nationalgrid

Stage 06: Final CUSC Modification Self-governance Report

Connection and Use of System Code

CMP194 Housekeeping modifications to Section 11 of the Connection and Use of System Code (CUSC)

This proposal seeks to modify the CUSC to make a number of non-material changes to Section 11 in order to better incorporate definitions previously described in Section 14. This proposal is linked with CMP193 which seeks to make non-material changes to Section 14 of the CUSC including removal of definitions previously described in Section 14 and proposed to be incorporated within Section 11 through this modification proposal.

Published on: 14 June 2011 Date of Self-governance 27 May 2011

Vote:



CUSC Modifications Panel determination:

That CMP194 should be implemented as it better facilitates Applicable CUSC objectives (a) and (b).



High Impact:

None



Medium Impact:

None



Low Impact:

Existing signatories to the CUSC.

National Electricity Transmission System Operator (NETSO)

What stage is this document at?

01 Initial Written
Assessment

02 Workgroup Consultation

03 Workgroup Report

04 Code Administrator Consultation

05 Draft CUSC Modification Report

Final CUSC Modification Report

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Any Questions?

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National Grid Electricity
Transmission Plc

About this document

This is the final version of the CUSC Modification Self-governance Report which contains the details of the Self-governance vote carried out by the Panel. This document has been prepared and issued by National Grid under the rules and procedures specified in the Connection and Use of System Code (CUSC) as designated by the Secretary of State.

Document Control

Version	Date	Author	Change Reference
0.1	03/05/11	National Grid	Version for Industry Comment following
			closure of CA Consultation
0.2	19/05/11	National Grid	Draft for Panel Self-governance vote
0.3	06/06/11	National Grid	Draft for Panel comment following the
			Self-governance vote
1.0	14/06/11	National Grid	Final CUSC Modification Self-
			governance Report

1 Executive Summary

- 1.1 Following the implementation of CUSC Amendment Proposal 188 (Code Governance Review: Governance of Charging Methodologies) in December 2010, it was recognised that there would be a subsequent requirement to undertake further non-material alterations to Section 11 of the CUSC in order to incorporate definitions previously contained within the Charging Methodology Statements.
- 1.2 This modification proposal seeks to make a number of non-material changes to Section 11 of the CUSC to incorporate definition changes resulting from incorporation of the Charging Methodologies within the CUSC.
- 1.3 This modification proposal is linked to a second proposal, CMP193, which proposes non-material changes to Section 14 of the CUSC to better incorporate the Charging Methodology Statements within the CUSC. This includes removal of definitions currently contained within the glossaries forming part of Section 14.

CUSC Modifications Panel view

1.4 At the CUSC Modifications Panel meeting on 25th March 2011, the Panel agreed that CMP194 should proceed directly to the Code Administrator Consultation for a period of three weeks. The Panel also determined that the proposal should follow the Self-governance route.

National Grid's View

1.5 National Grid supports the implementation of CMP194 as it better facilitates the applicable CUSC objective(s) by improving the clarity of both Use of System and Connection Charging Methodologies within the CUSC.

CUSC Modifications Panel Determination

1.6 At the CUSC Modifications Panel on 27 May 2011, 8 Panel Members voted unanimously that CMP194 better facilitates Applicable CUSC Objective (a) and (b). Subject to the Self-governance appeals procedures, the Panel determined that CMP194 should be implemented 10 Working Days after the appeal window has closed. Full details of the vote can be found in Section 7 of this Report.



Self Governance

Self-governance is a process which may be followed in the CUSC which allows the CUSC Panel to approve or reject a modification to the CUSC without approval from the Authority.

However the Authority will have veto rights over the decision to progress a proposal as Selfgovernance

