



# National Grid

## CONSULTATION DOCUMENT

### CUSC Amendment Proposal CAP095

#### REMOVAL OF OPERATIONAL DAY REFERENCE FROM Ex- POST PUBLICATION OF RESPONSE INFORMATION

*The purpose of this document is to  
consult on Amendment Proposal CAP095  
with CUSC Parties and other interested  
Industry members*

Amendment Ref	CAP095
Issue	1
Date of Issue	06-Jul-05
Prepared by	National Grid

**I DOCUMENT CONTROL****a National Grid Document Control**

Version	Date	Author	Change Reference
0.1		National Grid	Initial Draft for internal comment
1.0		National Grid	Formal version for release

**b Document Location**

National Grid website:

[http://www.nationalgridinfo.co.uk/cusc/mn\\_consultation\\_index.html](http://www.nationalgridinfo.co.uk/cusc/mn_consultation_index.html)

**c Distribution**

Name	Organisation
CUSC Parties	Various
Panel Members	Various
Interested Parties	Various
Core Industry Document Owners	Various
National Grid Industry Information Website	-

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## 1.0 SUMMARY

- 1.1 CAP095 has been raised as a consequence of an issue identified by the BSSG in the implementation phase of CUSC amendment CAP047<sup>1</sup>. Specifically the publication of mandatory frequency response information. CAP047 directs that Ex-Post publication of response utilisation should be published on an operational day basis (05:00 to 05:00). However CAP047 also directs that Ex-Ante submission and publication of response holding prices and capability should be submitted, and subsequently published, on a calendar day/month resolution (midnight to midnight). CAP095 proposes to align the publication of Ex-Post and Ex-Ante information by publishing all information on a calendar day/month resolution.
- 1.2 CAP095 was proposed by NGC and submitted to the CUSC Amendments Panel for consideration at its meeting on 24-Jun-05. The Amendments Panel determined that the issue was appropriate to proceed to wider industry consultation by National Grid.
- 1.3 This document initiates this wider consultation exercise and invites views on CUSC Amendment Proposal CAP095. The consultation closing date is 5pm on the 05-Aug 2005

## 2.0 INTRODUCTION

- 2.1 This is a consultation document issued by National Grid under the rules and procedures specified in the Connection and Use of System Code (CUSC) as designated by the Secretary of State.
- 2.2 Further to the submission of Amendment Proposal CAP095 this document seeks views from industry members relating to the Amendment Proposal
- 2.3 Representations received in response to this consultation document will be included in National Grid's Amendment Report that will be furnished to the Authority for their decision.
- 2.4 This consultation document has been prepared in accordance with the terms of the CUSC. An electronic copy can be found on the National Grid web site, at <http://www.nationalgrid.com/uk/indinfo/cusc>, and the Amendment Proposal form.

## 3.0 THE AMENDMENT PROPOSAL

- 3.1 In the consultation stage of CAP047 NGC identified that Ex-Post publication of response utilisation volumes could only be achieved at an operational day resolution. As such the amendment determined that Ex-Post information should be published for each operational day.
- 3.2 This publication constraint no longer exists and NGC believes that by aligning the Ex-Ante and Ex-Post published information it will better facilitate the mandatory response holding price-submission mechanism.

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<sup>1</sup> CAP047 "Introduction of a competitive process for the Provision of Mandatory Frequency Response" was approved by the authority for implementation on 01-Oct-05

- 3.3 CAP095 will align all information submission and publication to a calendar month/day time scale. This will enable the direct comparison of Ex-Ante price submission and Ex-Post utilisation volumes on a BMU basis.
- 3.4 The proposed CUSC code modifications to facilitate CAP095 are included within Annex 1

#### **4.0 ASSESSMENT AGAINST THE APPLICABLE CUSC OBJECTIVES**

- 4.1 By aligning all published mandatory frequency response information NGC will better facilitate CUSC objective (a) – The efficient discharge by NGC of the obligations imposed on it by the act and the Transmission License, by ensuring that this data is transparent and understandable as possible.

