GC0102

OPERATING CODE 5 LEGAL TEXT

DATED 09/01/2018

OPERATING CODE NO. 5

(OC5)

TESTING AND MONITORING

CONTENTS

(This contents page does not form part of the Grid Code)

OC5.1 INTRODUCTION

Operating Code No. 5 ("**OC5**") specifies the procedures to be followed by **NGET** in carrying out:

(a) monitoring

(i) of **BM Units** against their expected input or output;

(ii) of compliance by **Users** with the **CC** or **ECC** as applicable and in the case of response to **Frequency**, **BC3**; and

(iii) of the provision by **Users** of **Ancillary Services** which they are required or have agreed to provide; and

(b) the following tests (which are subject to **System** conditions prevailing on the day):

(i) tests on **Gensets**, **CCGT Modules**, **Power Generating Modules, Power Park Modules**, **DC Converters, HVDC Equipment**, **OTSUA** (prior to the **OTSUA Transfer Time**) and **Generating Units** (excluding **Power Park Units**) to test that they have the capability to comply with the **CC** and **ECC**, and in the case of response to **Frequency**, **BC3** and to provide the **Ancillary Services** that they are either required or have agreed to provide;

(ii) tests on **BM Units**, to ensure that the **BM Units** are available in accordance with their submitted **Export and Import Limits**, **QPNs**, **Joint BM Unit Data** and **Dynamic Parameters**.

The **OC5** tests include the **Black Start Test** procedure.

OC5 also specifies in OC5.8 the procedures which apply to the monitoring and testing of **Embedded Medium Power Stations** not subject to a **Bilateral Agreement** and **Embedded DC Converter Stations (or Embedded HVDC Equipment)** not subject to a **Bilateral Agreement**.

In respect of a **Cascade Hydro Scheme** the provisions of **OC5** shall be applied as follows:

(a) in respect of the **BM Unit** for the **Cascade Hydro Scheme** the parameters referred to at OC5.4.1 (a) and (c) in respect of **Commercial Ancillary Services** will be monitored and tested;

(b) in respect of each **Genset** forming part of the **Cascade Hydro Scheme** the parameters referred to at OC5.4.1 (a), (b) and (c) will be tested and monitored. In respect of OC5.4.1 (a) the performance of the **Gensets** will be tested and monitored against their expected input or output derived from the data submitted under BC1.4.2(a)(2). Where necessary to give effect to the requirements for **Cascade Hydro Schemes** in the following provisions of **OC5** the term **Genset** will be read and construed in the place of **BM Unit**.

In respect of **Embedded Exemptable Large Power Stations** the provisions of **OC5** shall be applied as follows:

(a) where there is a **BM Unit** registered in the **BSC** in respect of **Generating Units** the provisions of **OC5** shall apply as written;

(b) in all other cases, in respect of each **Power Generating Module,** and/or **Generating Unit** and **HVDC Equipment** the parameters referred to at OC5.4.1(a), (b) and (c) will be tested and monitored. In respect of OC5.4.1(a) the performance of the **Power Generating Module** and/or **Generating Unit** and **HVDC Equipment** will be tested and monitored against their expected input or output derived from the data submitted under BC1.4.2(a)(2). Where necessary to give effect to the requirements for such **Embedded Exemptable Large Power Stations** in the provisions of **OC5** the term **Generating Unit** will be read and construed in place of **BM Unit**.

OC5.2 OBJECTIVE

The objectives of **OC5** are to establish:

(a) that **Users** comply with the **CC** or **ECC** as applicable (including in the case of **OTSUA** prior to the **OTSUA Transfer Time**);

(b) whether **BM Units** operate in accordance with their expected input or output derived from their **Final Physical Notification Data** and agreed **Bid-Offer Acceptances** issued under **BC2**;

(c) whether each **BM Unit** is available as declared in accordance with its submitted **Export and Import Limits**, **QPN**, **Joint BM Unit Data** and **Dynamic Parameters**; and

(d) whether **Generators**, **DC Converter Station** owners, **HVDC Equipment Owners** and **Suppliers** can provide those **Ancillary Services** which they are either required or have agreed to provide.

In certain limited circumstances as specified in this **OC5** the output of **CCGT Units** may be verified, namely the monitoring of the provision of **Ancillary Services** and the testing of **Reactive Power** and automatic **Frequency Sensitive Operation**.

OC5.3 SCOPE

OC5 applies to **NGET** and to **Users**, which in **OC5** means:

(a) **Generators** (including those undertaking **OTSDUW**);

(b) **Network Operators**;

(c) **Non-Embedded Customers**;

(d) **Suppliers**; and

(e) **DC Converter Station** owners or **HVDC Equipment Owners**.

OC5.4 MONITORING

OC5.4.1 Parameters To Be monitored

NGET will monitor the performance of:

(a) **BM Units** against their expected input or output derived from their **Final Physical Notification Data** and agreed **Bid-Offer Acceptances** issued under **BC2**;

(b) compliance by **Users** with the **CC** or **ECC** as applicable; and

(c) the provision by **Users** of **Ancillary Services** which they are required or have agreed to provide.

OC5.4.2 Procedure For Monitoring

OC5.4.2.1 In the event that a **BM Unit** fails persistently, in **NGET's** reasonable view, to follow, in any material respect, its expected input or output or a **User** fails persistently to comply with the **CC** or **ECC** as applicable and in the case of response to **Frequency**, **BC3** or to provide the **Ancillary Services** it is required, or has agreed, to provide, **NGET** shall notify the relevant **User** giving details of the failure and of the monitoring that **NGET** has carried out.

OC5.4.2.2 The relevant **User** will, as soon as possible, provide **NGET** with an explanation of the reasons for the failure and details of the action that it proposes to take to:

(a) enable the **BM Unit** to meet its expected input or output or to provide the **Ancillary Services** it is required or has agreed to provide, within a reasonable period, or

(b) in the case of a **Power Generating Module**, **Generating Unit** (excluding a **Power Park Unit**), **CCGT Module**, **Power Park Module**, **OTSUA** (prior to the **OTSUA Transfer Time**), **HVDC Equipment** or **DC Converter** to comply with the **CC** or **ECC** as applicable and in the case of response to **Frequency**, **BC3** or to provide the **Ancillary Services** it is required or has agreed to provide, within a reasonable period.

OC5.4.2.3 **NGET** and the **User** will then discuss the action the **User** proposes to take and will endeavour to reach agreement as to:

(a) any short term operational measures necessary to protect other **Users**; and

(b) the parameters which are to be submitted for the **BM Unit** and the effective date(s) for the application of the agreed parameters.

OC5.4.2.4 In the event that agreement cannot be reached within 10 days of notification of the failure by **NGET** to the **User**, **NGET** or the **User** shall be entitled to require a test, as set out in OC5.5 and OC5.6, to be carried out.

OC5.5 PROCEDURE FOR TESTING

OC5.5.1 NGET Instruction For Testing

OC5.5.1.1 **NGET** may at any time (although not normally more than twice in any calendar year in respect of any particular **BM Unit**) issue an instruction requiring a **User** to carry out a test, provided **NGET** has reasonable grounds of justification based upon:

(a) a failure to agree arising from the process in CP.8.1 or ECP.8.1; or

(b) monitoring carried out in accordance with OC5.4.2.

OC5.5.1.2 The test, referred to in OC5.5.1.1 and carried out at a time no sooner than 48 hours from the time that the instruction was issued, on any one or more of the **User's BM Units** should only be to demonstrate that the relevant **BM Unit**:

(a) if active in the **Balancing Mechanism**, meets the ability to operate in accordance with its submitted **Export and Import Limits**, **QPN**, **Joint BM Unit Data** and **Dynamic Parameters** and achieve its expected input or output which has been monitored under OC5.4; and

(b) meets the requirements of the paragraphs in the **CC** which are applicable to such **BM Units**; and

in the case of a **BM Unit** comprising a **Generating Unit**, a **CCGT Module**, a **Power Park Module**, a **Power Generating Module**, **HVDC System** or a **DC Converter** meets,

(c) the requirements for operation in **Frequency Sensitive Mode** and compliance with the requirements for operation in **Limited Frequency Sensitive Mode** in accordance with CC.6.3.3, ECC.6.3.3, CC.6.3.7, ECC.6.3.7, BC3.5.2 and BC3.7.2; or

(d) the terms of the applicable **Bilateral Agreement** agreed with the **Generator** to have a **Fast Start Capability**; or

(e) the **Reactive Power** capability registered with **NGET** under **OC2** which shall meet the requirements set out in CC.6.3.2 or ECC.6.3.2 as applicable. In the case of a test on a **Generating Unit** within a **CCGT Module** the instruction need not identify the particular **CCGT Unit** within the **CCGT Module** which is to be tested, but instead may specify that a test is to be carried out on one of the **CCGT Units** within the **CCGT Module**.

OC5.5.1.3 (a) The instruction referred to in OC5.5.1.1 may only be issued if the relevant **User** has submitted **Export and Import Limits** which notify that the relevant **BM Unit** is available in respect of the **Operational Day** current at the time at which the instruction is issued. The relevant **User** shall then be obliged to submit **Export and Import Limits** with a magnitude greater than zero for that **BM Unit** in respect of the time and the duration that the test is instructed to be carried out, unless that **BM Unit** would not then be available by reason of forced outage or **Planned Outage** expected prior to this instruction.

(b) In the case of a **CCGT Module** the **Export and Import Limits** data must relate to the same **CCGT Units** which were included in respect of the **Operational Day** current at the time at which the instruction referred to in OC5.5.1.1 is issued and must include, in relation to each of the **CCGT Units** within the **CCGT Module**, details of the various data set out in BC1.A.1.3 and BC1.A.1.5, which parameters **NGET** will utilise in instructing in accordance with this **OC5** in issuing **Bid-Offer Acceptances**. The parameters shall reasonably reflect the true operating characteristics of each **CCGT Unit**.

(c) The test referred to in OC5.5.1.1 will be initiated by the issue of instructions, which may be accompanied by a **Bid-Offer Acceptance**, under **BC2** (in accordance with the **Export and Import Limits**, **QPN**, **Joint BM Unit Data** and **Dynamic Parameters** which have been submitted for the day on which the test was called, or in the case of a **CCGT Unit**, in accordance with the parameters submitted under OC5.5.1.3(b)). The instructions in respect of a **CCGT Unit** within a **CCGT Module** will be in respect of the **CCGT Unit**, as provided in BC2.

OC5.5.2 User Request For Testing

OC5.5.2.1 Where a **GB Code User** undertakes a test to demonstrate compliance with the **Grid Code** and **Bilateral Agreement** in accordance with CP.6 or CP.7 or CP.8 (other than a failure between **NGET** and a **GB Code User** to agree in CP.8.1 where OC5.5.1.1 applies) the **GB Code User** shall request permission to test using the process laid out in OC7.5.

OC5.5.2.2 Where an **EU Code User** undertakes a test to demonstrate compliance with the **Grid Code** and **Bilateral Agreement** in accordance with ECP.6.1, ECP.6.2, ECP.6.3 or ECP.7 or ECP.8 (other than a failure between **NGET** and a **EU Code User** to agree in ECP.8.1 where OC5.5.1.1 applies) the **EU Code User** shall request permission to test using the process laid out in OC7.5.

OC5.5.3 Conduct Of Test

OC5.5.3.1 The performance of the **BM Unit** will be recorded at **Transmission Control Centres** notified by **NGET** with monitoring at site when necessary, from voltage and current signals provided by the **User** for each **BM Unit** under CC.6.6.1 or ECC.6.6.1 as applicable.

OC5.5.3.2 If monitoring at site is undertaken, the performance of the **BM Unit** will be recorded on a suitable recorder (with measurements, in the case of a **Synchronous Generating Unit** (which could be part of a **Synchronous Power Generating Module**), taken on the **Generating Unit** Stator Terminals / on the **LV** side of the generator transformer) or in the case of a **Non-Synchronous Generating Unit** (excluding **Power Park Units**), **Power Generating Module**, **Power Park Module** or **HVDC Equipment** or **DC Converter** at the point of connection (including where the **OTSUA** is operational prior to the **OTSUA Transfer Time**, the **Transmission Interface Point**) in the relevant **User's Control Room**, in the presence of a reasonable number of representatives appointed and authorised by **NGET**. If **NGET** or the **User** requests, monitoring at site will include measurement of the parameters set out in OC5.A.1.2 or OC5.A.1.3 or ECP.A4.2 or ECP.A.4.3 as appropriate.

OC5.5.3.3 The **User** is responsible for carrying out the test and retains the responsibility for the safety of personnel and plant during the test.