2 Purpose & Introduction

- 2.1 This document describes the CMP194 Modification Proposal and incorporates a summary of all representations received in response to the Code Administrator Consultation. The full responses can be found in Annex 3 of this report.
- 2.2 This CUSC Modification Self-governance Report has been prepared in accordance with the Terms of the CUSC. An electronic copy can be found on the National Grid Website, www.nationalgrid.com/uk/Electricity/Codes/, along with the CUSC Modification Proposal Form.
- 2.3 CMP194 was proposed by National Grid Electricity Transmission plc and submitted to the CUSC Modifications Panel for their consideration on 17th March 2011. The Panel determined that the proposal should be sent to the Code Administrator Consultation phase and that it would be progressed through the Self-governance route. The Panel agreed that the proposal would report back to the CUSC Modifications Panel in May 2011 whereby the Panel would undertake the vote to approve or reject the proposal.
- 2.4 The Panel agreed that the proposal satisfied the Self-governance criteria as set out below as the changes proposed by CMP194 were housekeeping in nature.
- 2.5 A CUSC Modification Proposal that, if implemented,
 - (a) is unlikely to have a material effect on:
 - (i) existing or future electricity consumers; and
 - (ii) competition in the generation, distribution, or supply of electricity or any commercial activities connected with the generation, distribution or supply of electricity; and
 - (iii) the operation of the National Electricity Transmission System; and
 - (iv) matters relating to sustainable development, safety or security of supply, or the management of market or network emergencies; and
 - (v) the CUSC's governance procedures or the CUSC's modification procedures, and
 - (b) is unlikely to discriminate between different classes of CUSC Parties

3 Description of Proposed Modification

- 3.1 This proposed modification seeks to make a number of non-material changes to Section 11 of the CUSC to incorporate definition changes arising from the inclusion of the Charging Methodology Statements within the main body of the CUSC. This is linked with a second proposed modification, CMP193, which seeks to make non-material changes to Section 14 of the CUSC to better incorporate the Charging Methodology Statements within the CUSC.
- 3.2 On 30 December 2010 National Grid implemented CUSC Amendment Proposal 188 (Code Governance Review: Governance of Charging Methodologies) bringing the Charging Methodologies under the CUSC. As a result, Section 14 of the CUSC was created containing the methodologies as set out in the Statement of the Use of System Charging Methodology and the Statement of the Connection Charging Methodology. This was achieved without amendment to the content of the Methodology Statements, and it was recognised that there was a further requirement to review the Methodology Statements to ensure consistency with the CUSC.
- 3.3 The proposed modification was initially discussed at the Transmission Charging Methodologies Forum on 26 January 2011. Subsequently an open letter was circulated to the industry for comment which contained the proposed drafting of the legal text required for the changes to Section 11 of the CUSC. Following a review period to incorporate the received comments from the industry, CMP194 was raised as an official modification and was presented to the CUSC Modifications Panel on Friday 25 March 2011.
- 3.4 At the CUSC Modifications Panel meeting, a CUSC Panel Member noted the need for an additional non-material change to be made. The Panel agreed that as the comment was non-material in nature, it should be incorporated into the final legal text before the consultation was issued. This has been made and is included in the proposed legal text in Annex 1.
- 3.5 Due to the non material nature of the changes, the Panel agreed that the proposal should progress through the Self-governance route, as opposed to the standard CUSC Modifications route. Therefore, the Panel would carry out the determination on the proposal rather than the Authority.

Impact on the CUSC

- 4.1 CMP194 requires amendments to the following parts of the CUSC:
 - Section 11 Interpretation and Definitions
- 4.2 The text required to give effect to this proposal is contained in Annex 1 of this document.

Impact on Core Industry Documents

4.3 The proposer has not identified any impacts on Core Industry Documents.

Impact on other Industry Documents

4.4 The proposer has not identified any impacts on other Industry Documents.

Assessment against Applicable CUSC Objectives

- 4.5 The proposer considers that CMP194 would better facilitate the following CUSC Objective(s);
 - (a) the efficient discharge by the licensee of the obligations imposed upon it under the Act and by this licence;

This proposal satisfies objective (a), in that it fully incorporates the Charging Methodologies definitions within Section 11 of the CUSC.

(b) facilitating effective competition in the generation and supply of electricity, and (so far as consistent therewith) facilitating such competition in the sale, distribution and purchase of electricity.

This proposal satisfies objective (b), in that it improves clarity of both the Connection Charging Methodology and Use of System Charging Methodology within the CUSC, and therefore better facilitates industry understanding of the Statements which will better facilitate competition.

5 Proposed Implementation

- 5.1 National Grid proposes that, once the Panel has made their determination through the Self-governance vote, CMP194 should be implemented 10 working days after the Self-governance appeal window has closed.
- 5.2 On 27 May 2011, the Panel carried out the Self-governance vote for CMP194 and agreed with the implementation approach as set out in paragraph 5.1 above.