#### **5.0 IMPLEMENTATION AND TIMESCALES**

- 5.1 It is proposed that implementation takes place on 1<sup>st</sup> October 2005. This would line up with the implementation date for CAP047. Whilst NGC believes that this is the most appropriate implementation date, were an Authority decision not have been made by the 1<sup>st</sup> October, we believe implementation should be 10 days after an Authority decision that has come about on or after 1<sup>st</sup> October 2005. (Clearly CAP095 could not be implemented prior to the 1<sup>st</sup> October.) As with all other aspects of the Consultation, NGC would welcome views on this proposed implementation date.

#### **6.0 INITIAL VIEW OF AMENDMENTS PANEL**

- 6.1 The Amendments Panel was comfortable for CAP095 to proceed to wider consultation.

#### **7.0 INITIAL VIEW OF NATIONAL GRID**

- 7.1 NGC believe that this modification will resolve a minor inconsistency between the publication of Ex-Ante and Ex-Post frequency response information. As such, in NGC's view, it will be to the benefit of the industry.

#### **8.0 VIEWS INVITED**

- 8.1 National Grid is seeking the views of interested parties in relation to the issues raised by Amendment Proposal CAP095 and issues arising from the proposed time-scale for implementation of CAP095.
- 8.2 Please send your responses to this consultation to National Grid by 5pm on **05-Aug-05**
- 8.3 Please address all comments to the following e-mail address:

[Lindsey.Paradine@ngtuk.com](mailto:Lindsey.Paradine@ngtuk.com)

Or alternatively, comments may be addressed to:

Lindsey Paradine  
CUSC Amendments Panel Secretary  
National Grid Company plc  
NGT House

Warwick Technology Park  
Gallows Hill  
Warwick  
CV34 6DA

## Annex 1 - Proposed Text to Modify CUSC

### Part A - Text to give effect to the Proposed Amendment

#### Conformed Version

#### Amend 4.1.3.13A (c) as follows

4.1.3.13A (a) **NGC** shall use reasonable endeavours to publish on its web-site by the 16th **Business Day** of each calendar month, a report containing the following information in respect of each applicable **User's BM Unit(s)** to apply in respect of the next following calendar month:-

- (i) the payment rates for **Primary Response**, **High Frequency Response** and **Secondary Response** to apply in determining the **Holding Payments** for the next following calendar month as determined in accordance with Paragraph 4.1.3.13;
- (ii) the available **Response** volume (in such form and manner as shall be prescribed by **NGC** from time to time).

(b) Where any payment rates published in a report issued in accordance with Paragraph 4.1.3.13A(a) are rectified by **NGC** in accordance with Paragraph 4.1.3.13(e), **NGC** shall as soon as reasonably practicable thereafter publish the rectified report on its web-site.

(c) In respect of each ~~Operational Day~~ Operational Day day in a calendar month, **NGC** shall, by the ninth **Business Day** of the calendar month following that calendar month, publish on its web-site in respect of all **BM Units** details of instructions issued by **NGC** in accordance with Paragraph 4.1.3.4 for each of **Primary Response**, **High Frequency Response** and **Secondary Response** (in such form and manner as shall be prescribed by **NGC** from time to time).