OC5.5.4 Test And Monitoring Assessment

The criteria must be read in conjunction with the full text under the Grid Code reference. The **BM Unit**, **Power Generating Module**, **CCGT Module**, **Power Park Module** or **Generating Unit** (excluding **Power Park Units**), **HVDC Equipment** and **DC Converters** and **OTSUA** will pass the test the criteria below are met:

Parameter to be Tested		Criteria against which the test results will be assessed by NGET.
Voltage Quality	Harmonic Content	CC.6.1.5(a) or ECC.6.1.5(a) Measured harmonic emissions do not exceed the limits specified in the **Bilateral Agreement** or where no such limits are specified, the relevant planning level specified in G5/4.
	Phase Unbalance	CC.6.1.5(b) or ECC.6.1.5(b), The measured maximum **Phase (Voltage) Unbalance** on the **National Electricity Transmission System** should remain, in England and Wales, below 1% and, in Scotland, below 2% and **Offshore** will be defined in relevant **Bilateral Agreement**. CC.6.1.6 or ECC.6.1.6 In England and Wales, measured infrequent short duration peaks in **Phase (Voltage) Unbalance** should not exceed the maximum value stated in the **Bilateral Agreement**.
	Voltage Fluctuation	CC.6.1.7(a) or ECC.6.1.7(a) In England and Wales, measured voltage fluctuations at the **Point of Common Coupling** shall not exceed 1% of the voltage level for step changes. Measured voltage excursions other than step changes may be allowed up to a level of 3%. In Scotland, measured voltage fluctuations at a **Point of Common Coupling** shall not exceed the limits set out in **Engineering Recommendation** P28.
	Flicker	CC.6.1.7(b) or ECC.6.1.7(b) Measured voltage fluctuations at a **Point of Common Coupling** shall not exceed, for voltages above 132kV, **Flicker Severity (Short Term)** of 0.8 Unit and **Flicker Severity (Long Term)** of 0.6 Unit, and, for voltages at 132kV and below, shall not exceed **Flicker Severity (Short Term)** of 1.0 Unit and **Flicker Severity (Long Term)** of 0.8 Unit, as set out in **Engineering Recommendation** P28 as current at the **Transfer Date**.
	Voltage Fluctuation	CC.6.1.8 or ECC.6.1.8 **Offshore**, measured voltage fluctuations at the **Point of Common Coupling** shall not exceed the limits set out in the **Bilateral Agreement**.
Fault Clearance	Fault Clearance Times	CC.6.2.2.2.2(a), CC.6.2.3.1.1(a), ECC.6.2.2.2.2(a), ECC.6.2.3.1.1(a), **Bilateral Agreement**
	Back Up Protection	CC.6.2.2.2.2(b), CC.6.2.3.1.1(b), ECC.6.2.2.2.2(a), ECC.6.2.3.1.1(a), **Bilateral Agreement**
	Circuit Breaker Fail **Protection**	CC.6.2.2.2.2(c), CC.6.2.3.1.1(c), ECC.6.2.2.2.2(c), ECC.6.2.3.1.1(c)

Parameter to be Tested		Criteria against which the test results will be assessed by NGET.
	Reactive Capability	CC.6.3.2 or ECC.6.3.2 (and in the case of CC.6.3.2(e)(iii) and ECC.6.3.2.5 and ECC.6.3.2.6, the **Bilateral Agreement**), CC.6.3.4 or ECC.6.3.4, **Ancillary Services Agreement**. For a test initiated under OC.5.5.1.1 the **Power Generating Module**, **Generating Unit**, **HVDC Equipment**, **DC Converter** or **Power Park Module** or (prior to the **OTSUA Transfer Time**) **OTSUA** will pass the test if it is within ±5% of the reactive capability registered with **NGET** under **OC2**. the duration of the test will be for a period of upto 60 minutes during which period the system voltage at the **Grid Entry Point** for the relevant **Power Generating Module**, **Generating Unit**, **HVDC Equipment**, **DC Converter** or **Power Park Module** or **Interface Point** in the case of **OTSUA** will be maintained by the **Generator** or or **HVDC System Owner**, **DC Converter Station** owner at the voltage specified pursuant to BC2.8 by adjustment of **Reactive Power** on the remaining **Power Generating Module**, **Generating Unit**, **HVDC Equipment**, **DC Converter** or **Power Park Modules** or **OTSUA**, if necessary. Any test performed in respect of an **Embedded Medium Power Station** not subject to a **Bilateral Agreement** or, an **Embedded DC Converter Station** or **Embedded HVDC System** not subject to a **Bilateral Agreement** shall be as confirmed pursuant to OC5.8.3. Measurements of the **Reactive Power** output under steady state conditions should be consistent with Grid Code requirements i.e. fully available within the voltage range ±5% at 400kV, 275kV and 132kV and lower voltages.
Governor / Frequency Control	Primary Secondary and High **Frequency** Response	**Ancillary Services Agreement**, CC.6.3.7 and where applicable CC.A.3 or ECC.6.3.7 and where applicable ECC.A.3. For a test initiated under OC.5.5.1.1 the measured response in MW/Hz is within ±5% of the level of response specified in the **Ancillary Services Agreement** for that **Genset**.
	Stability with Voltage	CC.6.3.4 or ECC.6.3.4
	Governor / Load / **Frequency** Controller **System** Compliance	CC.6.3.6(a), CC.6.3.7, CC.6.3.9, CC8.1, where applicable CC.A.3, BC3.5, BC3.6, BC3.7 or ECC.6.3.6, ECC.6.3.7, ECC.6.3.9, ECC8.1, where applicable ECC.A.3, BC3.5, BC3.6, BC3.7
	Output at Reduced **System Frequency**	CC.6.3.3 or ECC.6.3.3 - For variations in **System Frequency** exceeding 0.1Hz within a period of less than 10 seconds, the **Active Power** output is within ±0.2% of the requirements of CC.6.3.3 or ECC.6.3.3 when monitored at prevailing external air temperatures of up to 25ºC., BC3.5.1

Parameter to be Tested		Criteria against which the test results will be assessed by NGET.
	Fast Start	**Ancillary Services Agreement** requirements
	Black Start	OC5.7
	Excitation/Voltage **Control System**	CC.6.3.6(b), CC.6.3.8, CC.A.6 or CC.A.7 as applicable, BC2.11.2, and the **Bilateral Agreement** or ECC.6.3.6, ECC.6.3.8, ECC.A.6 or ECC.A.7 or ECC.A.8 as applicable
	Fault Ride Through and Fast Fault Current Injection	CC.6.3.15, CC.A.4.A or CC.A.4.B as applicable or ECC.6.3.15, ECC.6.3.16, ECC.A.4. or ECC.A.4EC as applicable
Dynamic Parameters	**Export and Import Limits**, **QPN**, **Joint BM Unit Data** and **Dynamic Parameters**	BC2 The **Export and Import Limits**, **QPN**, **Joint BM Unit Data** and **Dynamic Parameters** under test are within 2½% of the declared value being tested.
	Synchronisation time	BC2.5.2.3 **Synchronisation** takes place within ±5 minutes of the time it should have achieved **Synchronisation**.
	Run-up rates	BC2 Achieves the instructed output and, where applicable, the first and/or second intermediate breakpoints, each within ±3 minutes of the time it should have reached such output and breakpoints from **Synchronisation** (or break point, as the case may be), calculated from the run-up rates in its **Dynamic Parameters**.
	Run-down rates	BC2 Achieves the instructed output and, where applicable, the first and/or second intermediate breakpoints, each within ±5 minutes of the time it should have reached such output and breakpoints from **Synchronisation** (or break point, as the case may be), calculated from the run-up rates in its **Dynamic Parameters**.

OC5.5.4.1 The duration of the **Dynamic Parameter** tests in the above table will be consistent with and sufficient to measure the relevant expected input or output derived from the **Final Physical Notification Data** and **Bid-Offer Acceptances** issued under **BC2** which are still in dispute following the procedure in OC5.4.2.

OC5.5.4.2 Due account will be taken of any conditions on the **System** which may affect the results of the test. The relevant **User** must, if requested, demonstrate, to **NGET's** reasonable satisfaction, the reliability of the suitable recorders, disclosing calibration records to the extent appropriate.

OC5.5.5 Test Failure / Re-test

OC5.5.5.1 If the **BM Unit**, **Power Generating Module**, **CCGT Modules**, **Power Park Module**, **OTSUA**, or **Generating Unit** (excluding **Power Park Units**), **HVDC Equipment** or **DC Converter Station** concerned fails to pass the test instructed by **NGET** under OC5.5.1.1 the **User** must provide **NGET** with a written report specifying in reasonable detail the reasons for any failure of the test so far as they are then known to the **User** after due and careful enquiry. This must be provided within five **Business Days** of the test.

OC5.5.5.2 If in **NGET**s reasonable opinion the failure to pass the test relates to compliance with the **CC** or **ECC** as applicable then **NGET** may invoke the process detailed in CP.8.2 to CP.9, or ECP.8.2 to ECP.9

OC5.5.5.3 If a dispute arises relating to the failure, **NGET** and the relevant **User** shall seek to resolve the dispute by discussion, and, if they fail to reach agreement, the **User** may by notice require **NGET** to carry out a re-test on 48 hours' notice which shall be carried out following the procedure set out in OC5.5.3 and OC5.5.4 and subject as provided in OC5.5.1.3, as if **NGET** had issued an instruction at the time of notice from the **User**.

OC5.5.6 Dispute Following Re-Test

If the **BM Unit**, **Power Generating Module**, **CCGT Module**, **Power Park Module**, **OTSUA**, or **Generating Unit** (excluding **Power Park Units**), **HVDC Equipment** or **DC Converter** in **NGET's** view fails to pass the re-test and a dispute arises on that re-test, either party may use the **Disputes Resolution Procedure** for a ruling in relation to the dispute, which ruling shall be binding.

OC5.6 DISPUTE RESOLUTION

OC5.6.1 If following the procedure set out in OC5.5 it is accepted that the **BM Unit**, **Power Generating Module, CCGT Module**, **Power Park Module**, **OTSUA** (prior to the **OTSUA Transfer Time**) or **Generating Unit** (excluding **Power Park Units**)), **HVDC Equipment** or **DC Converter** has failed the test or re-test (as applicable), the **User** shall within 14 days, or such longer period as **NGET** may reasonably agree, following such failure, submit in writing to **NGET** for approval the date and time by which the **User** shall have brought the **BM Unit** concerned to a condition where it complies with the relevant requirement. **NGET** will not unreasonably withhold or delay its approval of the **User's** proposed date and time submitted. Should **NGET** not approve the **User's** proposed date or time (or any revised proposal), the **User** should amend such proposal having regard to any comments **NGET** may have made and re-submit it for approval.

OC5.6.2 If a **BM Unit** fails the test, the **User** shall submit revised **Export and Import Limits**, **QPN**, **Joint BM Unit Data** and/or **Dynamic Parameters**, or in the case of a **BM Unit** comprising a **Generating Unit**, **Power Generating Module, CCGT Module**, **HVDC Equipment**, **DC Converter**, **OTSUA** (prior to the **OTSUA Transfer Time**) or **Power Park Module**, the **User** may amend, with **NGET**'s approval, the relevant registered parameters of that **Generating Unit**, **Power Generating Module**, **CCGT Module**, **HVDC Equipment**, **DC Converter**, **OTSUA** (prior to the **OTSUA Transfer Time**) or **Power Park Module**, as the case may be, relating to the criteria, for the period of time until the **BM Unit** can achieve the parameters previously registered, as demonstrated in a re-test.

OC5.6.3 Once the **User** has indicated to **NGET** the date and time that the **BM Unit**, **Power Generating Module**, **CCGT Module**, **Power Park Module**, **Generating Unit** (excluding **Power Park Units**) or **OTSUA** (prior to the **OTSUA Transfer Time**), **HVDC Equipment** or **DC Converter Station** can achieve the parameters previously registered or submitted, **NGET** shall either accept this information or require the **User** to demonstrate the restoration of the capability by means of a repetition of the test referred to in OC5.5.3 by an instruction requiring the **User** on 48 hours notice to carry out such a test. The provisions of this OC5.6 will apply to such further test.

OC5.7 BLACK START TESTING

OC5.7.1 General

(a) **NGET** may require a **Generator** with a **Black Start Station** to carry out a test (a "**Black Start Test**") on a **Genset** in a **Black Start Station** either while the **Black Start Station** remains connected to an external alternating current electrical supply (a "**BS Unit Test**") or while the **Black Start Station** is disconnected from all external alternating current electrical supplies (a "**BS Station Test**"), in order to demonstrate that a **Black Start Station** has a **Black Start Capability**.

(b) Where **NGET** requires a **Generator** with a **Black Start Station** to carry out a **BS Unit Test**, **NGET** shall not require the **Black Start Test** to be carried out on more than one **Genset** at that **Black Start Station** at the same time, and would not, in the absence of exceptional circumstances, expect any of the other **Genset** at the **Black Start Station** to be directly affected by the **BS Unit Test**.

(c) **NGET** may require a **Generator** with a **Black Start Station** to carry out a **BS Unit Test** at any time (but will not require a **BS Unit Test** to be carried out more than once in each calendar year in respect of any particular **Genset** unless it can justify on reasonable grounds the necessity for further tests or unless the further test is a re-test, and will not require a **BS Station Test** to be carried out more than once in every two calendar years in respect of any particular **Genset** unless it can justify on reasonable grounds the necessity for further tests or unless the further test is a re-test).

(d) When **NGET** wishes a **Generator** with a **Black Start Station** to carry out a **Black Start Test**, it shall notify the relevant **Generator** at least 7 days prior to the time of the **Black Start Test** with details of the proposed **Black Start Test**.

OC5.7.2 Procedure For A Black Start Test

The following procedure will, so far as practicable, be carried out in the following sequence for **Black Start Tests**:

OC5.7.2.1 BS Unit Tests

(a) The relevant **Generating Unit** shall be **Synchronised** and **Loaded**;

(b) All the **Auxiliary Gas Turbines** and/or **Auxiliary Diesel Engines** in the **Black Start Station** in which that **Generating Unit** is situated, shall be **Shutdown**.

(c) The **Generating Unit** shall be **De-Loaded** and **De-Synchronised** and all alternating current electrical supplies to its **Auxiliaries** shall be disconnected.

(d) The **Auxiliary Gas Turbine(s)** or **Auxiliary Diesel Engine(s)** to the relevant **Generating Unit** shall be started, and shall re-energise the **Unit Board** of the relevant **Generating Unit**.

(e) The **Auxiliaries** of the relevant **Generating Unit** shall be fed by the **Auxiliary Gas Turbine(s)** or **Auxiliary Diesel Engine(s)**, via the **Unit Board**, to enable the relevant **Generating Unit** to return to **Synchronous Speed**.

(f) The relevant **Generating Unit** shall be **Synchronised** to the **System** but not **Loaded**, unless the appropriate instruction has been given by **NGET** under **BC2**.

OC5.7.2.2 BS Station Test

(a) All **Generating Units** at the **Black Start Station**, other than the **Generating Unit** on which the **Black Start Test** is to be carried out, and all the **Auxiliary Gas Turbines** and/or **Auxiliary Diesel Engines** at the **Black Start Station**, shall be **Shutdown**.

(b) The relevant **Generating Unit** shall be **Synchronised** and **Loaded**.

(c) The relevant **Generating Unit** shall be **De-Loaded** and **De-Synchronised**.

(d) All external alternating current electrical supplies to the **Unit Board** of the relevant **Generating Unit**, and to the **Station Board** of the relevant **Black Start Station**, shall be disconnected.

(e) An **Auxiliary Gas Turbine** or **Auxiliary Diesel Engine** at the **Black Start Station** shall be started, and shall re-energise either directly, or via the **Station Board**, the **Unit Board** of the relevant **Generating Unit**.

(f) The provisions of OC5.7.2.1 (e) and (f) shall thereafter be followed.

OC5.7.2.3 All **Black Start Tests** shall be carried out at the time specified by **NGET** in the notice given under OC5.7.1(d) and shall be undertaken in the presence of a reasonable number of representatives appointed and authorised by **NGET**, who shall be given access to all information relevant to the **Black Start Test**.

OC5.7.2.4 Failure of a Black Start Test

A **Black Start Station** shall fail a **Black Start Test** if the **Black Start Test** shows that it does not have a **Black Start Capability** (ie. if the relevant **Generating Unit** fails to be **Synchronised** to the **System** within two hours of the **Auxiliary Gas Turbine(s)** or **Auxiliary Diesel Engine(s)** being required to start).

OC5.7.2.5 If a **Black Start Station** fails to pass a **Black Start Test** the **Generator** must provide **NGET** with a written report specifying in reasonable detail the reasons for any failure of the test so far as they are then known to the **Generator** after due and careful enquiry. This must be provided within five **Business Days** of the test. If a dispute arises relating to the failure, **NGET** and the relevant **Generator** shall seek to resolve the dispute by discussion, and if they fail to reach agreement, the **Generator** may require **NGET** to carry out a further **Black Start Test** on 48 hours notice which shall be carried out following the procedure set out in OC5.7.2.1 or OC5.7.2.2 as the case may be, as if **NGET** had issued an instruction at the time of notice from the **Generator**.