Appeals Window

A party may raise an appeal against the Panel decision on a Self-governance proposal by notifying the Authority within 15 working days after the Panel vote takes place.

National Grid View

6.1 National Grid supports the implementation of CMP194 as it better facilitates the applicable CUSC objective(s) by improving the clarity of both Use of System and Connection Charging Methodologies within the CUSC, and therefore better facilitating industry understanding of the Statements and so facilitates competition.

7 Panel determination

7.1 The table below contains the detail for each vote against the Applicable CUSC Objectives from the Panel Members.

Panel Member	Better facilitates Applicable Objective (a)?	Better facilitates Applicable Objective (b)?
Pat Hynes	Yes. Provides clarity and better reflects the obligations placed by the transmission licence	Yes. Facilitates greater understanding by the industry
Garth Graham	Yes. Provides clarity and better reflects the obligations placed by the transmission licence	Yes. Facilitates greater understanding by the industry
Bob Brown	Yes. Provides greater clarity	Yes. Facilitates greater understanding by the industry
Paul Mott	Yes. Provides clarity and better reflects the obligations placed by the transmission licence	Yes. Facilitates greater understanding by the industry
Fiona Navesey	Yes. Provides clarity and better reflects the obligations placed by the transmission licence	Yes. Facilitates greater understanding by the industry
Barbara Vest	Yes. It is complementary to CMP193 and so provides clarity and better reflects the obligations placed by the transmission licence	Yes. Facilitates greater understanding by the industry
Barbara Vest (on behalf of Paul Jones)	Yes. It is complementary to CMP193 and so provides clarity and better reflects the obligations	Yes. Facilitates greater understanding by the industry

	placed by the transmission licence	
Simon Lord	Yes. Provides clarity and better reflects the	Yes. Facilitates greater understanding by the
	obligations placed by the transmission licence	industry

8 Responses

7.1 The following table provides a summary of the responses received to the Code Administrator Consultation. The full responses can be found in Annex 3.

Reference	Company	Supportive?	Comments
CMP194-CR-01	Scottish and Southern Energy, Southern Electric, Airtricity Developments (Scotland) Limited, Airtricity Developments (UK) Limited, Clyde Wind Farm (Scotland) Limited, Greenock Wind Farm (Scotland) Limited, Griffin Wind Farm Limited, Keadby Developments Limited, Keadby Generation Limited, Medway Power Limited, Slough Energy Supplies Limited, SSE (Ireland) Limited, SSE Energy Limited and SSE Generation Limited.	Yes	 Agree that CMP194 better facilitates both of the Applicable CUSC Objectives Support the proposed implementation arrangements Agree with the decision to progress CMP194 as Self- governance
CMP194-CR-02	EDF Energy	Yes	 Agree that CMP194 better facilitates both of the Applicable CUSC Objectives Support the proposed implementation arrangements Agree with the decision to progress CMP194 as Self- governance
CMP194-CR-03	E.ON UK	Yes	 Agree that CMP194 better facilitates both of the Applicable CUSC Objectives Support the

T	
	proposed implementation
	arrangements
	 Agree with the
	decision to progress
	CMP194 as Self-
	governance

Annex 1 - Proposed Legal Text

CUSC Definition Changes to incorporate Charging Methodology Statements

Below is a list of proposed changes to CUSC definitions to fully reflect incorporation of charging statements into CUSC.

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"Connection Boundary"

shall be the boundary defined by Paragraph 14.2.6 of the Statement of the Connection Charging Methodology:

Deleted: [insert reference to paragraph 1.6 of connection charging methodology];

"Consents"

in relation to a particular **User**, as defined in its **Construction Agreement**;

In relation to any Works:-

a) all such planning and other statutory consents; and

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b) all wayleaves, easements, rights over or interests in land or any other consent; or for commencement and carrying on of any activity proposed to be undertaken at or

from such Works when completed

c) permission of any kind as shall be

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c) permission of any kind as shall be necessary for the construction of the Works;

As defined in Standard Condition C13 of the

Transmission Licence.

