

CUSC Amendment Proposal Form – CAP108 - 112

Details of Proposer: Organisation's Name:	National Grid
Capacity in which the Amendment is being proposed: (i.e. CUSC Party, BSC Party or "energywatch")	CUSC Party*
Details of Proposer's Representative: Name: Organisation: Telephone Number: Email Address:	Emma Carr National Grid 01926 655843 emma.j.carr@uk.ngrid.co.uk
Details of Proposer's Alternate: Name: Organisation: Telephone Number: Email Address:	Guy Phillips National Grid 01926 656339 guy.phillips@uk.ngrid.com

* For the avoidance of doubt, National Grid as Proposer of the above Amendments and as a CUSC Party has granted the licence and given the warranty as required by Paragraph 8.15.7 of the CUSC.

Housekeeping Amendment

The following Proposed Amendments to the CUSC fall within the definition of a Housekeeping Amendment.

National Grid requests the Amendments Panel in accordance with CUSC 8.21.2.1, to treat these Amendment Proposals as Housekeeping Amendments and for the timetable and procedures within CUSC 8.21.2.2 to be followed.

CAP Ref No	Title of Amendment Proposal	Description of Proposed Amendment	Description of Issue or Defect that Proposed Amendment seeks to Address	Impact on the CUSC	Impact on Core Industry Documents	Impact on Computer Systems and Processes used by CUSC Parties	Details of any Related mods to Other Industry Codes	Justification for Proposed Amendment with Reference to the Applicable CUSC Objectives
CAP108	CUSC Definition Actual Amount and Notional Amount reference correction	The reference to section 3 within the CUSC Definition Actual Amount and Notional Amount is incorrect.	CAP108 proposes to amend the incorrect reference from 3.12 to 3.13 with the CUSC Definitions Actual Amount and Notional Amount	Changes to Section 11.3 – Definitions “ Actual Amount ” as defined in Paragraph 3.1 2 -3; “ Notional Amount ” as defined in Paragraph 3.1 2 -3;	None	None	None	The proposed amendment will aid efficiency in the implementation and discharge of licence objectives by accurately referring to the correct Clause within the CUSC.
CAP109	CUSC Definition Qualified Company or Qualifying Company	Correction of a spelling error within the Definition of Qualified Company or Qualifying Company	CAP109 proposes to correct a spelling error with Definition Qualified Company or Qualifying Company	Changes to Section 11.3 – Definitions “Qualified Company” or “ Qualifying Company ” a company which is a public <u>C</u> ompany or a private company within the meaning of section 1(3) of the Companies Act 1985 and which is either a shareholder of the User or any holding company of such shareholder (the expression holding company having the meaning assigned thereto by section 736, Companies Act 1985 as supplemented by section 144(3), Companies Act 1989) and which has throughout the validity period of the Performance Bond it gives in favour of NGC , a rating of at least A- in Standard and Poor’s long term debt rating or A3 in Moody’s long term debt rating or such lesser rating which	None	None	None	The proposed amendment will aid efficiency in the implementation and discharge of licence objectives by correcting a spelling error within the CUSC.

				<p>NGC may in its absolute discretion allow by prior written notice given pursuant to a resolution of its board of directors for such period and on such terms as such resolution may specify provided that such company is not during such validity period put on any credit watch or any similar credit surveillance procedure which gives NGC reasonable cause to doubt that such company may not be able to maintain the aforesaid rating throughout the validity period of the Performance Bond and no other event has occurred which gives NGC reasonable cause to have such doubt;</p>				
CAP110	CUSC Section 4.3.2.20 – Reference to HM Customs and Exercise	HM Customs and Excise has change its name to HM Revenue and Customs	CAP110 proposes to amend Section 4.3.2.20 to reflect the change of name of HM Customs and Excise to HM Revenue and Customs	<p>Changes To Section 4.3.2.20</p> <p>4.3.2.20 For the purpose of the regulations of HM Revenue and Customs and Exercise as regards self-billing of Balancing Services and the submission of Value Added Tax invoices, the User hereby consents to the operation of a self-billing system by NGC with regard to the payment for Balancing Services to be provided pursuant to the CUSC and the relevant Balancing Services Agreement and will at all times throughout the term of the relevant Balancing Services Agreement maintain such consent. The User hereby undertakes, as between NGC and that User, to do (at NGC's cost) all acts and things reasonably necessary to enable NGC to comply with the regulations of HM Revenue and Customs and Exercise as regards the self-billing of Balancing Services.</p>	None	None	None	The proposed amendment will aid efficiency in the implementation and discharge of licence objectives by correcting referencing HM Revenue and Customs within the CUSC.

CAP111	CUSC Exhibits – Contact update for the return of Application Forms	A number of Exhibits reference the CUSC Panel Secretary, as the contact for the return of certain Application Forms, the actual contact is the Customer Agreements Manager	CAP111 proposes to amend CUSC Exhibit B paragraph 14, Exhibit D paragraph 15, Exhibit F paragraph 9, Exhibit I paragraph 15 and Exhibit Q paragraph 13 to reflect the correct contact details for Application Forms	See Annex 1 for Legal Text Amendments to all 5 CUSC Exhibits	None	None	None	The proposed amendment will aid efficiency in the implementation and discharge of licence objectives by accurately referencing the correct person for Application Forms within the CUSC.
CAP112	CUSC Definition Housekeeping Amendment Report reference correction	The reference in Section 11.3 Definition Housekeeping Amendment Report is incorrect.	CAP112 proposes to amend the incorrect reference from 8.22.21 to 8.21.2 .2 CUSC Definition Housekeeping Amendment Report	Changes to Section 11.3 – Definitions “ Housekeeping Amendment Report ” the report submitted by NGC to the Authority in accordance with Paragraph 8. 21.2.22.2-1(b) ;	None	None	None	The proposed amendment will aid efficiency in the implementation and discharge of licence objectives by accurately referring to the correct Clause within the CUSC.

Annex 1

CAP111- CUSC Exhibits – Contact update for the return of Application Forms

Proposed Legal text to modify the CUSC

Exhibit B paragraph 14

Please complete this application form in black print and return it together with a cheque for the appropriate application fee to Customer Agreements Manager CUSC Panel Secretary, The National Grid Company plc, Warwick Technology Park, Gallows Hill, Warwick, CV34 6DA (Telephone No. 01926 65 3000).

Exhibit D paragraph 15

Please complete this application form in black print and return it together with a cheque for the appropriate application fee to Customer Agreements Manager CUSC Panel Secretary, The National Grid Company plc, Warwick Technology Park, Gallows Hill, Warwick, CV34 6DA (Telephone No. 01926 65 3000).

Exhibit F paragraph 9

Please complete this application form in black print and return it together with a cheque for the appropriate application fee to Customer Agreements Manager CUSC Panel Secretary, The National Grid Company plc, Warwick Technology Park, Gallows Hill, Warwick, CV34 6DA (Telephone No. 01926 65 3000).

Exhibit I paragraph 15

Please complete this application form in black print and return it together with a cheque for the appropriate application fee to Customer Agreements Manager CUSC Panel Secretary, The National Grid Company plc, Warwick Technology Park, Gallows Hill, Warwick, CV34 6DA (Telephone No. 01926 65 3000).

Exhibit Q paragraph 13

Please complete this application form in black print and return it together with a cheque for the appropriate application fee to Customer Agreements Manager CUSC Panel Secretary, The National Grid Company plc, Warwick Technology Park, Gallows Hill, Warwick, CV34 6DA (Telephone No. 01926 65 3000).