OC5.7.2.6 If the **Black Start Station** concerned fails to pass the re-test and a dispute arises on that re-test, either party may use the **Disputes Resolution Procedure** for a ruling in relation to the dispute, which ruling shall be binding.

OC5.7.2.7 If following the procedure in OC5.7.2.5 and OC5.7.2.6 it is accepted that the **Black Start Station** has failed the **Black Start Test** (or a re-test carried out under OC5.7.2.5), within 14 days, or such longer period as **NGET** may reasonably agree, following such failure, the relevant **Generator** shall submit to **NGET** in writing for approval, the date and time by which that **Generator** shall have brought that **Black Start Station** to a condition where it has a **Black Start Capability** and would pass the **Black Start Test**, and **NGET** will not unreasonably withhold or delay its approval of the **Generator's** proposed date and time submitted. Should **NGET** not approve the **Generator's** proposed date and time (or any revised proposal) the **Generator** shall revise such proposal having regard to any comments **NGET** may have made and resubmit it for approval.

OC5.7.2.8 Once the **Generator** has indicated to **NGET** that the **Generating Station** has a **Black Start Capability**, **NGET** shall either accept this information or require the **Generator** to demonstrate that the relevant **Black Start Station** has its **Black Start Capability** restored, by means of a repetition of the **Black Start Test** referred to in OC5.7.1(d) following the same procedure as for the initial **Black Start Test**. The provisions of this OC5.7.2 will apply to such test.

OC5.8 PROCEDURES APPLYING TO EMBEDDED MEDIUM POWER STATIONS NOT SUBJECT TO A BILATERAL AGREEMENT AND EMBEDDED DC CONVERTER STATIONS NOT SUBJECT TO A BILATERAL AGREEMENT

OC5.8.1 Compliance Statement

Each **Network Operator** shall ensure that each **Embedded Person** provides to the **Network Operator** upon **NGET's** request:

(a) written confirmation that each such **Power Generating Module**, **Generating Unit**, **Power Park Module**, **HVDC Equipment**, or **DC Converter** complies with the requirements of the **CC**; and

(b) evidence, where requested, reasonably satisfactory to **NGET**, of such compliance. Such a request shall not normally be made by **NGET** more than twice in any calendar year in respect of any **Generator's Power Generating Module**, **Generating Unit** or **Power Park Module** or **HVDC System Owner's HVDC System**, or **DC Converter** owner's **DC Converter**.

The **Network Operator** shall provide the evidence or written confirmation required under OC5.8.1 (a) and (b) forthwith upon receipt to **NGET**.

OC5.8.2 Network Operator's Obligations To Facilitate Tests

If:

(a) the **Network Operator** fails to procure the confirmation referred to at OC5.8.1(a); or

(b) the evidence of compliance is not to **NGET's** reasonable satisfaction,

then, **NGET** shall be entitled to require the **Network Operator** to procure access upon terms reasonably satisfactory to **NGET** to enable **NGET** to witness the **Embedded Person** carrying out the tests referred to in OC5.8.3 in respect of the relevant **Embedded Medium Power Station** or **Embedded DC Converter Station** or **Embedded HVDC System**.

OC5.8.3 Testing Of Embedded Medium Power Stations Not Subject To A Bilateral Agreement Or Embedded DC Converter Stations Not Subject To A Bilateral Agreement or Embedded HVDC Equipment Not Subject To A Bilateral Agreement

NGET may, in accordance with the provisions of OC5.8.2, at any time (although not normally more than twice in any calendar year in respect of any particular **Embedded Medium Power Station** not subject to a **Bilateral Agreement** or **Embedded DC Converter Station** or **Embedded HVDC Equipment** not subject to a **Bilateral Agreement**) issue an instruction requiring the **Network Operator** within whose **System** the relevant **Medium Power Station** not subject to a **Bilateral Agreement** or **DC Converter Station** or **HVDC Equipment** not subject to a **Bilateral Agreement** is **Embedded**, to require the **Embedded Person** to carry out a test.

Such test shall be carried out at a time no sooner than 48 hours from the time that the instruction was issued, on any one or more of the **Generating Units**, **Power Generating Module, Power Park Module** or **DC Converter** or **HVDC Equipment** comprising part of the relevant **Embedded Medium Power Station** or **Embedded DC Converter Station or HVDC System** and should only be to demonstrate that:

(a) the relevant **Generating Unit**, **Power Generating Module, Power Park Module** or **DC Converter** or **HVDC Equipment** meets the requirements of the paragraphs in the **CC** or **ECC** which are applicable to such **Generating Units**, **Power Generating Modules**, **Power Park Module** or **DC Converter** or **HVDC Equipment**;

(b) the **Reactive Power** capability registered with **NGET** under **OC2** meets the requirements set out in CC.6.3.2 or ECC.6.3.2 as applicable.

The instruction may only be issued where, following consultation with the relevant **Network Operator**, **NGET** has:

(a) confirmed to the relevant **Network Operator** the manner in which the test will be conducted, which shall be consistent with the principles established in OC5.5.3; and

(b) received confirmation from the relevant **Network Operator** that the relevant **Generating Unit**, **Power Generating Module**, **Power Park Module** or **DC Converter** or **HVDC Equipment** would not then be unavailable by reason of forced outage or **Planned Outage** expected prior to the instruction.

The relevant **Network Operator** is responsible for ensuring the performance of any test so required by **NGET** and the **Network Operator** shall ensure that the **Embedded Person** retains the responsibility for ensuring the safety of personnel and plant during the test.

OC5.8.4 Test Failures/Re-Tests And Disputes

The relevant **Network Operator** shall:

(a) ensure that provisions equivalent to OC5.5.5, OC5.5.6 and OC5.6 apply to **Embedded Medium Power Stations** not the subject of a **Bilateral Agreement, Embedded DC Converter Stations** not the subject of a **Bilateral Agreement** or **Embedded HVDC Equipment** not the subject of a **Bilateral Agreement** within its **System** in respect of test failures, re-tests and disputes as to test failures and re-tests;

(b) ensure that the provisions equivalent to OC5.5.5, OC5.5.6 and OC5.6 referred to in OC5.8.4(a) are effective so that **NGET** may require, if it so wishes, the provision to it of any reports or other information equivalent to those or that to which **NGET** would be entitled in relation to test failures, re-tests and disputes as to test failures and re-tests under the provisions of OC5.5.5, OC5.5.6 and OC5.6; and

(c) the provisions equivalent to OC5.5.5, OC5.5.6 and OC5.6 referred to in OC5.8.4(a) are effective to permit **NGET** to conduct itself and take decisions in such a manner in relation to test failures, re-tests and disputes as to test failures and re-tests in respect of **Embedded Medium Power Stations** not the subject of a **Bilateral Agreement**, **Embedded DC Converter Stations** not the subject of a **Bilateral Agreement** or **Embedded HVDC Equipment** not the subject of a **Bilateral Agreement** as it is able to conduct itself and take decisions in relation to test failures, re-tests and disputes as to test failures and re-tests under OC5.5.5, OC5.5.6 and OC5.6.

APPENDIX 1 - ONSITE SIGNAL PROVISION FOR WITNESSING TESTS

OC5.A.1.1 During tests witnessed on-site by **NGET**, the following signals shall be provided to **NGET** by the **GB Generator**, GB **Generator** undertaking **OTSDUW or DC Converter Station** owner in accordance with CC.6.6.2:

OC5.A.1.2 Synchronous Generating Units

(a) All Tests

- MW - **Active Power** at **Generating Unit** terminals

(b) Reactive & Excitation System

- MVAr - **Reactive Power** at **Generating Unit** terminals
- Vt - **Generating Unit** terminal voltage
- Efd- **Generating Unit** field voltage and/or main exciter field voltage
- Ifd – **Generating Unit** field current (where possible)
- **Power System Stabiliser** output, where applicable.
- Noise – Injected noise signal (where applicable and possible)

(c) Governor System & Frequency Response

- Fsys - **System Frequency**
- Finj - Injected Speed Reference
- Logic - Stop / Start Logic Signal

For Gas Turbines:

- GT Fuel Demand
- GT Fuel Valve Position
- GT Inlet Guide Vane Position
- GT Exhaust Gas Temperature

For Steam Turbines at >= 1Hz:

- Pressure before Turbine Governor Valves
- Turbine Governor Valve Positions
- Governor Oil Pressure*
- Boiler Pressure Set Point *
- Superheater Outlet Pressure *
- Pressure after Turbine Governor Valves*
- Boiler Firing Demand*

*Where applicable (typically not in CCGT module)

For Hydro Plant:

- Speed Governor Demand Signal
- Actuator Output Signal
- Guide Vane / Needle Valve Position

(d) Compliance with

- Fsys - **System Frequency**

	CC.6.3.3	• Finj - Injected Speed Reference • Appropriate control system parameters as agreed with **NGET** (See OC5.A.2.9)

OC5.A.1.3 Power Park Modules, OTSUA and DC Converters

	Each **Power Park Module** and **DC Converters** at **Grid Entry Point** or **User System Entry Point**
(a) Real Time on site.	• Total **Active Power** (MW) • Total **Reactive Power** (MVAr) • Line-line Voltage (kV) • **System Frequency** (Hz)
(b) Real Time on site or Downloadable	• Injected frequency signal (Hz) or test logic signal (Boolean) when appropriate • Injected voltage signal (per unit voltage) or test logic signal (Boolean) when appropriate • In the case of an **Onshore Power Park Module** the **Onshore Power Park Module** site voltage (MV) (kV) • **Power System Stabiliser** output, where appropriate • In the case of a **Power Park Module** or **DC Converter** where the **Reactive Power** is provided by from more than one **Reactive Power** source, the individual **Reactive Power** contributions from each source, as agreed with **NGET**. • In the case of **DC Converters** appropriate control system parameters as agreed with **NGET** (See OC5.A.4) • In the case of an **Offshore Power Park Module** the Total **Active Power** (MW) and the Total **Reactive Power** (MVAr) at the **Offshore Grid Entry Point**
(c) Real Time on site or Downloadable	• Available power for **Power Park Module** (MW) • Power source speed for **Power Park Module** (e.g. wind speed) (m/s) when appropriate • Power source direction for **Power Park Module** (degrees) when appropriate
	See OC5.A.1.3.1

OC5.A.1.3.1 **NGET** accept that the signals specified in OC5.A.1.3(c) may have lower effective sample rates than those required in CC.6.6.2 although any signals supplied for connection to **NGET's** recording equipment which do not meet at least the sample rates detailed in CC.6.6.2 should have the actual sample rates indicated to **NGET** before testing commences.

OC5.A.1.3.2 For all **NGET** witnessed testing either;

(i) the **Generator** or **DC Converter Station** owner shall provide to **NGET** all signals outlined in OC5.A.1.3 direct from the **Power Park Module** control system without any attenuation, delay or filtering which would result in the inability to fully demonstrate the objectives of the test, or identify any potential safety or plant instability issues, and with a signal update rate corresponding to CC.6.6.2.1; or

(ii) in the case of **Onshore Power Park Modules** the **Generator** or **DC Converter Station** owner shall provide signals OC5.A.1.3(a) direct from one or more transducer(s) connected to current and voltage transformers for monitoring in real time on site; or,

(iii) In the case of **Offshore Power Park Modules** and **OTSUA** signals OC5.A.1.3(a) will be provided at the **Interface Point** by the **Offshore Transmission Licensee** pursuant to the STC or by the **Generator** when **OTSDUW Arrangements** apply.

OC5.A.1.3.3 Options OC5.A.1.3.2 (ii) and (iii) will only be available on condition that;

(a) all signals outlined in OC5.A.1.3 are recorded and made available to **NGET** by the **Generator** or **DC Converter Station** owner from the **Power Park Module** or **OTSUA** or **DC Converter** control systems as a download once the testing has been completed; and

(b) the full test results are provided by the **Generator** or **DC Converter Station** owner within 2 working days of the test date to **NGET** unless **NGET** agrees otherwise; and

(c) all data is provided with a sample rate in accordance with CC.6.6.2.2 unless **NGET** agrees otherwise; and

(d) in **NGET**'s reasonable opinion the solution does not unreasonably add a significant delay between tests or impede the volume of testing which can take place on the day.

OC5.A.1.3.4 In the case of where transducers connected to current and voltage transformers are installed (OC5.A.1.3.3 (ii) and (iii)), the transducers shall meet the following specification

(a) The transducer(s) shall be permanently installed to easily allow safe testing at any point in the future, and to avoid a requirement for recalibration of the current transformers and voltage transformers.

(b) The transducer(s) should be directly connected to the metering quality current transformers and voltage transformers or similar.

(c) The transducers shall either have a response time no greater than 50ms to reach 90% of output, or no greater than 300ms to reach 99.5%.

APPENDIX 2 - COMPLIANCE TESTING OF SYNCHRONOUS PLANT

OC5.A.2.1 Scope

OC5.A.2.1.1 This Appendix sets out the tests contained therein to demonstrate compliance with the relevant clauses of the **Connection Conditions** of the Grid Code and apply only to **GB Generators**. This Appendix shall be read in conjunction with the **CP** with regard to the submission of the reports to **NGET**. The testing requirements applicable to **EU Generators** are specified in ECP.A.5.

OC5.A.2.1.2 The tests specified in this Appendix will normally be sufficient to demonstrate compliance however **NGET** may:

(i) agree an alternative set of tests provided **NGET** deem the alternative set of tests sufficient to demonstrate compliance with the **Grid Code** and **Bilateral Agreement**; and/or

(ii) require additional or alternative tests if information supplied to **NGET** during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the **Grid Code** or **Bilateral Agreement**.

(iii) Agree a reduced set of tests for subsequent **Generating Units** following successful completion of the first **Generating Unit** tests in the case of a **Power Station** comprised of two or more **Generating Units** which **NGET** reasonably considers to be identical.

If:

(a) the tests performed pursuant to OC5.A.2.1.2(iii) in respect of subsequent **Generating Units** do not replicate the full tests for the first **Generating Unit**, or

(b) any of the tests performed pursuant to OC5.A.2.1.2(iii) do not fully demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and / or **Bilateral Agreement**,

then notwithstanding the provisions above, the full testing requirements set out in this Appendix will be applied.

