

<b>CUSC Amendment Proposal Form</b>	<b>CAP:100</b>
<b>Title of Amendment Proposal:</b>	
Revision of CUSC Amendment Provisions to ensure that Amendment Reports contain a collective CUSC Panel Recommendation.	
<b>Description of the Proposed Amendment (mandatory by proposer):</b>	
<p>CUSC Amendment Reports currently contain a Section for Panel Members views to be recorded. However, there is no opportunity for the Amendments Panel to make, and have recorded within the final Amendment Report, a collective recommendation to the Authority as to whether an Amendment proposal (and any Alternative Amendments) in the view of the Amendments Panel, better facilitate the Applicable Code Objectives.</p> <p>Following discussions with the Governance Standing Group, NGC propose that when an Amendment Report has reached the point at which it would currently go to the Authority (i.e after the Amendments Report has been circulated to the Industry in draft form) it should instead be tabled at the next Amendments Panel.</p> <p>The Amendments Panel would be required to vote as to whether they believed that the Amendment Proposal, and any Alternatives, better facilitated the Applicable Code Objectives. This vote would be recorded in the Panel Members Views Section. (Clearly Panel Members could abstain should they so wish.) In addition to the vote, any comments that Panel Members wished to have recorded, in relation to their view as to whether a proposal better facilitated the Applicable Code Objectives would also be noted within this section. Following the Amendments Panel Meeting, the Amendment Report, including the Panel Vote and views would be circulated to the Panel for 5 business days, to allow Panel Members a chance to check the factual accuracy of the way in which their views had been recorded. The Amendment Report would at that point be sent to the Authority.</p>	
<b>Description of Issue or Defect that Proposed Amendment seeks to Address (mandatory by proposer):</b>	
<p>Following the introduction of the new Appeals mechanism, whether an Authority decision is in line with a majority Panel Recommendation, is intended to be of the key filter mechanisms in terms of whether the proposal is appealable. This is not currently easily derivable from the current provisions within Section 8. NGC therefore believes that allowing a collective Panel Member Recommendation to be properly recorded within CUSC Amendment Reports would hence better facilitate the Applicable Code Objectives.</p>	
<b>Impact on the CUSC (this should be given where possible):</b>	
<p>Changes to Section 8 to allow for the following:</p> <ul style="list-style-type: none"> <li>• Amendment Reports to go to the Amendments Panel meeting following the Report having been reviewed at the draft Amendment stage.</li> <li>• Obligation on Panel Members to vote on whether or not the proposal/ any alternatives better facilitate the Applicable Code Objectives.</li> <li>• Obligation on NGC to record the vote/ Panel views within the Panel Recommendation, and to circulate to the Panel for 5 days for a factual accuracy check, prior to sending the Amendment report to the Authority.</li> </ul>	
<b>Impact on Core Industry Documentation (this should be given where possible):</b>	
None	

<b>Impact on Computer Systems and Processes used by CUSC Parties</b> <i>(this should be given where possible):</i>	
None	
<b>Details of any Related Modifications to Other Industry Codes</b> <i>(where known):</i>	
None	
<b>Justification for Proposed Amendment with Reference to Applicable CUSC Objectives**</b> <i>(mandatory by proposer):</i>	
<p>We believe that this proposal will better facilitate Licence Objectives (a) and (b). It will allow NGC to facilitate the efficient discharge by the licensee of the obligations imposed upon it under the Act and by this Licence, by ensuring that the provisions of the CUSC properly facilitate the new Appeals mechanism. It was help facilitate competition in generation and supply, by ensuring that the Authority can fully understand the recommendation of the Amendments Panel, in determining whether or not to approve or reject an Amendment proposal.</p>	
<b>Details of Proposer:</b> Organisation's Name:	National Grid
<b>Capacity in which the Amendment is being proposed:</b> (i.e. CUSC Party, BSC Party or "energywatch")	CUSC Party
<b>Details of Proposer's Representative:</b> Name: Organisation: Telephone Number: Email Address:	Ben Graff National Grid 01926 656312 ben.graff@uk.ngrid.com
<b>Details of Representative's Alternate:</b> Name: Organisation: Telephone Number: Email Address:	Emma Carr National Grid 01926 655843 emma.carr@uk.ngrid.com
<b>Attachments (Yes/No):</b>	
No	

**Notes:**

- Those wishing to propose an Amendment to the CUSC should do so by filling in this "Amendment Proposal Form" that is based on the provisions contained in Section 8.15 of the CUSC. The form seeks to ascertain details about the Amendment Proposal so that the Amendments Panel can determine more clearly whether the proposal should be considered by a Working Group or go straight to wider National Grid Consultation.
- The Panel Secretary will check that the form has been completed, in accordance with the requirements of the CUSC, prior to submitting it to the Panel. If the Panel Secretary accepts the Amendment Proposal form as complete, then he will write back to the Proposer informing him of the reference number for the Amendment Proposal and the date on which the Proposal will be considered by the Panel. If, in the opinion of the Panel Secretary, the form fails to provide the information required in the CUSC, then he may reject the Proposal. The Panel Secretary will inform

the Proposer of the rejection and report the matter to the Panel at their next meeting. The Panel can reverse the Panel Secretary's decision and if this happens the Panel Secretary will inform the Proposer.

The completed form should be returned to:

Richard Dunn  
Panel Secretary  
Commercial Frameworks  
National Grid Company plc  
NGT House  
Warwick Technology Park  
Gallows Hill  
Warwick, CV34 6DA  
Or via e-mail to: [CUSC.Team@uk.ngrid.com](mailto:CUSC.Team@uk.ngrid.com)

(Participants submitting this form by email will need to send a statement to the effect that the proposer acknowledges that on acceptance of the proposal for consideration by the Amendments Panel, a proposer which is not a CUSC Party shall grant a licence in accordance with Paragraph 8.15.7 of the CUSC. A Proposer that is a CUSC Party shall be deemed to have granted this Licence).

3. Applicable CUSC Objectives\*\* - These are defined within the National Grid Company Transmission Licence under Section C10, paragraph 1. Reference should be made to this section when considering a proposed amendment.