory Ancillary Services" would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.
CAP 083	CUSC - Amendment to Definition of NGC in Section 11	In the definition of NGC in Section 11 the address given for NGC is Coventry. NGC is now based at The Strand, London and this address should replace the Coventry address	Incorrect address given for NGC in the definition of NGC in Section 11	Amend the address given for NGC	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment to the definition of NGC in Section 11 to refer to the current address for NGC would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.

Amendment Report
Amendment Ref: CAPs079-084

CAP Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the CUSC	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by CUSC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives
CAP 084	CUSC – Table of Contents Document and CUSC Section 1 – Applicability of sections and related agreements structure - Contents	Insert Headings 1.5 and 1.6 and associated wording in the Table of Contents Document and in the Content page of Section 1.	Recent Ofgem Direction in relation to EELPS inserted paragraphs 1.5 and 1.6 into Section 1 of the CUSC but did not add the corresponding headings and associated wording in the Table of Contents Document and in the Content page for Section 1.	Table of Contents Document and Content page for Section 1 will be consistent with the text in the same section.	None	None	None	The Terms of the Transmission Licence (TL) require National Grid to establish and operate procedures for the modification of the CUSC, including the modification procedures themselves, so as to better facilitate achievement of the Applicable CUSC Objectives. Amendment of the Table of Contents document and Contents page for Section 1 would improve efficiency in the amendment procedures themselves and would enable National Grid to more efficiently discharge its obligations under the TL.

Notes:

- 1. Those wishing to propose an Amendment to the CUSC should do so by filling in this "Amendment Proposal Form" that is based on the provisions contained in Section 8.15 of the CUSC. The form seeks to ascertain details about the Amendment Proposal so that the Amendments Panel can determine more clearly whether the proposal should be considered by a Working Group or go straight to wider National Grid Consultation.
- 2. The Panel Secretary will check that the form has been completed, in accordance with the requirements of the CUSC, prior to submitting it to the Panel. If the Panel Secretary accepts the Amendment Proposal form as complete, then he will write back to the Proposer informing him of the reference number for the Amendment Proposal and the date on which the Proposal will be considered by the Panel. If, in the opinion of the Panel Secretary, the form fails to provide the information required in the CUSC, then he may reject the Proposal. The Panel Secretary will inform the Proposer of the rejection and report the matter to the Panel at their next meeting. The Panel can reverse the Panel Secretary's decision and if this happens the Panel Secretary will inform the Proposer.

The completed form should be returned to:

Richard Dunn Panel Secretary **Commercial Frameworks** National Grid Company plc National Grid Transco House Warwick Technology Park Gallows Hill Warwick, CV34 6DA

Or via e-mail to: CUSC.Team@uk.ngrid.com

(Participants submitting this form by email will need to send a statement to the effect that the proposer acknowledges that on acceptance of the proposal for consideration by the Amendments Panel, a proposer, which is not a CUSC Party, shall grant a licence in accordance with Paragraph 8.15.7 of the CUSC. A Proposer that is a CUSC Party shall be deemed to have granted this Licence).

3. Applicable CUSC Objectives** - These are defined within the National Grid Company Transmission Licence under Section C7F, paragraph 15. Reference should be made to this section when considering a proposed amendment.

Annex 2 – Proposed Text to modify CUSC

Part A - Text to give effect to the Proposed Amendment

Conformed Version

CAP079

Delete the following headings from the Table of Contents and Section 10's Contents Page:

10.7 Continuity of Payments for Mandatory Ancillary Services

10.8 Outturning

10.9 October/November Letters

10.10 Pre-CUSC Voting of Amendments Panel

10.11 PES Separation

10.12 CUSC Implementation Date

Appendix - Public Electricity Supplier Licence Separation

Amend the following headings in the Table of Contents and Section 10's Contents Page:

10.5 Continuity of Security Outturning

10.6 Continuity of Charges Pre-CUSC Voting of Amendments Panel

CAP080

Amend heading of paragraph 5.10 as follows:

5.10 RELEVANT INTERRUPTIONS

CAP081

Amend Note 4 of Exhibit F as follows:

4. The effective date upon which the application is made shall be the date when **NGC** is reasonably satisfied that the **Applicant** has completed Sections A – DB. **NGC** shall notify the **Applicant** of such date.