OC5.A.2.1.3 The **Generator** is responsible for carrying out the tests set out in and in accordance with this Appendix and the **Generator** retains the responsibility for the safety of personnel and plant during the test. **NGET** will witness all of the tests outlined or agreed in relation to this Appendix unless **NGET** decides and notifies the **Generator** otherwise. Reactive Capability tests may be witnessed by **NGET** remotely from the **NGET** control centre. For all on site **NGET** witnessed tests the **Generator** should ensure suitable representatives from the **Generator** and manufacturer (if appropriate) are available on site for the entire testing period. In all cases the **Generator** shall provide suitable monitoring equipment to record all relevant test signals as outlined below in OC5.A.3.1.5.

OC5.A.2.1.6 The **Generator** shall submit a schedule of tests to **NGET** in accordance with CP.4.3.1

OC5.A.2.1.7 Prior to the testing of a **Generating Unit** the **Generator** shall complete the **Integral Equipment Test** procedure in accordance with OC.7.5

OC5.A.2.1.8 Full **Generating Unit** testing as required by CP.7.2 is to be completed as defined in OC5.A.2.2 through to OC5.A.2.9

OC5.A.2.2 Excitation System Open Circuit Step Response Tests

OC5.A.2.2.1 The open circuit step response of the **Excitation System** will be tested by applying a voltage step change from 90% to 100% of the nominal **Generating Unit** terminal voltage, with the **Generating Unit** on open circuit and at rated speed.

OC5.A.2.2.1 The test shall be carried out prior to synchronisation in accordance with CP.6.4. This is not witnessed by **NGET** unless specifically requested by **NGET**. Where **NGET** is not witnessing the tests, the **Generator** shall supply the recordings of the following signals to **NGET** in an electronic spreadsheet format:

Vt - **Generating Unit** terminal voltage

Efd - **Generating Unit** field voltage or main exciter field voltage

Ifd- **Generating Unit** field current (where possible)

Step injection signal

OC5.A.2.2.3 Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

OC5.A.2.3 Open & Short Circuit Saturation Characteristics

OC5.A.2.3.1 The test shall normally be carried out prior to synchronisation in accordance with CP.6.4. Manufacturer factory test results may be used where appropriate or manufacturers factory type test results may be used if agreed by **NGET**.

OC5.A.2.3.2 This is not witnessed by **NGET**. Graphical and tabular representations of the results in an electronic spreadsheet format showing per unit open circuit terminal voltage and short circuit current versus per unit field current shall be submitted to **NGET**.

OC5.A.2.3.3 Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

OC5.A.2.4 Excitation System On-Load Tests

OC5.A.2.4.1 The time domain performance of the **Excitation System** shall be tested by application of voltage step changes corresponding to 1% and 2% of the nominal terminal voltage.

OC5.A.2.4.2 Where a **Power System Stabiliser** is present:

(i) The **PSS** must only be commissioned in accordance with BC2.11.2. When a **PSS** is switched on for the first time as part of on-load commissioning or if parameters have been adjusted the **Generator** should consider reducing the **PSS** output gain by at least 50% and should consider reducing the limits on **PSS** output by at least a factor of 5 to prevent unexpected PSS action affecting the stability of the **Generating Unit** or the **National Electricity Transmission System**.

(ii) The time domain performance of the **Excitation System** shall be tested by application of voltage step changes corresponding to 1% and 2% of the nominal terminal voltage, repeating with and without the **PSS** in service.

(iii) The frequency domain tuning of the **PSS** shall also be demonstrated by injecting a 0.2Hz-3Hz band limited random noise signal into the **Automatic Voltage Regulator** reference with the **Generating Unit** operating at points specified by **NGET** (up to rated MVA output).

(iv) The **PSS** gain margin shall be tested by increasing the **PSS** gain gradually to threefold and observing the **Generating Unit** steady state **Active Power** output.

(v) The interaction of the **PSS** with changes in **Active Power** shall be tested by application of a +0.5Hz frequency injection to the governor while the **Generating Unit** is selected to **Frequency Sensitive Mode**.

(vi) If the **Generating Unit** is of the pump storage type then the step tests shall be carried out, with and without the **PSS**, in the pumping mode in addition to the generating mode.

(vii) Where the **Bilateral Agreement** requires that the **PSS** is in service at a specified loading level additional testing witnessed by **NGET** will be required during the commissioning process before the **Generating Unit** or **CCGT Module** may exceed this output level.

(viii) Where the **Excitation System** includes a **PSS**, the **Generator** shall provide a suitable noise source to facilitate noise injection testing.

OC5.A.2.4.3 The following typical procedure is provided to assist **Generators** in drawing up their own site specific procedures for the **NGET** witnessed **PSS** Tests.

Test	Injection	Notes
	Synchronous Generator running rated MW, unity pf, PSS Switched Off	
1	• Record steady state for 10 seconds • Inject +1% step to AVR Voltage Reference and hold for at least 10 seconds until stabilised • Remove step returning AVR Voltage Reference to nominal and hold for at least 10 seconds	
2	• Record steady state for 10 seconds • Inject +2% step to AVR Voltage Reference and hold for at least 10 seconds until stabilised • Remove step returning AVR Voltage Reference to nominal and hold for at least 10 seconds	
3	• Inject band limited (0.2-3Hz) random noise signal into voltage reference and measure frequency spectrum of Real Power. • Remove noise injection.	
	Switch On Power System Stabiliser	
4	• Record steady state for 10 seconds • Inject +1% step to AVR Voltage Reference and hold for at least 10 seconds until stabilised • Remove step returning AVR Voltage Reference to nominal and hold for at least 10 seconds	
5	• Record steady state for 10 seconds • Inject +2% step to AVR Voltage Reference and hold for at least 10 seconds until stabilised • Remove step returning AVR Voltage Reference to nominal and hold for at least 10 seconds	
6	• Increase PSS gain at 30 second intervals. i.e. x1 – x1.5 – x2 – x2.5 – x3 • Return PSS gain to initial setting	
7	• Inject band limited (0.2-3Hz) random noise signal into voltage reference and measure frequency spectrum of Real Power. • Remove noise injection.	

8	• Select the governor to FSM • Inject +0.5 Hz step into governor. • Hold until generator MW output is stabilised • Remove step	

OC5.A.2.5 Under-excitation Limiter Performance Test

OC5.A.2.5.1 Initially the performance of the **Under-excitation Limiter** should be checked by moving the limit line close to the operating point of the **Generating Unit** when operating close to unity power factor. The operating point of the **Generating Unit** is then stepped into the limit by applying a 2% decrease in **Automatic Voltage Regulator** reference voltage.

OC5.A.2.5.2 The final performance of the **Under-excitation Limiter** shall be demonstrated by testing its response to a step change corresponding to a 2% decrease in **Automatic Voltage Regulator** reference voltage when the **Generating Unit** is operating just off the limit line, at the designed setting as indicated on the **Performance Chart** submitted to **NGET** under OC2.

OC5.A.2.5.3 Where possible the **Under-excitation Limiter** should also be tested by operating the tap-changer when the **Generating Unit** is operating just off the limit line, as set up.

OC5.A.2.5.4 The **Under-excitation Limiter** will normally be tested at low **Active Power** output and at maximum **Active Power** output (**Registered Capacity**).

OC5.A.2.5.5 The following typical procedure is provided to assist **Generators** in drawing up their own site specific procedures for the **NGET** witnessed **Under-excitation Limiter** Tests.

Test	Injection	Notes
	Synchronous generator running rated MW at unity power factor. Under-excitation limit temporarily moved close to the operating point of the generator.	
1	• PSS on. • Inject -2% voltage step into AVR voltage reference and hold at least for 10 seconds until stabilised • Remove step returning AVR Voltage Reference to nominal and hold for at least 10 seconds	
	Under-excitation limit moved to normal position. Synchronous generator running at rated MW and at leading MVArs close to Under-excitation limit.	
2	• PSS on. • Inject -2% voltage step into AVR voltage reference and hold at least for 10 seconds until stabilised • Remove step returning AVR Voltage Reference to nominal and hold for at least 10 seconds	

OC5.A.2.6 Over-excitation Limiter Performance Test

Description & Purpose of Test

OC5.A.2.6.1 The performance of the **Over-excitation Limiter**, where it exists, shall be demonstrated by testing its response to a step increase in the **Automatic Voltage Regulator** reference voltage that results in operation of the **Over-excitation Limiter**. Prior to application of the step the **Generating Unit** shall be generating **Rated Active Power** and operating within its continuous **Reactive Power** capability. The size of the step will be determined by the minimum value necessary to operate the **Over-excitation Limiter** and will be agreed by **NGET** and the **Generator**. The resulting operation beyond the **Over-excitation Limit** shall be controlled by the **Over-excitation Limiter** without the operation of any protection that could trip the **Generating Unit**. The step shall be removed immediately on completion of the test.

OC5.A.2.6.2 If the **Over-excitation Limiter** has multiple levels to account for heating effects, an explanation of this functionality will be necessary and if appropriate, a description of how this can be tested.

OC5.A.2.6.3 The following typical procedure is provided to assist **Generators** in drawing up their own site specific procedures for the **NGET** witnessed **Under-excitation Limiter** Tests.

Test	Injection	Notes
	Synchronous Generator running rated MW and maximum lagging MVAr.	
	Over-excitation Limit temporarily set close to this operating point. PSS on.	
1	• Inject positive voltage step into AVR voltage reference and hold • Wait till Over-excitation Limiter operates after sufficient time delay to bring back the excitation back to the limit. • Remove step returning AVR Voltage Reference to nominal.	
	Over-excitation Limit restored to its normal operating value. PSS on.	

OC5.A.2.7 Reactive Capability

OC5.A.2.7.1 The leading and lagging **Reactive Power** capability on each **Generating Unit** will normally be demonstrated by operation of the **Generating Unit** at 0.85 power factor lagging for 1 hour and 0.95 power factor leading for 1 hour.

OC5.A.2.7.2 In the case of an **Embedded Generating Unit** where distribution network considerations restrict the **Generating Unit Reactive Power** Output then the maximum leading and lagging capability will be demonstrated without breaching the host network operators limits.

OC5.A.2.7.3 The test procedure, time and date will be agreed with **NGET** and will be to the instruction of **NGET** control centre and shall be monitored and recorded at both the **NGET** control centre and by the **Generator**.

OC5.A.2.7.4 Where the **Generator** is recording the voltage and **Reactive Power** at the **Generating Unit** terminals the results shall be supplied in an electronic spreadsheet format.

OC5.A.2.7.5 The ability of the **Generating Unit** to comply with the operational requirements specified in BC2.A.2.6 and CC.6.1.7 will normally be demonstrated by changing the tap position and, where agreed in the **Bilateral Agreement**, the **Generating Unit** terminal voltage.

OC5.A.2.8 Governor and Load Controller Response Performance

OC5.A.2.8.1 The governor and load controller response performance will be tested by injecting simulated frequency deviations into the governor and load controller systems. Such simulated frequency deviation signals must be injected simultaneously at both speed governor and load controller references. For **CCGT modules**, simultaneous injection into all gas turbines, steam turbine governors and module controllers is required.

OC5.A.2.8.2 Prior to witnessing the governor tests set out in OC5.A.2.8.6, **NGET** requires the **Generator** to conduct the preliminary tests detailed in OC5.A.2.8.4 and send the results to **NGET** for assessment unless agreed otherwise by **NGET**. The results should be supplied in an electronic spreadsheet format. These tests shall be completed at least two weeks prior to the witnessed governor response tests.

OC5.A.2.8.3 Where **CCGT module** or **Generating Unit** is capable of operating on alternative fuels, tests will be required to demonstrate performance when operating on each fuel. **NGET** may agree a reduction from the tests listed in OC5.A.2.8.6 for demonstrating performance on the alternative fuel. This includes the case where a main fuel is supplemented by bio-fuel.

Preliminary Governor Frequency Response Testing

OC5.A.2.8.4 Prior to conducting the full set of tests as per OC5.A.2.8.6, **Generators** are required to conduct a preliminary set of tests below to confirm the frequency injection method is correct and the plant control performance is within expectation. The test numbers refer to Figure 1 below. With the plant running at 80% of full load, the following frequency injections shall be applied.

Test No (Figure 1)	Frequency Injection	Notes
8	• Inject - 0.5Hz frequency fall over 10 sec • Hold until conditions stabilise • Remove the injected signal	
14	• Inject +0.5Hz frequency rise over 10 sec • Hold until conditions stabilise • Remove the injected signal	
13	• Inject -0.5Hz frequency fall over 10 sec • Hold for a further 20 sec • At 30 sec from the start of the test, Inject a +0.3Hz frequency rise over 30 sec. • Hold until conditions stabilise • Remove the injected signal	

OC5.A.2.8.5 The recorded results (e.g. Finj, MW and control signals) should be sampled at a minimum rate of 1 Hz to allow **NGET** to assess the plant performance from the initial transients (seconds) to the final steady state conditions (5-15 minutes depending on the plant design). This is not witnessed by **NGET**. The Generator shall supply the recordings including data to **NGET** in an electronic spreadsheet format. Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

Full Frequency Response Testing Schedule Witnessed by NGET

OC5.A.2.8.6 The tests are to be conducted at a number of different Module Load Points (MLP). The load points are conducted as shown below unless agreed otherwise by **NGET**.

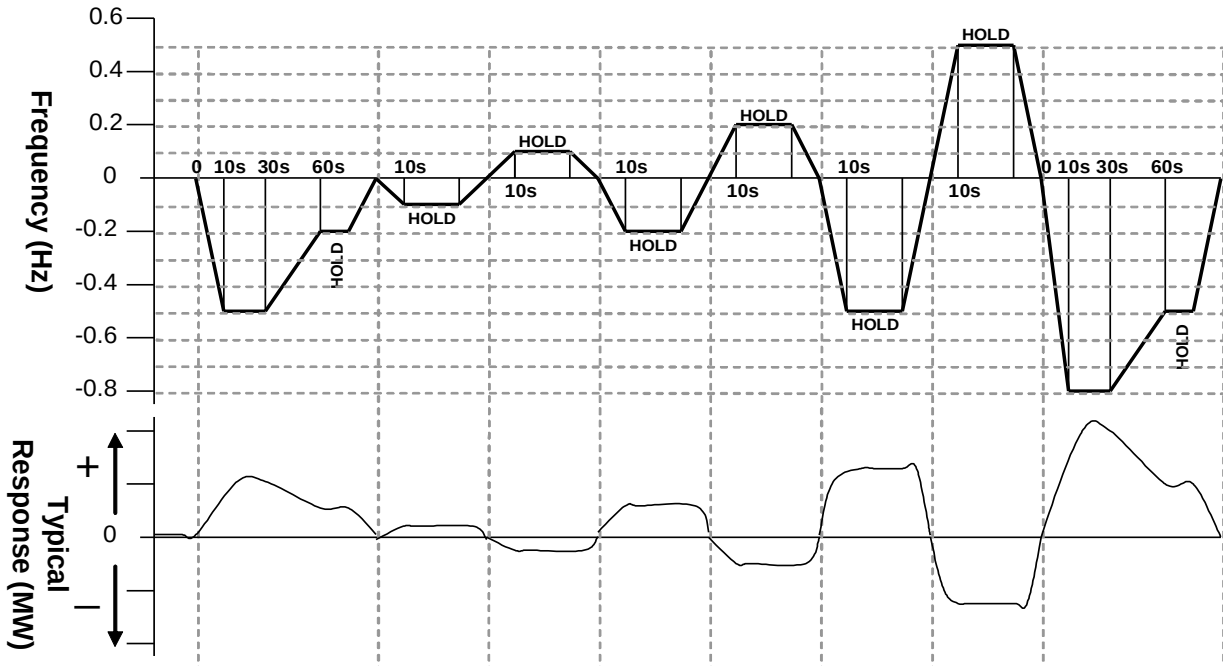
Module Load Point 6 (**Maximum Export Limit**)	100% MEL
Module Load Point 5	95% MEL
Module Load Point 4 (Mid point of Operating Range)	80% MEL
Module Load Point 3	70% MEL
Module Load Point 2 (**Minimum Generation**)	MG
Module Load Point 1 (**Design Minimum Operating Level**)	DMOL

OC5.A.2.8.7 The tests are divided into the following two types;

(i) **Frequency** response volume tests as per OC5.A.2.8. Figure 1. These tests consist of **Frequency** profile and ramp tests.