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"Designated sum"

"National Electricity Transmission System"<u>or "NETS"</u> the system consisting (wholly or mainly) of high voltage electric wires owned or operated by transmission licensees within Great Britain and Offshore and used for the transmission of electricity from one Power Station to a substation or to another Power Station or between sub-stations or to or from any External Interconnection and includes any Plant and Apparatus or meters owned or operated by any transmission licensee within Great Britain and Offshore in connection with the transmission of electricity but shall not include Remote Transmission Assets;

"Offer"

an offer for connection to and/or use of the National Electricity Transmission System made by The Company in relation to the CUSC:

In the context of the Charging Methodologies it shall have the meaning as defined in the BSC:

"Transmission Licences"

the licences granted to The Company, SP Transmission Limited and Scottish Hydro Electric Transmission Limited under the Act;

<u>"Transmission Licensees Assets"</u>

Transmission Licensees necessary to connect the User's Equipment to the National

Deleted: (Note: There is potential for confusion over the use of the singular to refer to The Company's licence and the plural to refer to all three licences and it may be helpful to distinguish between the two terms more clearly!

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Electricity, Transmission System at any particular Connection Site in respect of which The Company charges Connection Charges (if any) as listed or identified in [Appendix A] to the Bilateral Agreement relating to each such Connection Site

"Utilities Act 2000"

Electricity Act 1989, as amended by the Utilities Act 2000

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CUSC Modification Proposal Form	CMP194	
Title of the CUSC Modification Proposal: (mandatory by Proposer) Housekeeping modifications to Section 11 of the Connection and Use of Sy	stem Code (CUSC)	
Submission Date (mandatory by Proposer)		
17 th March 2011		
Description of the CUSC Modification Proposal (mandatory by Proposet As a consequence of the incorporation of the Charging Methodology Staten the CUSC, this proposal seeks to make a number of non-material changes to better incorporate definitions previously described in Section 14. This is liproposed CMP which proposes a number of non-material changes to Section including removal of definitions previously described in Section 14 and proposition within Section 11 through this proposed CMP.	nents into Section 14 of to Section 11 of the CUSC inked to a second on 14 of the CUSC	
Description of Issue or Defect that CUSC Modification Proposal seeks by Proposer)	to Address: (mandatory	
On 30 th December 2010 National Grid implemented CUSC Amendment Proposal 188 bringing the Charging Methodologies under the CUSC. As a result, Section 14 of the CUSC was created containing the methodologies as set out in the Statement of Use of System Charging Methodologies and the Statement of Connection Charging Methodology. This was achieved without amendment to the content of the Methodology statements, and it was recognised that there was a further requirement to review the Methodology Statements to ensure consistency with the CUSC. This includes a separate listing of definitions within Section 14, which it is proposed to incorporate fully within Section 11.		
Impact on the CUSC (this should be given where possible) The proposed modifications are non-material in nature, and therefore there changes to section 11 of the CUSC and, through the proposed linked CMP,		
Do you believe the CUSC Modification Proposal will have a material im Gas Emissions? Yes/No (assessed in accordance with Authority Guidance website link) No		
Impact on Core Industry Documentation. Please tick the relevant boxe supporting information (this should be given where possible)	s and provide any	
BSC		
Grid Code		
STC		
Other (please specify) None		

Urgency Recommended: Yes / No (optional by Proposer)

formation of a working group would be inefficient.

There are no ongoing Significant Code Reviews

No

Justification for Urgency Recommendation (mandatory by Proposer if recommending progression as an Urgent Modification Proposal)

Self-Governance Recommended: Yes / No (mandatory by Proposer)

Justification for Self-Governance Recommendation (Mandatory by Proposer if recommending progression as Self-governance Modification Proposal)

Changes are non-material, and have already been circulated to industry for comment. Hence

Should this CUSC Modification Proposal be considered exempt from any ongoing Significant Code Reviews? (Mandatory by Proposer in order to assist the Panel in deciding whether a Modification Proposal should undergo a SCR Suitability Assessment)

Impact on Computer Systems and Processes used by CUSC Parties: (this should be given where possible)

None

Details of any Related Modification to Other Industry Codes (where known):

Modifications to Section 14 of the Connection and Use of System Code (CUSC)

Justification for CUSC Modification Proposal with Reference to Applicable CUSC Objectives: (mandatory by proposer)

Please tick the relevant boxes and provide justification:

(a) the efficient discharge by The Company of the obligations imposed upon it by the Act and the Transmission Licence

This proposal satisfies objective a, in that it fully incorporates the Charging Methodologies definitions within Section 11 of the CUSC.