#### Proposed Changes to Paragraph 11.3 of the CUSC (Definitions)

~~“Operational Day” as defined in the Grid Code;~~

~~“Operational Day” as defined in the Grid Code;~~

**Annex 2 – Amendment Proposal Form**

<b>CUSC Amendment Proposal Form</b>	<b>CAP:095</b>
Title of Amendment Proposal:	
Removal of Operational Day Reference from Ex Post Publication of Response Information	
Description of the Proposed Amendment ( <b>mandatory by proposer</b> ):	
CUSC section 4.1.3.13A (c) – removal of the word “Operational” from the description relating to Ex Post publication of frequency response information.	
Description of Issue or Defect that Proposed Amendment seeks to Address ( <b>mandatory by proposer</b> ):	
The companion wording to CUSC amendment CAP047 directs that Ex-Post publication of mandatory frequency response information should be published on an Operational Day resolution (05:00 to 05:00). Ex-Ante submission and publication of response holding prices and response capability are to be submitted and published on a calendar day/month resolution (midnight to midnight). This proposal aligns the time frames of Ex Ante and Ex Post information by publishing all mandatory frequency response information to the market, as required under section 4 of the CUSC, on a calendar day basis (midnight to midnight).	
<b>Impact on the CUSC</b> ( <i>this should be given where possible</i> ):	
Remove the word “Operational” from CUSC section 4.1.3.13A (c)	
Remove the definition of Operational Day from CUSC section 11.3 (Definitions)	
<b>Impact on Core Industry Documentation</b> ( <i>this should be given where possible</i> ):	
None	
<b>Impact on Computer Systems and Processes used by CUSC Parties</b> ( <i>this should be given where possible</i> ):	
None	
<b>Details of any Related Modifications to Other Industry Codes</b> ( <i>where known</i> ):	
The concept of an Operational Day is introduced into the CUSC with the implementation of CAP047 on 1/10/05. It relates to the description of the new information reporting obligations this modification will introduce.	

Justification for Proposed Amendment with Reference to Applicable CUSC Objectives\*\* (**mandatory by proposer**):

By aligning Ex Ante and Ex Post information provision NGC will better facilitate CUSC objective (a) – The efficient discharge by NGC of the obligations imposed on it by the Act and the Transmission Licence.

<b>Details of Proposer:</b> Organisation's Name:	National Grid Transco
Capacity in which the Amendment is being proposed: (i.e. CUSC Party, BSC Party or "energywatch")	CUSC Party
<b>Details of Proposer's Representative:</b> Name: Organisation: Telephone Number: Email Address:	Robert Smith National Grid Transco 01926 654076 robert.smith@ngtuk.com
<b>Details of Representative's Alternate:</b> Name: Organisation: Telephone Number: Email Address:	John Greasley National Grid Transco 01926 656313 john.greasley@ngtuk.com
<b>Attachments (Yes/No): No</b> <b>If Yes, Title and No. of pages of each Attachment:</b>	

**Notes:**

1. Those wishing to propose an Amendment to the CUSC should do so by filling in this "Amendment Proposal Form" that is based on the provisions contained in Section 8.15 of the CUSC. The form seeks to ascertain details about the Amendment Proposal so that the Amendments Panel can determine more clearly whether the proposal should be considered by a Working Group or go straight to wider National Grid Consultation.
2. The Panel Secretary will check that the form has been completed, in accordance with the requirements of the CUSC, prior to submitting it to the Panel. If the Panel Secretary accepts the Amendment Proposal form as complete, then he will write back to the Proposer informing him of the reference number for the Amendment Proposal and the date on which the Proposal will be considered by the Panel. If, in the opinion of the Panel Secretary, the form fails to provide the information required in the CUSC, then he may reject the Proposal. The Panel Secretary will inform the Proposer of the rejection and report the matter to the Panel at their next meeting. The Panel can reverse the Panel Secretary's decision and if this happens the Panel Secretary will inform the Proposer.

The completed form should be returned to:

Richard Dunn  
Panel Secretary  
Commercial Frameworks  
National Grid Company plc  
National Grid Transco House



Warwick Technology Park  
Gallows Hill  
Warwick, CV34 6DA  
Or via e-mail to: [CUSC.Team@uk.ngrid.com](mailto:CUSC.Team@uk.ngrid.com)

(Participants submitting this form by email will need to send a statement to the effect that the proposer acknowledges that on acceptance of the proposal for consideration by the Amendments Panel, a proposer which is not a CUSC Party shall grant a licence in accordance with Paragraph 8.15.7 of the CUSC. A Proposer that is a CUSC Party shall be deemed to have granted this Licence).