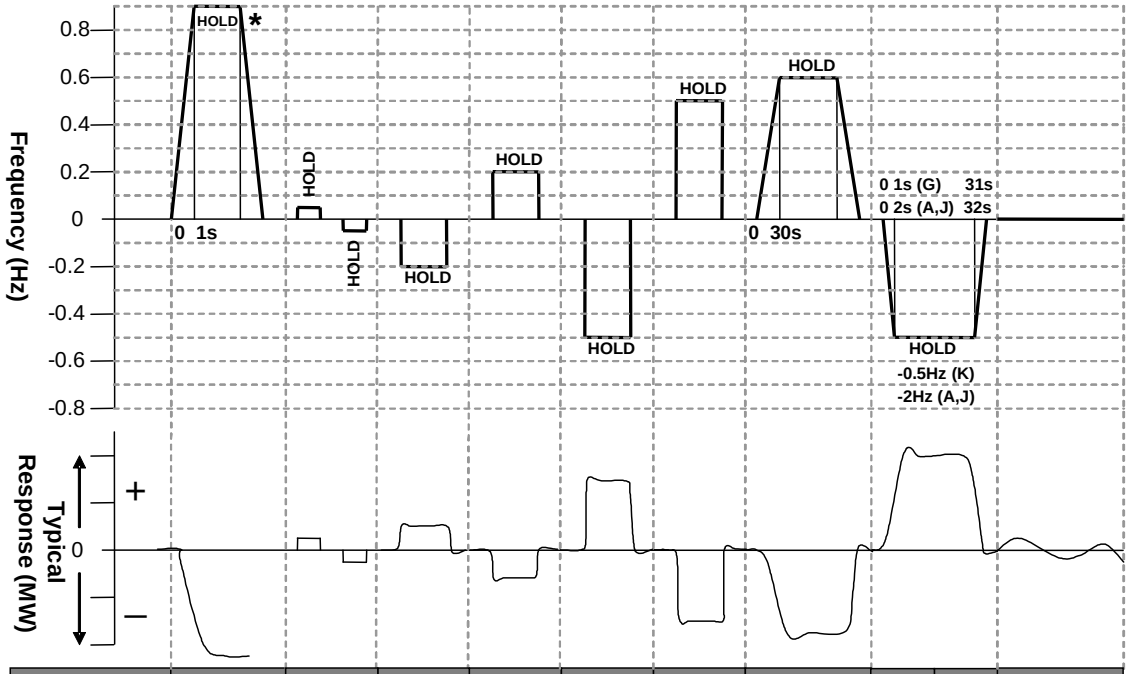
(ii) **System** islanding and step response tests as shown by OC5.A.2.8. Figure 2.

OC5.A.2.8.8 There should be sufficient time allowed between tests for control systems to reach steady state. Where the diagram states 'HOLD' the current injection should be maintained until the **Active Power** (MW) output of the **Generating Unit or CCGT Module** has stabilised. The frequency response capability test (see Figure 1) injection signal shall be returned to zero at the same rate at which it was applied. **NGET** may require repeat tests should the tests give unexpected results.



Load Point	LF Event Profile 1	LF Ramp -0.1Hz	HF Ramp +0.1Hz	LF Ramp -0.2Hz	HF Ramp +0.2Hz	LF Ramp -0.5Hz	HF Ramp +0.5Hz	LF Event Profile 2
MLP6			1	2	3		4	
MLP5	5			6			7	
MLP4	8	9	10	11	12	13	14	
MLP3	15						16	17
MLP2	18			19	20	21		22
MLP1	23			24	25			26

Figure 1: Frequency Response Capability Tests



Load Point	+2.0*	±0.02	-0.2	+0.2	-0.5	+0.5	+0.6	-0.5	-2.0	± 0**
MLP6	BC1						BC2			L
MLP6 LFSM	BC3						BC4			
MLP5								A		
MLP4		D/E	F	G	H	I		J		M
MLP4 LFSM										N
MLP3										
MLP2										
MLP1								K		

Figure 2: System islanding and step response tests

* This will generally be +2.0Hz unless an injection of this size causes a reduction in plant output that takes the operating point below **Designed Minimum Operating Level** in which case an appropriate injection should be calculated in accordance with the following:

For example 0.9Hz is needed to take an initial output 65% to a final output of 20%. If the initial output was not 65% and the **Designed Minimum Operating Level** is not 20% then the injected step should be adjusted accordingly as shown in the example given below

Initial Output	65%
Designed Minimum Operating Level	20%
Frequency Controller Droop	4%
Frequency to be injected =	(0.65 - 0.20) x 0.04 x 50 = 0.9Hz

** Tests L and M in Figure 2 shall be conducted if in this range of tests the system frequency feedback signal is replaced by the injection signal rather than the injection signal being added to the system frequency signal. The tests will consist of monitoring the **Generating Unit and CCGT Module** in **Frequency Sensitive Mode** during normal system frequency variations without applying any injection. Test N in figure 2 shall be conducted in all cases. All three tests should be conducted for a period of at least 10 minutes.

OC5.A.2.9 Compliance with CC.6.3.3 Functionality Test

OC5.A.2.9.1 Where the plant design includes active control function or functions to deliver CC.6.3.3 compliance, the **Generator** will propose and agree a test procedure with **NGET**, which will demonstrate how the **Generating Unit Active Power** output responds to changes in **System Frequency** and ambient conditions (e.g. by **Frequency** and temperature injection methods).

OC5.A.2.9.2 The **Generator** shall inform **NGET** if any load limiter control is additionally employed.

OC5.A.2.9.3 With reference to the signals specified in OC5.A.1, **NGET** will agree with the **Generator** which additional control system parameters shall be monitored to demonstrate the functionality of CC.6.3.3 compliance systems. Where **NGET** recording equipment is not used results shall be supplied to **NGET** in an electronic spreadsheet format.

APPENDIX 3 - COMPLIANCE TESTING OF POWER PARK MODULES (AND OTSUA)

OC5.A.3.1 Scope

OC5.A.3.1.1 This Appendix outlines the general testing requirements for **Power Park Modules** and **OTSUA** to demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and **Bilateral Agreement** and apply only to **GB Generators**. The testing requirements applicable to **EU Generators** are specified in ECP.A.6. The tests specified in this Appendix will normally be sufficient to demonstrate compliance however **NGET** may:

(i) agree an alternative set of tests provided **NGET** deem the alternative set of tests sufficient to demonstrate compliance with the **Grid Code**, **Ancillary Services Agreement** and **Bilateral Agreement**; and/or

(ii) require additional or alternative tests if information supplied to **NGET** during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the **Grid Code**, **Ancillary Services Agreement** or **Bilateral Agreement**; and/or

(ii) require additional tests if a **Power System Stabiliser** is fitted; and/or

(iv) agree a reduced set of tests if a relevant **Manufacturer's Data & Performance Report** has been submitted to and deemed to be appropriate by **NGET**; and/or

(v) agree a reduced set of tests for subsequent **Power Park Modules** or **OTSUA** following successful completion of the first **Power Park Module** or **OTSUA** tests in the case of a **Power Station** comprised of two or more **Power Park Modules** or **OTSUA** which **NGET** reasonably considers to be identical.

If:

(a) the tests performed pursuant to OC5.A.3.1.1(iv) do not replicate the results contained in the **Manufacturer's Data & Performance Report** or

(b) the tests performed pursuant to OC5.A.3.1.1(v) in respect of subsequent **Power Park Modules** or **OTSUA** do not replicate the full tests for the first **Power Park Module** or **OTSUA**, or

(c) any of the tests performed pursuant to OC5.A.3.1.1(iv) or OC5.A.3.1.1(v) do not fully demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and / or **Bilateral Agreement**,

then notwithstanding the provisions above, the full testing requirements set out in this Appendix will be applied.

OC5.A.3.1.2 The **Generator** is responsible for carrying out the tests set out in and in accordance with this Appendix and the **Generator** retains the responsibility for the safety of personnel and plant during the test. **NGET** will witness all of the tests outlined or agreed in relation to this Appendix unless **NGET** decides and notifies the **Generator** owner otherwise. Reactive Capability tests may be witnessed by **NGET** remotely from the **NGET** control centre. For all on site **NGET** witnessed tests the **Generator** must ensure suitable representatives from the **Generator** and / or **Power Park Module** manufacturer (if appropriate) and/or **OTSUA** manufacturer (if appropriate) are available on site for the entire testing period. In all cases and in addition to any recording of signals conducted by **NGET** the **Generator** shall record all relevant test signals as outlined in OC5.A.1.

OC5.A.3.1.3 In addition to the dynamic signals supplied in OC5.A.1 the **Generator** shall inform **NGET** of the following information prior to the commencement of the tests and any changes to the following, if any values change during the tests:

(i) All relevant transformer tap numbers; and

(ii) Number of **Power Park Units** in operation

OC5.A.3.1.4 The **Generator** shall submit a detailed schedule of tests to **NGET** in accordance with CP.6.3.1, and this Appendix.

OC5.A.3.1.5 Prior to the testing of a **Power Park Module** or **OTSUA** the **Generator** shall complete the **Integral Equipment Tests** procedure in accordance with OC.7.5.

OC5.A.3.1.6 Partial **Power Park Module** or **OTSUA** testing as defined in OC5.A.3.2 and OC5.A.3.3 is to be completed at the appropriate stage in accordance with CP.6.

OC5.A.3.1.7 Full **Power Park Module** or **OTSUA** testing as required by CP.7.2 is to be completed as defined in OC5.A.3.4 through to OC5.A.3.7.

OC5.A.3.1.8 Where **OTSDUW Arrangements** apply and prior to the **OTSUA Transfer Time** any relevant **OTSDUW Plant and Apparatus** shall be considered within the scope of testing described in this Appendix. Performance shall be assessed against the relevant Grid Code requirements for **OTSDUW Plant and Apparatus** at the **Interface Point** and other **Generator Plant** and **Apparatus** at the **Offshore Grid Entry Point**. This Appendix should be read accordingly.

OC5.A.3.2 Pre 20% (or <50MW) **Synchronised Power Park Module** Basic Voltage Control Tests

OC5.A.3.2.1 Before 20% of the **Power Park Module** (or 50MW if less) has commissioned, either voltage control test OC5.A.3.5.6(i) or (ii) must be completed in accordance with CP.6.

OC5.A.3.2.2 In the case of an **Offshore Power Park Module** which provides all or a portion of the **Reactive Power** capability as described in CC.6.3.2(e)(iii) and / or voltage control requirements as described in CC.6.3.8(b)(ii) to enable an **Offshore Transmission Licensee** to meet the requirements of **STC** Section K, the **Generator** is required to cooperate with the **Offshore Transmission Licensee** to conduct the 20% voltage control test. The results in relation to the **Offshore Power Park Module** will be assessed against the requirements in the **Bilateral Agreement**. In the case of **OTSUA** prior to the **OTSUA Transfer Time**, the **Generator** shall conduct the testing by reference to the entire control system responding to changes at the **Interface Point.**

OC5.A.3.3 For **Power Park Modules** with **Registered Capacity** ≥100MW Pre 70% **Power Park Module** Tests

OC5.A.3.3.1 Before 70% but with at least 50% of the **Power Park Module** commissioned the following **Limited Frequency Sensitive** tests as detailed in OC5.A.3.6.2 must be completed.

(a) BC3

(b) BC4

OC5.A.3.4 Reactive Capability Test

OC5.A.3.4.1 This section details the procedure for demonstrating the reactive capability of an **Onshore Power Park Module** or an **Offshore Power Park Module** or **OTSUA** which provides all or a portion of the **Reactive Power** capability as described in CC.6.3.2(e)(iii) (for the avoidance of doubt, an **Offshore Power Park Module** which does not provide part of the **Offshore Transmission Licensee Reactive Power** capability as described in CC6.3.2(e)(i) and CC6.3.2(e)(ii) should complete the reactive power transfer / voltage control tests as per section OC5.A.3.8). These tests should be scheduled at a time where there are at least 95% of the **Power Park Units** within the **Power Park Module** in service. There should be sufficient MW resource forecasted in order to generate at least 85% of **Registered Capacity** of the **Power Park Module**.

OC5.A.3.4.2 The tests shall be performed by modifying the voltage set-point of the voltage control scheme of the **Power Park Module** or **OTSUA** by the amount necessary to demonstrate the required reactive range. This is to be conducted for the operating points and durations specified in OC5.A.3.4.5.

OC5.A.3.4.3 **Embedded Generator** should liaise with the relevant **Network Operator** to ensure the following tests will not have an adverse impact upon the **Network Operator's System** as per OC.7.5. In situations where the tests have an adverse impact upon the **Network Operator's System NGET** will only require demonstration within the acceptable limits of the **Network Operator**. For the avoidance of doubt, these tests do not negate the requirement to produce a complete **Power Park Module** performance chart as specified in OC2.4.2.1

OC5.A.3.4.4 In the case where the **Reactive Power** metering point is not at the same location as the **Reactive Power** capability requirement, then an equivalent **Reactive Power** capability for the metering point shall be agreed between the **Generator** and **NGET**.

OC5.A.3.4.5 The following tests shall be completed:

(i) Operation in excess of 50% **Rated MW** and maximum continuous lagging **Reactive Power** for 60 minutes.

(ii) Operation in excess of 50% **Rated MW** and maximum continuous leading **Reactive Power** for 60 minutes.