(b) facilitating effective competition in the generation and supply of electricity, and (so far as consistent therewith) facilitating such competition in the sale, distribution and purchase of electricity.

This proposal satisfies objective b, in that it improves clarity of both the Connection Charging Methodology and Use of System Charging Methodologieswithin the CUSC, and therefore better facilitates industry understanding of the Statements which will better facilitate competition.

These are defined within the National Grid Electricity Transmission plc Licence under Standard Condition C10, paragraph 1

Details of Proposer: (Organisation Name)	National Grid Electricity Transmission Plc	
Capacity in which the CUSC Modification Proposal is being proposed: (i.e. CUSC Party, BSC Party or "National Consumer Council")	CUSC Party	
Details of Proposer's Representative: Name: Organisation: Telephone Number: Email Address:	Andrew Wainwright National Grid Electricity Transmission Plc 01926 655944 andy.wainwright@uk.ngrid.com	
Details of Representative's Alternate: Name: Organisation: Telephone Number: Email Address:	William Kirk-Wilson National Grid Electricity Transmission Plc 01926 655424 william.kirkwilson@uk.ngrid.com	
Attachments (Yes/No): If Yes, Title and No. of pages of each Attachment:		

CUSC Definition Changes to incorporate Charging Methodology Statements

Appendix 1: Proposed legal text

Below is a list of proposed changes to C statements into CUSC.	USC definitions to fully reflect incorporation of charging	
"Connection Boundary"	shall be the boundary defined by Paragraph 14.2.6 of the Statement of the Connection Charging Methodology:	Deleted: [insert reference to paragraph 1.6 of connection charging methodology];
"Consents"	in relation to a particular User, as defined in its Construction Agreement:	
	In relation to any Works:-	
	a) all such planning and other statutory consents; and	
	b) all wayleaves, easements, rights over or interests in land or any other consent; or for commencement and carrying on of any activity proposed to be undertaken at or from such Works when completed	
	 c) permission of any kind as shall be necessary for the construction of the Works; 	
"Designated sum"	As defined in Standard Condition C13 of the Transmission Licence.	
"Offer"	an offer for connection to and/or use of the National Electricity Transmission System made by The Company in relation to the CUSC;	
	In the context of the Charging Methodologies it shall have the meaning as defined in the BSC;	
"Transmission Licences"	the licences granted to The Company , SP Transmission Limited and Scottish Hydro Electric Transmission Limited under the Act;	Deleted: [Note: There is potential for confusion over
"Transmission Licensees Assets"	The Plant and Apparatus owned by Transmission Licensees necessary to connect the User's Equipment to the GB Transmission System at any particular Connection Site in respect of which The Company charges Connection Charges (if any) as listed or identified in [Appendix A] to the Bilateral Agreement relating to each such Connection Site	the use of the singular to refer to The Company's sicence and the plural to refer to all three licences and it may be helpful to distinguish between the two terms more clearly]
"Utilities Act 2000"	Electricity Act 1989, as amended by the Utilities Act 2000	

Code Administrator Consultation: response proforma

CMP194 Housekeeping modifications to Section 11 of the Connection and Use of System Code (CUSC)

Industry parties are invited to respond to this consultation expressing their views and supplying the rationale for those views, particularly in respect of any specific questions detailed below.

Please send your responses by **5pm** on **21**st **April 2011** to **cusc.team@uk.ngrid.com**. Please note that any responses received after the deadline or sent to a different email address may not receive due consideration by the CUSC Modifications Panel when it makes its recommendation to the Authority.

These responses will be included in the final CUSC Modification Report which is submitted to the CUSC Modifications Panel.