CAP082

Amend Section 11.3 as follows:

"Mandatory Ancillary Services"

Part I1 System Ancillary Services

CAP083

Amend Section 11.3 as follows:

"NGC"

The National Grid Company plc (No: 2366977) whose registered office is at National Grid House, Kirby Corner Road, Coventry, CV4 8JY; 1-3 Strand, London, WC2N 5EH

CAP084

Insert the following headings into the Table of Contents and Section 1's Contents Page:

- 1.5 BELLA APPLICATION
- 1.6 AUTHORITY'S RIGHT TO DETERMINE IN RESPECT OF A BELLA

Annex 3 - Copies of Representations Received to Consultation

This Annex includes copies of any representations received following circulation of the Consultation Document (circulated on 24^{th} March 2005, requesting comments by close of business on Friday, 22^{nd} April 2005).

Representations were received from the following parties:

No.	Company	File Number
1	British Gas Trading	CAPs079-084-CR-01
2	RWE/npower	CAPs079-084-CR-02

Date of Issue: 4th May 2005

Reference	CAPs079-084-CR-01
Company	British Gas Trading



Centrica Energy

Millstream East, Maidenhead Road, Windsor, Berkshire SL4 5GD

Tel. (01753) 431051 Fax (01753) 431150 www.centrica.com Our Ref. Your Ref. 04 May 2005

National Grid Company plc National Grid Transco House Warwick Technology Park Gallows Hill Warwick CV34 6DA

For the Attention of Lilian Macleod-Commercial Frameworks

Dear Lilian,

Re: CUSC Amendment Proposals CAP079 to CAP084 Housekeeping Amendments

Thank you for the opportunity to respond to your recent consultation document on the above referenced CUSC Amendment Proposals. Centrica responds as follows:

CAP079 – Support the Proposal, although we request clarification from NGC why section 10.6 (Pre-CUSC Voting of Amendments Panel) needs to be retained.

CAP080 - Support the Proposal

CAP081 - Support the Proposal

CAP082 - Support the Proposal

CAP083 - Support the Proposal

CAP084 – Support the Proposal

In all cases we believe that all these Amendments better facilitate the Applicable objectives by clarifying the CUSC document and hence improve efficiency.

Should you wish to discuss any of our comments, please do not hesitate to contact the undersigned.

Yours sincerely,

Simon Goldring Head of Transportation

Reference	CAPs079-84-CR-02
Company	RWE/npower

Lilian,

Please find attached RWE npower's response to CAP079-084

Kind regards

Louisa Stuart-Smith **Electricity Codes Networks and Codes**

Tel: 01905 340408 Mob: 07989 490710 Fax: 01905 340487 npower Oak House Bridgwater Road, Warndon,

Worcester,

WR4 9FP

<< Annex 2 - Proforma Response Form.doc>>

Proforma Response Form

CAP Ref No	Title of Amendment Proposal	Do you support the Amendment Proposal (yes/no)?	Comments (with reference to the Applicable CUSC Objectives)
CAP079	CUSC – Table of Contents Document and CUSC Section 10 – Transitional Issues - Contents	YES	
CAP080	CUSC Paragraph 5.10 – Correction of Spelling Error	YES	
CAP081	CUSC Exhibit F – Amendment to the Notes	YES	
CAP082	CUSC – Correction to Definition of Mandatory Ancillary Services	YES	
CAP083	CUSC – Amendment to Definition of NGC in Section 11	YES	
CAP084	CUSC – Table of Contents Document and CUSC Section 1- Applicability of Sections and Related Agreements Structure – Contents	YES	