(iii) Operation at 50% **Rated MW** and maximum continuous leading **Reactive Power** for 5 minutes.

(iv) Operation at 20% **Rated MW** and maximum continuous leading **Reactive Power** for 5 minutes.

(v) Operation at 20% **Rated MW** and maximum continuous lagging **Reactive Power** for 5 minutes.

(vi) Operation at less than 20% **Rated MW** and unity **Power Factor** for 5 minutes. This test only applies to systems which do not offer voltage control below 20% of **Rated MW**.

(vii) Operation at 0% **Rated MW** and maximum continuous leading **Reactive Power** for 5 minutes. This test only applies to systems which offer voltage control below 20% and hence establishes actual capability rather than required capability.

(viii) Operation at 0% **Rated MW** and maximum continuous lagging **Reactive Power** for 5 minutes. This test only applies to systems which offer voltage control below 20% and hence establishes actual capability rather than required capability.

OC5.A.3.4.6 Within this OC lagging **Reactive Power** is the export of **Reactive Power** from the **Power Park Module** to the **Total System** and leading **Reactive Power** is the import of **Reactive Power** from the **Total System** to the **Power Park Module** or **OTSUA**.

OC5.A.3.4.7 Where the **Generator** provides a report from a **Power Park Unit** manufacturer validating the full **Reactive Power** capability envelope of the **Power Park Unit** by test results acceptable to **NGET**, **NGET** may agree a reduction from the set of tests detailed in OC5.A.3.4.5. The validation testing detailed in the report must fully demonstrate the **Reactive Power** capability across both the **Active Power** range and the range of unit terminal voltages.

OC5.A.3.5 Voltage Control Tests

OC5.A.3.5.1 This section details the procedure for conducting voltage control tests on **Onshore Power Park Modules** or **OTSUA** or an **Offshore Power Park Module** which provides all or a portion of the voltage control capability as described in CC.6.3.8(b)(ii) (for the avoidance of doubt, **Offshore Power Park Modules** which do not provide part of the **Offshore Transmission Licensee** voltage control capability as described in CC6.3.8(b)(i) should complete the reactive power transfer / voltage control tests as per section OC5.A.3.8). These tests should be scheduled at a time when there are at least 95% of the **Power Park Units** within the **Power Park Module** in service. There should be sufficient MW resource forecasted in order to generate at least 65% of **Registered Capacity** of the **Onshore Power Park Module**. An **Embedded Generator** should also liaise with the relevant **Network Operator** to ensure all requirements covered in this section will not have a detrimental effect on the **Network Operator's System**.

OC5.A.3.5.2 The voltage control system shall be perturbed with a series of step injections to the **Power Park Module** voltage reference, and where possible, multiple up-stream transformer taps. In the case of an **Offshore Power Park Module** providing part of the **Offshore Transmission Licensee** voltage control capability this may require a series of step injections to the voltage reference of the **Offshore Transmission Licensee** control system.

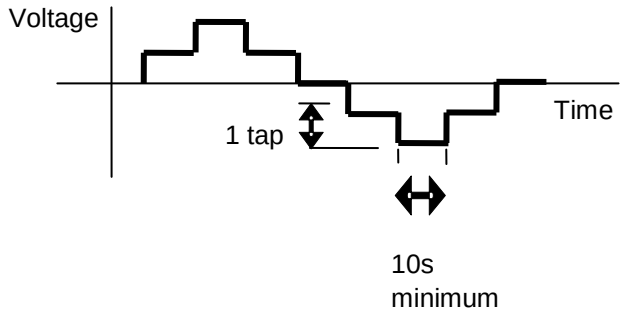
OC5.A.3.5.3 For steps initiated using network tap changers the **Generator** will need to coordinate with **NGET** or the relevant **Network Operator** as appropriate. The time between transformer taps shall be at least 10 seconds as per OC5.A.3.5 Figure 1.

OC5.A.3.5.4 For step injection into the **Power Park Module** or **OTSUA** voltage reference, steps of ±1% and ±2% shall be applied to the voltage control system reference summing junction. The injection shall be maintained for 10 seconds as per OC5.A.3.5 Figure 2.

OC5.A.3.5.5 Where the voltage control system comprises of discretely switched plant and apparatus additional tests will be required to demonstrate that its performance is in accordance with Grid Code and **Bilateral Agreement** requirements.

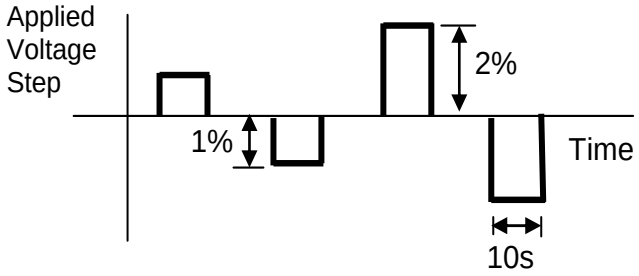
OC5.A.3.5.6 Tests to be completed:

(i)



OC5.A.3.5 Figure 1 – Transformer tap sequence for voltage control tests

(ii)



OC5.A.3.5 Figure 2 – Step injection sequence for voltage control tests

OC.A.3.5.7 In the case of **OTSUA** where the **Bilateral Agreement** specifies additional damping facilities, additional testing to demonstrate these damping facilities may be required.

OC5.A.3.6 Frequency Response Tests

OC5.A.3.6.1 This section describes the procedure for performing frequency response testing on an **Power Park Module**. These tests should be scheduled at a time where there are at least 95% of the **Power Park Units** within the **Power Park Module** in service. There should be sufficient MW resource forecasted in order to generate at least 65% of **Registered Capacity** of the **Power Park Module**.

OC5.A.3.6.2 The frequency controller shall be in **Frequency Sensitive Mode** or **Limited Frequency Sensitive Mode** as appropriate for each test. Simulated frequency deviation signals shall be injected into the frequency controller reference/feedback summing junction. If the injected frequency signal replaces rather than sums with the real system frequency signal then the additional tests outlined in OC5.A.3.6.6 shall be performed with the **Power Park Module** or **Power Park Unit** in normal **Frequency Sensitive Mode** monitoring actual system frequency, over a period of at least 10 minutes. The aim of this additional test is to verify that the control system correctly measures the real system frequency for normal variations over a period of time.

OC5.A.3.6.3 In addition to the frequency response requirements it is necessary to demonstrate the **Power Park Module** ability to deliver a requested steady state power output which is not impacted by power source variation as per CC.6.3.9. This test shall be conducted in **Limited Frequency Sensitive Mode** at a part-loaded output for a period of 10 minutes as per OC5.A.3.6.6.

Preliminary Frequency Response Testing

OC5.A.3.6.4 Prior to conducting the full set of tests as per OC5.A.3.6.6, **Generators** are required to conduct the preliminary set of tests below to confirm the frequency injection method is correct and the plant control performance is within expectation. The test numbers refer to Figure 1 below. The test should be conducted when sufficient MW resource is forecasted in order to generate at least 65% of **Registered Capacity** of the **Power Park Module**. The following frequency injections shall be applied when operating at module load point 4.

Test No (Figure 1)	Frequency Injection	Notes
8	• Inject - 0.5Hz frequency fall over 10 sec • Hold until conditions stabilise • Remove the injected signal	
14	• Inject +0.5Hz frequency rise over 10 sec • Hold until conditions stabilise • Remove the injected signal	
13	• Inject -0.5Hz frequency fall over 10 sec • Hold for a further 20 sec • At 30 sec from the start of the test, Inject a +0.3Hz frequency rise over 30 sec. • Hold until conditions stabilise • Remove the injected signal	

OC5.A.3.6.5 The recorded results (e.g. Finj, MW and control signals) should be sampled at a minimum rate of 1 Hz to allow **NGET** to assess the plant performance from the initial transients (seconds) to the final steady state conditions (5-15 minutes depending on the plant design). This is not witnessed by **NGET**. The **Generator** shall supply the recordings including data to **NGET** in an electronic spreadsheet format. Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

Full Frequency Response Testing Schedule Witnessed by NGET

OC5.A.3.6.6 The tests are to be conducted at a number of different Module Load Points (MLP). In the case of a **Power Park Module** the module load points are conducted as shown below unless agreed otherwise by **NGET**.

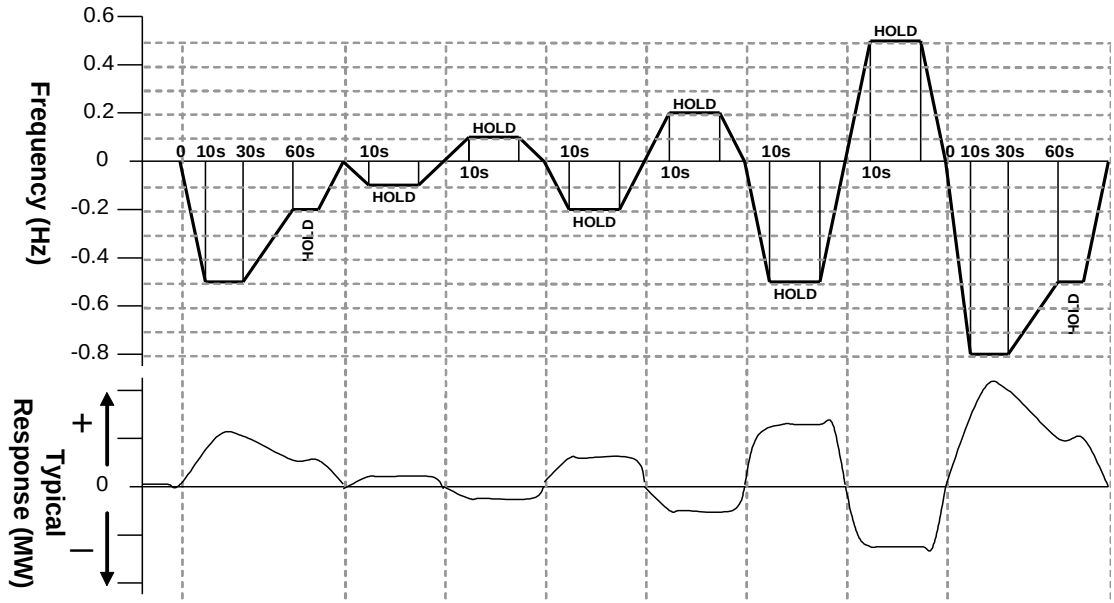
Module Load Point 6 (**Maximum Export Limit**)	100% MEL
Module Load Point 5	90% MEL
Module Load Point 4 (Mid point of Operating Range)	80% MEL
Module Load Point 3	DMOL + 20%
Module Load Point 2	DMOL + 10%
Module Load Point 1 (**Design Minimum Operating Level**)	DMOL

OC5.A.3.6.7 The tests are divided into the following two types;

(i) Frequency response volume tests as per OC5.A.3.6. Figure 1. These tests consist of frequency profile and ramp tests.

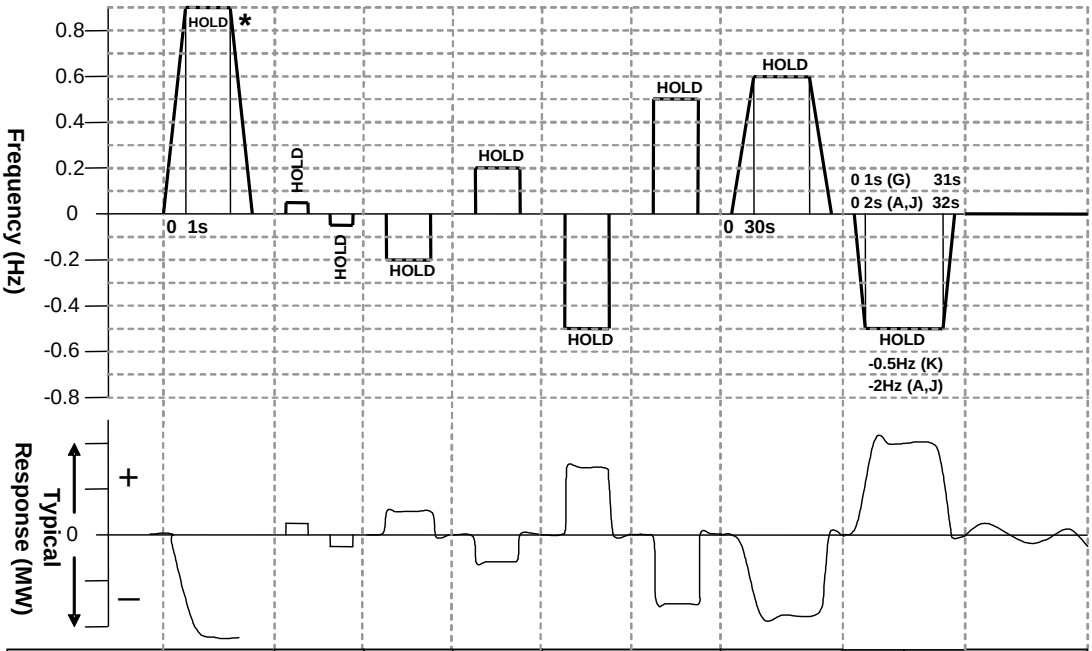
(ii) System islanding and step response tests as shown by OC5.A.3.6 Figure 2

OC5.A.3.6.8 There should be sufficient time allowed between tests for control systems to reach steady state (depending on available power resource). Where the diagram states 'HOLD' the current injection should be maintained until the **Active Power** (MW) output of the **Power Park Module** has stabilised. All frequency response tests should be removed over the same timescale for which they were applied. **NGET** may require repeat tests should the response volume be affected by the available power, or if tests give unexpected results.



Load Point	LF Event Profile 1	LF Ramp -0.1Hz	HF Ramp +0.1Hz	LF Ramp -0.2Hz	HF Ramp +0.2Hz	LF Ramp -0.5Hz	HF Ramp +0.5Hz	LF Event Profile 2
MLP6			1	2	3		4	
MLP5	5			6			7	
MLP4	8	9	10	11	12	13	14	
MLP3	15						16	17
MLP2	18			19	20	21		22
MLP1	23			24	25			26

OC5.A.3.6. Figure 1 – Frequency response volume tests



Load Point	+2.0*	±0.02	-0.2	+0.2	-0.5	+0.5	+0.6	-0.5	-2.0	± 0**
MLP6	BC1						BC2			L
MLP6 LFSM	BC3						BC4			
MLP5								A		
MLP4		D/E	F	G	H	I		J		M
MLP4 LFSM										N
MLP3										
MLP2										
MLP1								K		

OC5.A.3.6. Figure 2 – System islanding and step response tests

* This will generally be +2.0Hz unless an injection of this size causes a reduction in plant output that takes the operating point below **Designed Minimum Operating Level** in which case an appropriate injection should be calculated in accordance with the following:

For example 0.9Hz is needed to take an initial output 65% to a final output of 20%. If the initial output was not 65% and the **Designed Minimum Operating Level** is not 20% then the injected step should be adjusted accordingly as shown in the example given below

Initial Output	65%
Designed Minimum Operating Level	20%
Frequency Controller Droop	4%
Frequency to be injected =	(0.65 - 0.20) x 0.04 x 50 = 0.9Hz

** Tests L and M in Figure 2 shall be conducted if in this range of tests the system frequency feedback signal is replaced by the injection signal rather than the injection signal being added to the system frequency signal. The tests will consist of monitoring the **Power Park Module** in **Frequency Sensitive Mode** during normal system frequency variations without applying any injection. Test N in Figure 2 shall be conducted in all cases. All three tests should be conducted for a period of at least 10 minutes.