Respondent:	Garth Graham (01738 456000)
Company Name:	Scottish and Southern Energy, Southern Electric, Airtricity Developments (Scotland) Limited, Airtricity Developments (UK) Limited, Clyde Wind Farm (Scotland) Limited, Greenock Wind Farm (Scotland) Limited, Griffin Wind Farm Limited, Keadby Developments Limited, Keadby Generation Limited, Medway Power Limited, Slough Energy Supplies Limited, SSE (Ireland) Limited, SSE Energy Limited and SSE Generation Limited.
Do you believe that CMP194 better facilitates the Applicable CUSC Objectives? Please include your reasoning.	For reference, the Applicable CUSC Objectives are: (a) the efficient discharge by the licensee of the obligations imposed upon it under the Act and by this licence; and (b) facilitating effective competition in the generation and supply of electricity, and (so far as consistent therewith) facilitating such competition in the sale, distribution and purchase of electricity.
	We agree with the assessment; contained in paragraph 4.5; and the view from National Grid, as set out in paragraph 6.1, that CMP194 does better facilitate the Applicable CUSC Objectives.
Do you support the proposed implementation approach? If not, please state why and provide an alternative	We support the proposed implementation arrangements; as set out in paragraph 5.1 of the consultation document; namely ten working days after the Selfgovernance appeal window has closed.

suggestion where possible.	
Do you agree with the decision to progress this through the Self-governance route?	Yes. This CMP194 proposed change to the CUSC falls, in our view, within the guidelines for 'Self-governance' as its a non-material change.
Do you have any further comments?	We have no further comments at this time.

Code Administrator Consultation: response proforma

CMP194 Housekeeping modifications to Section 11 of the Connection and Use of System Code (CUSC)

Industry parties are invited to respond to this consultation expressing their views and supplying the rationale for those views, particularly in respect of any specific questions detailed below.

Please send your responses by **5pm** on **21**st **April 2011** to **cusc.team@uk.ngrid.com**. Please note that any responses received after the deadline or sent to a different email address may not receive due consideration by the CUSC Modifications Panel when it makes its recommendation to the Authority.

These responses will be included in the final CUSC Modification Report which is submitted to the CUSC Modifications Panel.

espondent:	
espondent.	Louise Schmitz
I	louise.schmitz@edfenergy.com
ompany Name:	EDF Energy
o you believe that CMP194	Yes. We agree that making these non-material
etter facilitates the	amendments to section 11 of the CUSC will provide
pplicable CUSC Objectives?	greater clarity to industry parties. This might be
lease include your	considered to be both efficient on the part of the
easoning.	licensee and facilitative to competition, satisfying
	objectives (a) and (b).
	.,
s you capped and proposed	Yes.
nplementation approach? If	
ot, please state why and	
rovide an alternative	
uggestion where possible.	
o you agree with the	Yes. Due to the non-material nature of these changes
, ,	we would support the self-governance route.
rough the Self-governance	,,
oute?	
. ,	None.
omments?	

Code Administrator Consultation: response proforma

CMP194 Housekeeping modifications to Section 11 of the Connection and Use of System Code (CUSC)

Industry parties are invited to respond to this consultation expressing their views and supplying the rationale for those views, particularly in respect of any specific questions detailed below.

Please send your responses by **5pm** on **21st April 2011** to **cusc.team@uk.ngrid.com**. Please note that any responses received after the deadline or sent to a different email address may not receive due consideration by the CUSC Modifications Panel when it makes its recommendation to the Authority.

These responses will be included in the final CUSC Modification Report which is submitted to the CUSC Modifications Panel.

Respondent:	Esther Sutton
	esther.sutton@eon-uk.com
	024 76183440
Company Name:	E.ON UK
Do you believe that CMP194	For reference, the Applicable CUSC Objectives are:
better facilitates the Applicable CUSC Objectives? Please include your reasoning.	(a) the efficient discharge by the licensee of the obligations imposed upon it under the Act and by this licence; and
roudonnig.	(b) facilitating effective competition in the generation and supply of electricity, and (so far as consistent therewith) facilitating such competition in the sale, distribution and purchase of electricity.
	Yes, CMP194 furthers CUSC objective (a) by fully incorporating/clarifying the definitions in Section 11 relating to the Use of System & Connection Charging methodologies in Section 14, so assists parties' understanding. Thus better facilitating competition also supporting CUSC objective (b).
Do you support the proposed implementation approach? If not, please state why and provide an alternative suggestion where possible.	Yes, 10 working days after the self-governance appeal has closed is appropriate.
Do you agree with the decision to progress this through the Self-governance	Yes, self-governance is suitable for non-material changes such as CMP193 and CMP194.

route?	
Do you have any further comments?	No