OC5.A.3.7 Fault Ride Through Testing

OC5.A.3.7.1 This section describes the procedure for conducting fault ride through tests on a single **Power Park Unit**.

OC5.A.3.7.2 The test circuit will utilise the full **Power Park Unit** with no exclusions (e.g. in the case of a wind turbine it would include the full wind turbine structure) and shall be conducted with sufficient resource available to produce at least 95% of the **Registered Capacity** of the **Power Park Unit**. The test will comprise of a number of controlled short circuits applied to a test network to which the **Power Park Unit** is connected, typically comprising of the **Power Park Unit** transformer and a test impedance to shield the connected network from voltage dips at the **Power Park Unit** terminals.

OC5.A.3.7.3 In each case the tests should demonstrate the minimum voltage at the **Power Park Unit** terminals or **High Voltage** side of the **Power Park Unit** transformer which the **Power Park Unit** can withstand for the length of time specified in OC5.A.3.7.5. Any test results provided to **NGET** should contain sufficient data pre and post fault in order to determine steady state values of all signals, and the power recovery timescales.

OC5.A.3.7.4 In addition to the signals outlined in OC5.A.1.2. the following signals from either the **Power Park Unit** terminals or **High Voltage** side of the **Power Park Unit** transformer should be provided for this test only:

(i) Phase voltages

(ii) Positive phase sequence and negative phase sequence voltages

(iii) Phase currents

(iv) Positive phase sequence and negative phase sequence currents

(v) Estimate of **Power Park Unit** negative phase sequence impedance

(vi) MW – **Active Power** at the generating unit.

(vii) MVAr – **Reactive Power** at the generating unit.

(viii) Mechanical Rotor Speed

(ix) Real / reactive, current / power reference as appropriate

(x) Fault ride through protection operation (e.g. a crowbar in the case of a doubly fed induction generator)

(xi) Any other signals relevant to the control action of the fault ride through control deemed applicable for model validation.

At a suitable frequency rate for fault ride through tests as agreed with **NGET**.

OC5.A.3.7.5 The tests should be conducted for the times and fault types indicated in OC5.A.3.7 Table 1.

3 Phase	Phase to Phase	2 Phase to Earth	1 Phase to Earth	Grid Code Ref
0.14s	0.14s	0.14s	0.14s	CC.6.3.15a
0.384s				CC.6.3.15b
0.710s				
2.5s				
180.0s				

OC5.A.3.7 Table 1 – Types of fault for fault ride through **testing**

OC5.A.3.8 Reactive Power Transfer / Voltage Control Tests for Offshore Power Park Modules

OC5.A.3.8.1 In the case of an **Offshore Power Park Module** which provides all or a portion of the **Reactive Power** capability as described in CC.6.3.2(e)(iii) and / or voltage control requirements as described in CC.6.3.8(b)(ii) to enable an **Offshore Transmission Licensee** to meet the requirements of STC Section K, the testing, will comprise of the entire control system responding to changes at the onshore **Interface Point**. Therefore the tests in this section OC5.A.3.8 will not apply. The **Generator** shall cooperate with the relevant **Offshore Transmission Licensee** to facilitate these tests as required by **NGET**. The testing may be combined with testing of the corresponding **Offshore Transmission Licensee** requirements under the STC. The results in relation to the **Offshore Power Park Module** will be assessed against the requirements in the **Bilateral Agreement**.

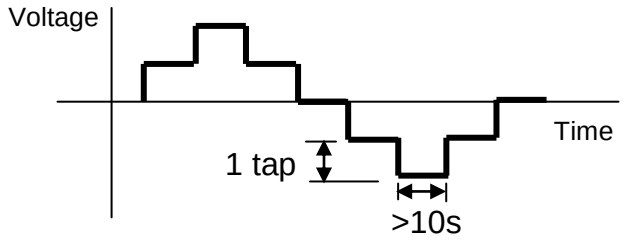
OC5.A.3.8.2 In the case of an **Offshore Power Park Module** which does not provide part of the **Offshore Transmission Licensee Reactive Power** capability the following procedure for conducting reactive power transfer control tests on **Offshore Power Park Modules** and / or voltage control system as per CC6.3.2(e)(i) and CC6.3.2(e)(ii) apply. These tests should be carried out prior to 20% of the **Power Park Units** within the **Offshore Power Park Module** being synchronised, and again when at least 95% of the **Power Park Units** within the **Offshore Power Park Module** in service. There should be sufficient power resource forecast to generate at least 85% of the **Registered Capacity** of the **Offshore Power Park Module**.

OC5.A.3.8.3 The **Reactive Power** control system shall be perturbed by a series of system voltage changes and changes to the **Active Power** output of the **Offshore Power Park Module**.

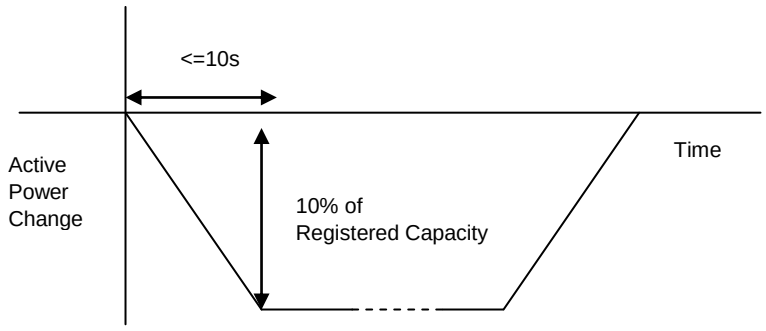
OC5.A.3.8.4 System voltage changes should be created by a series of multiple upstream transformer taps. The **Generator** should coordinate with **NGET** or the relevant **Network Operator** in order to conduct the required tests. The time between transformer taps should be at least 10 seconds as per OC5.A.3.8 Figure 1.

OC5.A.3.8.5 The active power output of the **Offshore Power Park Module** should be varied by applying a sufficiently large step to the frequency controller reference/feedback summing junction to cause a 10% change in output of the **Registered Capacity** of the **Offshore Power Park Module** in a time not exceeding 10 seconds. This test does not need to be conducted provided that the frequency response tests as outlined in OC5.A.3.6 are completed.

OC5.A.3.8.6 The following diagrams illustrate the tests to be completed:



OC5.A.3.8 Figure 1 – Transformer tap sequence for reactive transfer tests



OC5.A.3.8 Figure 2 – Active Power ramp for reactive transfer tests

APPENDIX 4 - COMPLIANCE TESTING FOR DC CONVERTERS AT A DC CONVERTER STATION

OC5.A.4.1 Scope

OC5.A.4.1.1 This Appendix outlines the general testing requirements for **DC Converter Station** owners to demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and **Bilateral Agreement** and apply only to **DC Converter Station** owners. The testing requirements applicable to **HVDC System Owners** are specified in ECP.A.7. The tests specified in this Appendix will normally be sufficient to demonstrate compliance however **NGET** may:

(i) agree an alternative set of tests provided **NGET** deem the alternative set of tests sufficient to demonstrate compliance with the **Grid Code**, **Ancillary Services Agreement** and **Bilateral Agreement**; and/or

(ii) require additional or alternative tests if information supplied to **NGET** during the compliance process suggests that the tests in this Appendix will not fully demonstrate compliance with the relevant section of the **Grid Code**, **Ancillary Services Agreement** or **Bilateral Agreement**; and/or

(iii) require additional tests if control functions to improve damping of power system oscillations and/or subsynchronous resonance torsional oscillations required by the **Bilateral Agreement** or included in the control scheme and active; and/or

(iv) agree a reduced set of tests for subsequent **DC Converters** following successful completion of the first **DC Converter** tests in the case of a **Power Station** comprised of two or more **DC Converters** which **NGET** reasonably considers to be identical.

If:

(a) the tests performed pursuant to OC5.A.4.1.1(iv) in respect of subsequent **DC Converters** do not replicate the full tests for the first **DC Converter**, or

(b) any of the tests performed pursuant to OC5.A.4.1.1(iv) do not fully demonstrate compliance with the relevant aspects of the **Grid Code**, **Ancillary Services Agreement** and / or **Bilateral Agreement**,

then notwithstanding the provisions above, the full testing requirements set out in this Appendix will be applied.

OC5.A.4.1.2 The **DC Converter Station** owner is responsible for carrying out the tests set out in and in accordance with this Appendix and the **DC Converter Station** owner retains the responsibility for the safety of personnel and plant during the test. The **DC Converter Station** owner is responsible for ensuring that suitable arrangements are in place with the **Externally Interconnected System Operator** to facilitate testing. **NGET** will witness all of the tests outlined or agreed in relation to this Appendix unless **NGET** decides and notifies the **DC Converter Station** owner otherwise. Reactive Capability tests if required, may be witnessed by **NGET** remotely from the **NGET** control centre. For all on site **NGET** witnessed tests the **DC Converter Station** owner must ensure suitable representatives from the **DC Converter Station** owner and / or **DC Converter** manufacturer (if appropriate) are available on site for the entire testing period. In all cases and in addition to any recording of signals conducted by **NGET** the **DC Converter Station** owner shall record all relevant test signals as outlined in OC5.A.1.

OC5.A.4.1.3 In addition to the dynamic signals supplied in OC5.A.1 the **DC Converter Station** owner shall inform **NGET** of the following information prior to the commencement of the tests and any changes to the following, if any values change during the tests:

(i) All relevant transformer tap numbers.

OC5.A.4.1.4 The **DC Converter Station** owner shall submit a detailed schedule of tests to **NGET** in accordance with CP.6.3.1, and this Appendix.

OC5.A.4.1.5 Prior to the testing of a **DC Converter** the **DC Converter Station** owner shall complete the **Integral Equipment Tests** procedure in accordance with OC.7.5

OC5.A.4.1.6 Full **DC Converter** testing as required by CP.7.2 is to be completed as defined in OC5.A.4.2 through to OC5.A.4.5

OC5.A.4.2 Reactive Capability Test

OC5.A.4.2.1 This section details the procedure for demonstrating the reactive capability of an **Onshore DC Converter**. These tests should be scheduled at a time where there are sufficient MW resource forecasted in order to import and export full **Registered Capacity** of the **DC Converter**.

OC5.A.4.2.2 The tests shall be performed by modifying the voltage set-point of the voltage control scheme of the **DC Converter** by the amount necessary to demonstrate the required reactive range. This is to be conducted for the operating points and durations specified in OC5.A.4.2.5.

OC5.A.4.2.3 **Embedded DC Converter Station** owner should liaise with the relevant **Network Operator** to ensure the following tests will not have an adverse impact upon the **Network Operator's System** as per OC.7.5. In situations where the tests have an adverse impact upon the **Network Operator's System NGET** will only require demonstration within the acceptable limits of the **Network Operator**. For the avoidance of doubt, these tests do not negate the requirement to produce a complete **DC Converter** performance chart as specified in OC2.4.2.1.

OC5.A.4.2.4 In the case where the **Reactive Power** metering point is not at the same location as the **Reactive Power** capability requirement, then an equivalent **Reactive Power** capability for the metering point shall be agreed between the **DC Converter Station** owner and **NGET**.

OC5.A.4.2.5 The following tests shall be completed for both importing and exporting of Active Power for a **DC Converter** (excluding current source technology):

(i) Operation at **Rated MW** and maximum continuous lagging **Reactive Power** for 60 minutes.

(ii) Operation at **Rated MW** and maximum continuous leading **Reactive Power** for 60 minutes.

(iii) Operation at 50% **Rated MW** and maximum continuous leading **Reactive Power** for 5 minutes.

(iv) Operation at 20% **Rated MW** and maximum continuous leading **Reactive Power** for 5 minutes.

(v) Operation at 20% **Rated MW** and maximum continuous lagging **Reactive Power** for 5 minutes.

(vi) Operation at less than 20% **Rated MW** and unity **Power Factor** for 5 minutes. This test only applies to systems which do not offer voltage control below 20% of **Rated MW**.

(vii) Operation at 0% **Rated MW** and maximum continuous leading **Reactive Power** for 5 minutes. This test only applies to systems which offer voltage control below 20% and hence establishes actual capability rather than required capability.

(viii) Operation at 0% **Rated MW** and maximum continuous lagging **Reactive Power** for 5 minutes. This test only applies to systems which offer voltage control below 20% and hence establishes actual capability rather than required capability.

OC5.A.4.2.6 For the avoidance of doubt, lagging **Reactive Power** is the export of **Reactive Power** from the **DC Converter** to the **Total System** and leading **Reactive Power** is the import of **Reactive Power** from the **Total System** to the **DC Converter**.

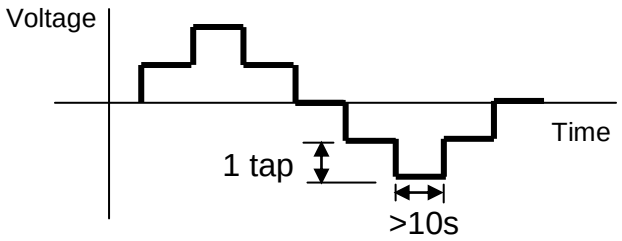
OC5.A.4.3 Reactive Control Testing For DC Converters (Current Source Technology)

OC5.A.4.3.1 The Reactive control testing for **DC Converters** employing current source technology shall be for both importing and exporting of Active Power and shall demonstrate that the reactive power transfer limits specified in the **Bilateral Agreement** are not exceeded. The **Reactive Power** control system shall be perturbed by a series of system voltage changes to the **Active Power** output of the **DC Converter** and changes of system voltage where possible. The **DC Converter Station** owner is responsible for ensuring that suitable arrangements are in place with the **Externally Interconnected System Operator** to facilitate the active power changes required by these tests

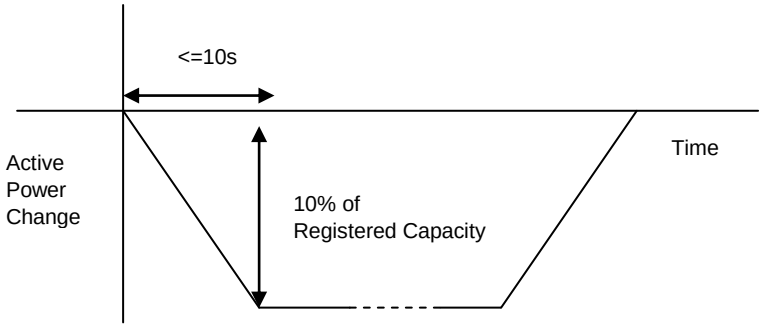
OC5.A.4.3.2 The active power output of the **DC Converter** should be varied by applying a sufficiently large step to the frequency controller reference/feedback summing junction to cause at least a 10% change in output of the **Registered Capacity** of the **DC Converter** in a time not exceeding 10 seconds. This test does not need to be conducted provided that the frequency response tests as outlined in OC5.A.4.3 are completed.

OC5.A.4.3.3 Where possible system voltage changes should be created by a series of multiple upstream transformer taps. The **DC Converter station** owner should coordinate with **NGET** or the relevant **Network Operator** in order to conduct the required tests. The time between transformer taps should be at least 10 seconds as per OC5.A.4.3 Figure 1.

OC5.A.4.3.4 The following diagrams illustrate the tests to be completed:



OC5.A.4.3 Figure 1 – Transformer tap sequence for reactive transfer tests



OC5.A.4.3 Figure 2 – Active Power ramp for reactive transfer tests

OC5.A.4.4 Voltage Control Tests

OC5.A.4.4.1 This section details the procedure for conducting voltage control tests on **DC Converters** (excluding current source technology). These tests should be scheduled at a time where there are sufficient MW resource in order to import and export full **Registered Capacity** of the **DC Converter**. An **Embedded DC Converter Station** owner should also liaise with the relevant **Network Operator** to ensure all requirements covered in this section will not have a detrimental effect on the **Network Operator's System**.

OC5.A.4.4.2 The voltage control system shall be perturbed with a series of step injections to the **DC Converter** voltage reference, and where possible, multiple up-stream transformer taps.

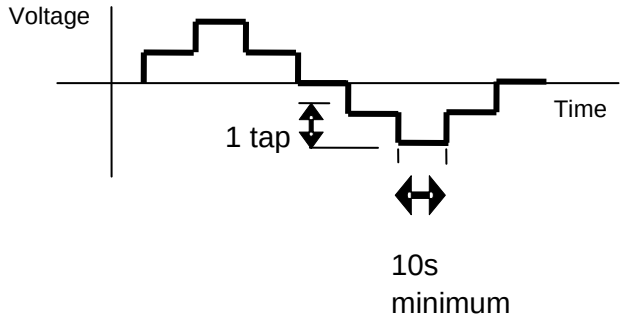
OC5.A.4.4.3 For steps initiated using network tap changers the **DC Converter Station** owner will need to coordinate with **NGET** or the relevant **Network Operator** as appropriate. The time between transformer taps shall be at least 10 seconds as per OC5.A.4.4 Figure 1.

OC5.A.4.4.4 For step injection into the **DC Converter** voltage reference, steps of ±1% and ±2% shall be applied to the voltage control system reference summing junction. The injection shall be maintained for 10 seconds as per OC5.A.4.4 Figure 2.

OC5.A.4.4.5 Where the voltage control system comprises of discretely switched plant and apparatus additional tests will be required to demonstrate that its performance is in accordance with **Grid Code** and **Bilateral Agreement** requirements.

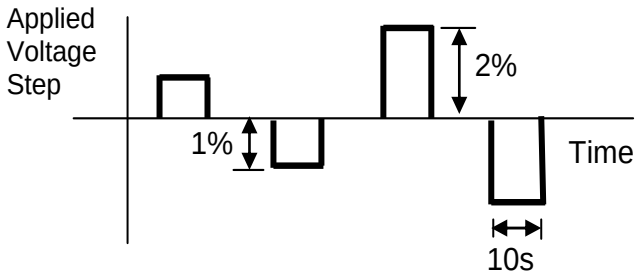
OC5.A.4.4.6 Tests to be completed:

(i)



OC5.A.4.4 Figure 1 – Transformer tap sequence for voltage control tests

(ii)



OC5.A.4.4 Figure 2 – Step injection sequence for voltage control tests

OC5.A.4.5 Frequency Response Tests

OC5.A.4.5.1 This section describes the procedure for performing frequency response testing on a **DC Converter**. These tests should be scheduled at a time where there are sufficient MW resource in order to import and export full **Registered Capacity** of the **DC Converter**. The **DC Converter Station** owner is responsible for ensuring that suitable arrangements are in place with the **Externally Interconnected System Operator** to facilitate the active power changes required by these tests

OC5.A.4.5.2 The frequency controller shall be in **Frequency Sensitive Mode** or **Limited Frequency Sensitive Mode** as appropriate for each test. Simulated frequency deviation signals shall be injected into the frequency controller reference/feedback summing junction. If the injected frequency signal replaces rather than sums with the real system frequency signal then the additional tests outlined in OC5.A.4.5.6 shall be performed with the **DC Converter** in normal **Frequency Sensitive Mode** monitoring actual system frequency, over a period of at least 10 minutes. The aim of this additional test is to verify that the control system correctly measures the real system frequency for normal variations over a period of time.

OC5.A.4.5.3 In addition to the frequency response requirements it is necessary to demonstrate the **DC Converter** ability to deliver a requested steady state power output which is not impacted by power source variation as per CC.6.3.9. This test shall be conducted in **Limited Frequency Sensitive Mode** at a part-loaded output for a period of 10 minutes as per OC5.A.4.5.6.

Preliminary Frequency Response Testing

OC5.A.4.5.4 Prior to conducting the full set of tests as per OC5.A.4.5.6, **DC Converter Station** owners are required to conduct a preliminary set of tests below to confirm the frequency injection method is correct and the plant control performance is within expectation. The test numbers refer to Figure 1 below. These tests should be scheduled at a time where there are sufficient MW resource in order to export full **Registered Capacity** from the **DC Converter**. The following frequency injections shall be applied when operating at module load point 4.

Test No (Figure 1)	Frequency Injection	Notes
8	• Inject - 0.5Hz frequency fall over 10 sec • Hold until conditions stabilise • Remove the injected signal	
14	• Inject +0.5Hz frequency rise over 10 sec • Hold until conditions stabilise • Remove the injected signal	
13	• Inject -0.5Hz frequency fall over 10 sec • Hold for a further 20 sec • At 30 sec from the start of the test, Inject a +0.3Hz frequency rise over 30 sec. • Hold until conditions stabilise • Remove the injected signal	

OC5.A.4.5.5 The recorded results (e.g. Finj, MW and control signals) should be sampled at a minimum rate of 1 Hz to allow **NGET** to assess the plant performance from the initial transients (seconds) to the final steady state conditions (5-15 minutes depending on the plant design). This is not witnessed by **NGET**. The **DC Converter Station** owner shall supply the recordings including data to **NGET** in an electronic spreadsheet format. Results shall be legible, identifiable by labelling, and shall have appropriate scaling.

Full Frequency Response Testing Schedule Witnessed by **NGET**

OC5.A.4.5.6 The tests are to be conducted at a number of different Module Load Points (MLP). In the case of a **DC Converter** the module load points are conducted as shown below unless agreed otherwise by **NGET**.

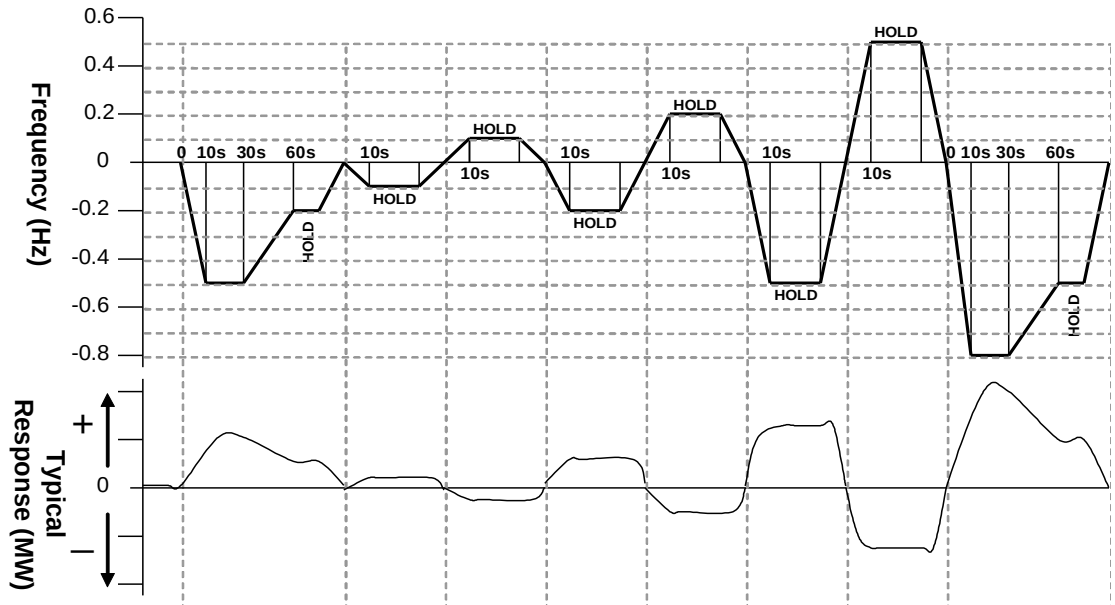
Module Load Point 6 (**Maximum Export Limit**)	100% MEL
Module Load Point 5	90% MEL
Module Load Point 4 (Mid point of Operating Range)	80% MEL
Module Load Point 3	DMOL + 20%
Module Load Point 2	DMOL + 10%
Module Load Point 1 (**Design Minimum Operating Level**)	DMOL

OC5.A.4.5.7 The tests are divided into the following two types;

(i) Frequency response volume tests as per OC5.A.4.5. Figure 1. These tests consist of frequency profile and ramp tests.

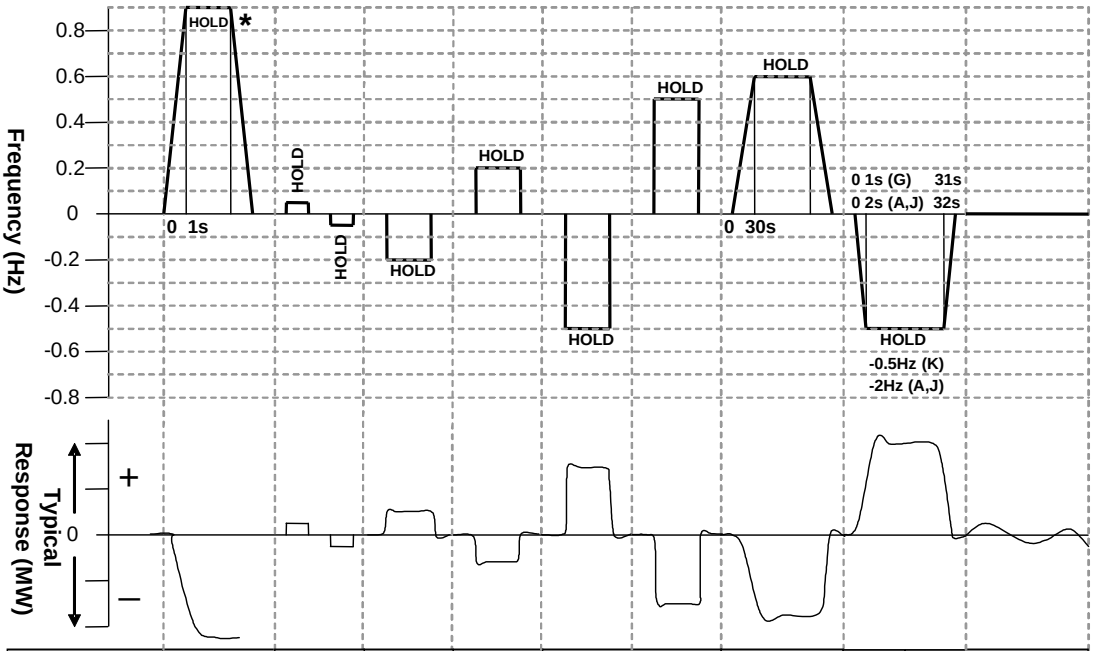
(ii) System islanding and step response tests as shown by OC5.A.4.5 Figure 2

OC5.A.4.5.8 There should be sufficient time allowed between tests for control systems to reach steady state (depending on available power resource). Where the diagram states 'HOLD' the current injection should be maintained until the **Active Power** (MW) output of the **DC Converter** has stabilised. All frequency response tests should be removed over the same timescale for which they were applied. **NGET** may require repeat tests should the response volume be affected by the available power, or if tests give unexpected results.



Load Point	LF Event Profile 1	LF Ramp -0.1Hz	HF Ramp +0.1Hz	LF Ramp -0.2Hz	HF Ramp +0.2Hz	LF Ramp -0.5Hz	HF Ramp +0.5Hz	LF Event Profile 2
MLP6			1	2	3		4	
MLP5	5			6			7	
MLP4	8	9	10	11	12	13	14	
MLP3	15						16	17
MLP2	18			19	20	21		22
MLP1	23			24	25			26

OC5.A.4.5. Figure 1 – Frequency response volume tests



Load Point	+2.0*	±0.02	-0.2	+0.2	-0.5	+0.5	+0.6	-0.5	-2.0	± 0**
MLP6	BC1						BC2			L
MLP6 LFSM	BC3						BC4			
MLP5								A		
MLP4		D/E	F	G	H	I		J		M
MLP4 LFSM										N
MLP3										
MLP2										
MLP1								K		

OC5.A.4.5. Figure 2 – System islanding and step response tests

* This will generally be +2.0Hz unless an injection of this size causes a reduction in plant output that takes the operating point below **Designed Minimum Operating Level** in which case an appropriate injection should be calculated in accordance with the following:

For example 0.9Hz is needed to take an initial output 65% to a final output of 20%. If the initial output was not 65% and the **Designed Minimum Operating Level** is not 20% then the injected step should be adjusted accordingly as shown in the example given below

Initial Output	65%
Designed Minimum Operating Level	20%
Frequency Controller Droop	4%
Frequency to be injected =	(0.65 - 0.20) x 0.04 x 50 = 0.9Hz

** Tests L and M in Figure 2 shall be conducted if in this range of tests the system frequency feedback signal is replaced by the injection signal rather than the injection signal being added to the system frequency signal. The tests will consist of monitoring the **DC Converter** in **Frequency Sensitive Mode** during normal system frequency variations without applying any injection. Test N in Figure 2 shall be conducted in all cases. All three tests should be conducted for a period of at least 10 minutes.

< END OF OPERATING CODE NO. 5 >